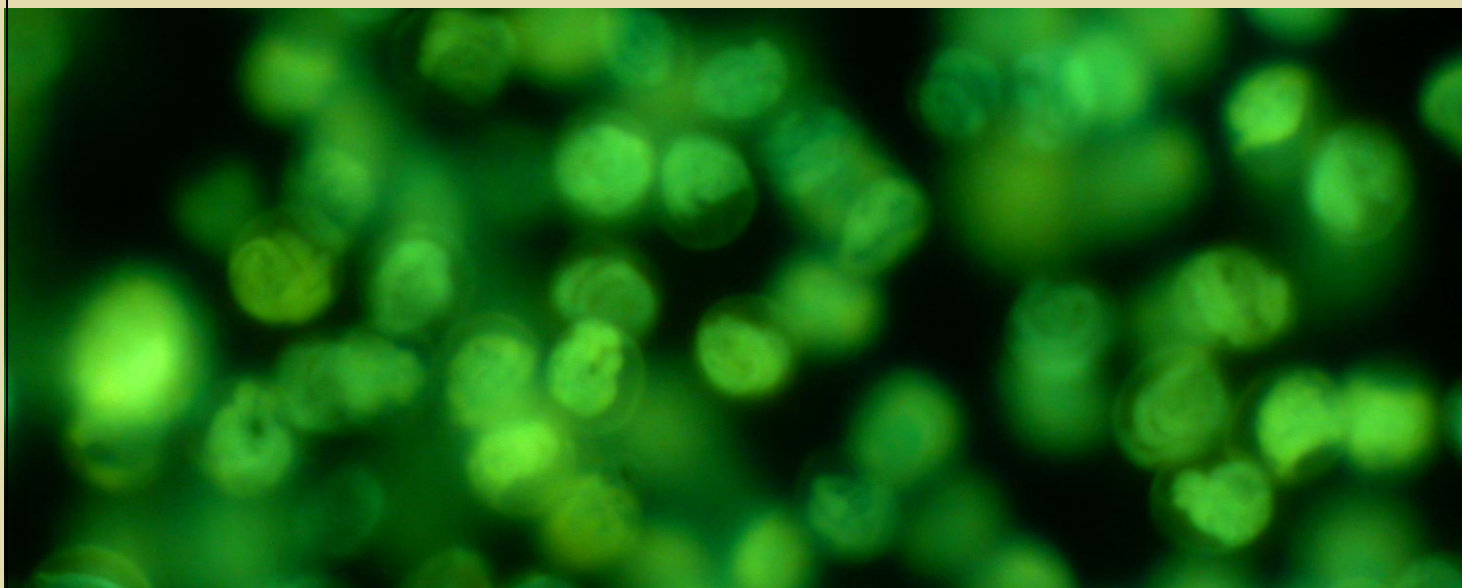




TESE DE DOUTORAMENTO

**Análise dos padróns de diversidade xenética e
epidemioloxía das especies e variedades de
Cryptosporidium que parasitan humanos
mediante o desenrolo dun protocolo multilocus
de tipado xenético de alto rendemento**



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Facultade de Medicina

Santiago de Compostela, 2015



UNIVERSIDADE DE SANTIAGO DE COMPOSTELA
FACULDADE DE MEDICINA
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CIENCIAS FORENSES



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Memoria para a obtención do grao de Doutor presentada por
José Luis Abal Fabeiro

Asdo.: José Luis Abal Fabeiro

Santiago de Compostela, Novembro de 2015





AUTORIZACIÓN DOS DIRECTORES

Dr. Xulio M. Maside Rodríguez, Profesor do Departamento de Anatomía Patolóxica e Ciencias Forenses da Universidade de Santiago de Compostela, e

Dra. Carolina Bartolomé Husson, investigadora contratada da Universidade de Santiago de Compostela,

Como Directores da presente Tese de Doutoramento titulada “**Análise dos padróns de diversidade xenética e epidemioloxía das especies e variedades de *Cryptosporidium* que parasitan humanos mediante o desenrolo dun protocolo multilocus de tipado xenético de alto rendemento**” que presenta Don José Luis Abal Fabeiro, alumno do Programa de Doutoramento en Medicina Molecular, para optar ó Grao de Doutor:

Autorizamos a presentación da presente tese, considerando que reúne os requisitos esixidos no artigo 34 do regulamento de Estudos de Doutoramento e que non incorre nas causas de abstención establecidas na lei 30/1992.

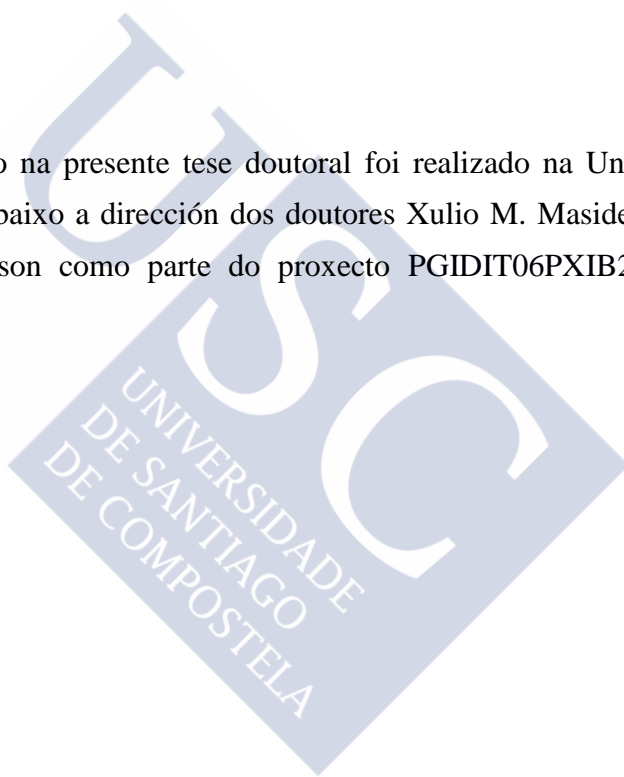
E para que conste, firmamos a presente en Santiago de Compostela, a 13 de Novembro de 2015

Asdo: Dr. Xulio Maside Rodríguez

Asdo: Dra. Carolina Bartolomé Husson



O traballo presentado na presente tese doutoral foi realizado na Universidade de Santiago de Compostela baixo a dirección dos doutores Xulio M. Maside Rodríguez e Carolina Bartolomé Husson como parte do proxecto PGIDIT06PXIB228073PR da Xunta de Galicia.



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A toda a miña familia



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INTRODUCCIÓN



1. Antecedentes históricos

A primeira descrición dun representante do xénero *Cryptosporidium* levouna a cabo Ernest Edward Tyzzer no ano 1907 mentres estudaba glándulas gástricas de ratos de laboratorio. Nelas identificou as fases de reprodución sexual e asexual, e detectou a capacidade de formar esporas dun protozoo ó que denominou *Cryptosporidium muris* (TYZZER 1907), que posteriormente foi incluído dentro dun novo xénero –*Cryptosporidium*, (TYZZER 1910)– e unha nova familia –Cryptosporidiidae, (LÉGER 1911). Anos máis tarde Tyzzer describiu unha segunda especie deste xénero, *C. parvum*, de menor tamaño que a anterior e que parasitaba o intestino delgado de ratos e coellos (TYZZER 1912). Mais os traballos de Tyzzer non foron considerados como veterinaria ou clinicamente relevantes polos seus contemporáneos, polo que nas cinco décadas posteriores á identificación de *C. muris* o estudo destes parasitos quedou reducido ó entorno taxonómico. Nese período atopáronse e describíronse novas especies de *Cryptosporidium* en diversos grupos de vertebrados –peixes, réptiles, aves e mamíferos– ás que se lles foron asignando nomes baseados nunha suposta especificidade de hóspede (FAYER e XIAO 2008). Foi a partires destes descubrimentos cando rexurdiu o interese veterinario polo estudo deste xénero de protozoos, especialmente cando se demostrou que a súa infección provocaba perdas económicas importantes nas explotacións de gando aviar (SLAVIN 1955) e bovino (PANCIERA 1971; BARKER e CARBONELL 1974; MEUTIN *et al.* 1974; POHLENZ *et al.* 1978; TZIPORI 1983; TZIPORI *et al.* 1983; HEINE *et al.* 1984; DE GRAAF *et al.* 1999).

Xa ben entrada a década dos setenta describíronse os primeiros casos de criptosporidiose en humanos (MEISEL *et al.* 1976; NIME *et al.* 1976), aínda que o interese clínico por este xénero de parasitos non cobrou grande importancia ata os anos oitenta, cando se diagnosticaron diarreas severas causadas por *Cryptosporidium* spp. en persoas afectadas pola síndrome de inmunodeficiencia adquirida (SIDA) (ANON. 1982; MA e SOAVE 1983; PITLIK *et al.* 1983a; PITLIK *et al.* 1983b), nas que a infección podía prolongarse durante meses. Dende entón, os estudos epidemiolóxicos constataron que *Cryptosporidium* é un dos parasitos oportunistas que se atopan con maior frecuencia neste tipo de doentes (CURRENT e GARCIA 1991; HUNTER e NICHOLS 2002; CAMA *et al.* 2003; SINGH *et al.* 2003). Ademais, traballos realizados na década dos oitenta puxeron de manifesto a susceptibilidade das persoas sans inmunocompetentes a sufriren de fortes diarreas despois de ter contacto con gando infectado con *Cryptosporidium* (CURRENT 1983), o que veu a confirmar non só a

capacidade infectiva destes parasitos, senón tamén a natureza zoonótica desta enfermidade (CURRENT e LONG 1983; CASEMORE *et al.* 1985b; MIRON *et al.* 1991).

A mediados da década dos oitenta rexistrouse o primeiro andazo epidémico asociado á inxestión de auga contaminada con ooquistes de *Cryptosporidium* spp. (D'ANTONIO *et al.* 1985). A multiplicación dos casos de andazos reportados con posterioridade (LEVINE *et al.* 1990; MOORE *et al.* 1993; SMITH *et al.* 2006; WALDRON *et al.* 2011b; CHALMERS 2012) – algúns dos cales chegaron a implicar a dúcias de milleiros de persoas, como o descrito en Milwaukee (Wisconsin, EEUU) a principios da década dos noventa (MACKENZIE *et al.* 1994; MACKENZIE *et al.* 1995)– puxo de manifesto a importancia do control sanitario das augas de consumo público para previlo contaxio destes patóxenos (NETO *et al.* 2006; CASTRO-HERMIDA *et al.* 2008b; CASTRO-HERMIDA *et al.* 2010; AUSTIN *et al.* 2012; CASTRO-HERMIDA *et al.* 2015).

Estes antecedentes, xunto co notable impacto económico e sanitario que ten a criptosporidiose e a súa consideración de parasito emerxente a nivel mundial (CLARK e SEARS 1996; MATUKAITIS 1997; CORSO *et al.* 2003; XIAO *et al.* 2004a; SAVIOLI *et al.* 2006; YODER *et al.* 2012), explican a importancia que cobrou o estudo desta enfermidade, que se evidencia na grande cantidade de artigos publicados sobre este xénero de protozoos (máis de 5000, cando antes da década dos oitenta se contaba apenas cunha trintena) (CURRENT e GARCIA 1991; SHORE GARCÍA 2007) e na multiplicación de métodos e técnicas desenrolados para a súa detección.

2. Ciclo vital do protozoo

O xénero *Cryptosporidium*, a diferenza doutras especies do *phylum* Apicomplexa como *Toxoplasma* ou *Plasmodium*, presenta un ciclo monoxeno monocompartmental, xa que tódalas súas etapas ocorren dentro dun único hóspede (BOUZID *et al.* 2013). Trátase dun ciclo complexo, pois nel obsérvanse tanto fases sexuais como asexuais (CURRENT e GARCIA 1991), con distintos estadios de desenrolo claramente diferenciados (KEUSCH *et al.* 1995; THOMPSON *et al.* 2005): ooquiste, esporozoito, trofozoito, merozoito e dous gamontes (**Figura 1**).

O ciclo vital de *Cryptosporidium* iníciase pola inxesta de ooquistes viables (**Figura 1, a**), os cales presentan un diámetro medio de entre 4 e 7 μm e son a forma de resistencia e de propagación destes organismos. Un ooquiste maduro está constituído por paredes internas e externas, unhas estruturas granulares ou corpos residuais, un glóbulo membranoso e catro

esporozoitos nus (PETRY 2004; FAYER e XIAO 2008). Sen embargo, a diferenza doutros coccidios, mostra unha sutura nun extremo da cuberta pola cal parecen saír os esporozoitos durante o proceso de desenquistación (DUBEY *et al.* 2002; FAYER e XIAO 2008) (**Figura 2**).

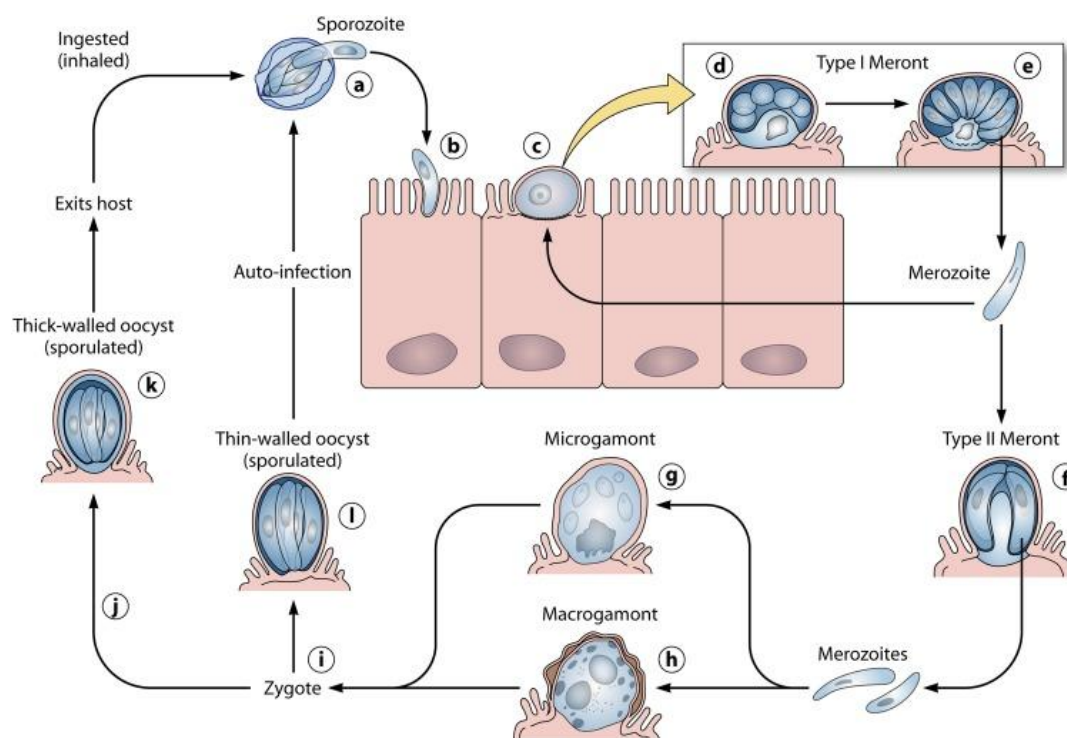


Figura 1. Ciclo vital de *Cryptosporidium* spp. (adaptado de BOUZID *et al.* 2013)

No intestino os ooquistes liberan os esporozoitos que conteñen no seu interior (**Figura 1, a**) (FAYER e XIAO 2008; BOUZID *et al.* 2013; LENDNER e DAUGSCHIES 2014). Estes esporozoitos están rodeados por unha única membrana, son haploides e conteñen a maioría das estruturas e orgánulos típicos dos Apicomplexa (**Figura 3**). Así, na súa parte anterior atópase a película ou complexo apical, formado polos aneis polares, o conoide (conxunto de microtúbulos dispostos en espiral) e unha serie de orgánulos que van xogar un papel fundamental nos procesos de desprazamento, locomoción, adhesión e invasión da célula hóspede por parte do parasito (O'HARA *et al.* 2005; FAYER e XIAO 2008; LENDNER e DAUGSCHIES 2014). Entre eles están os corpos electrodensos (estruturas granulares opacas ós electróns) (HUANG *et al.* 2004), os micronemas (complexos secretores de substancias

proteolíticas de forma helicoidal) (HARRIS *et al.* 2003) e as roptrias (orgánulos membranosos orixinados polo retículo endoplasmático e o complexo de Golgi e que están encargados do recoñecemento da célula hóspede) (SAM-YELLOWE 1996). Na súa posición central os esporozoitos presentan un núcleo cun nucléolo ben diferenciado, ribosomas, microtúbulos subpeliculares –que participan tamén nos procesos de locomoción– aparello de Golgi, gránulos de amilopectina (TETLEY *et al.* 1998; FAYER e XIAO 2008), e un mitosoma (TETLEY *et al.* 1998; FAYER e XIAO 2008). Este último é un orgánulo non descrito noutros coccidios, remanente dunha antiga mitocondria, que se atopa limitado por unha dobre membrana e rodeado de múltiples segmentos de retículo endoplasmático rugoso (PUTIGNANI *et al.* 2004; KEITHLY *et al.* 2005; PUTIGNANI 2005). Os esporozoitos presentan ademais dúas estruturas globulares, unha de grande tamaño en posición distal e outra máis pequena na parte central da célula, ámbalas dúas de orixe e función descoñecidas (FAYER e XIAO 2008) (**Figura 3**).

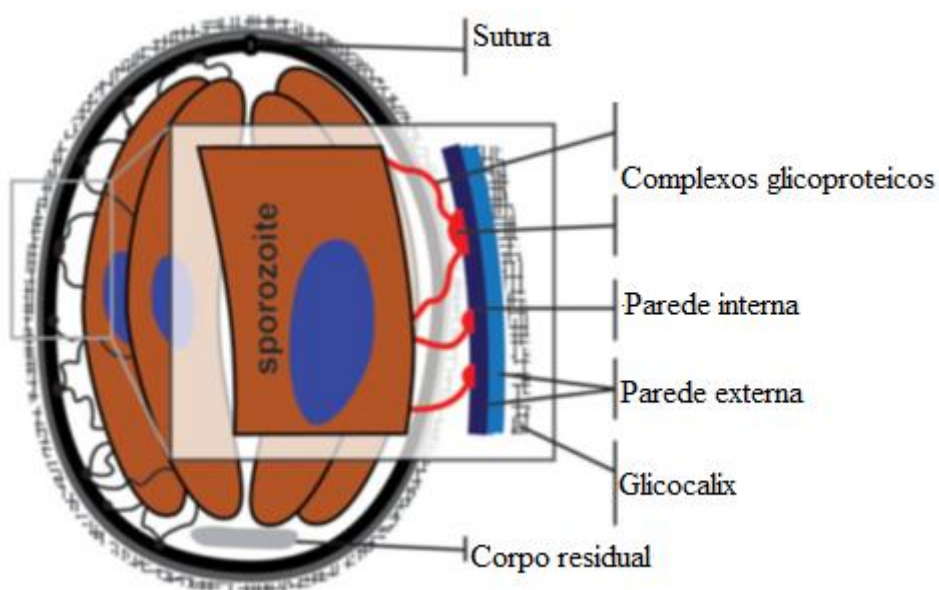


Figura 2. Esquema dun ooquiste (adaptado de LENDNER e DAUGSCHIES 2014).

Os esporozoitos liberados teñen capacidade motora –mediante movementos de contracción, extensión e deslizamento (*gliding*), típicos de Apicomplexa (ARROWOOD *et al.* 1991; GUT e NELSON 1994; FORNEY *et al.* 1998; LENDNER e DAUGSCHIES 2014)– e aproxímanse cara a parte anterior das células do epitelio intestinal. Atravesan a súa capa

mucosa (ATUMA *et al.* 2001; LENDNER e DAUGSCHIES 2014) e invaden o enterocito grazas á acción do complexo apical e outros orgánulos que median no proceso de invasión e internalización (O'HARA *et al.* 2005; WETZEL *et al.* 2005) (**Figura 1, b**). As roptrias esténdense cara o lugar de acoplamento, mentres que os glóbulos densos e os micronemas se dirixen cara a rexión apical (HUANG *et al.* 2004) onde van liberar un conxunto de moléculas que facilitan a ancoraxe e introdución do parasito na célula hóspede (SMITH *et al.* 2005; THOMPSON *et al.* 2005; BOROWSKI *et al.* 2008; BLACKMAN e CARRUTHERS 2013). Neste proceso de contacto, invasión e internalización participan un bo número de ligandos e glicoproteínas que se expresan na superficie do esporozoito –como as lectinas ou o os complexos GP60 e GP900, entre outros (CEVALLOS *et al.* 2000a; CEVALLOS *et al.* 2000b; STRONG *et al.* 2000; SESTAK *et al.* 2002; HASHIM *et al.* 2006; JAKOBI e PETRY 2006; BHAT *et al.* 2007; O'CONNOR *et al.* 2007; LENDNER e DAUGSCHIES 2014)–, os cales se poden unir a distintos receptores, estruturas ou complexos que se atopan na superficie da célula a infectar. Outros factores adicionais, como a temperatura corporal do hóspede ou a secreción de sustancias producidas durante a dixestión –como as sales biliares ou a tripsina– parecen influír tamén no desenvolvemento destes procesos (CURRENT e HAYNES 1984; CHEN *et al.* 2004a).

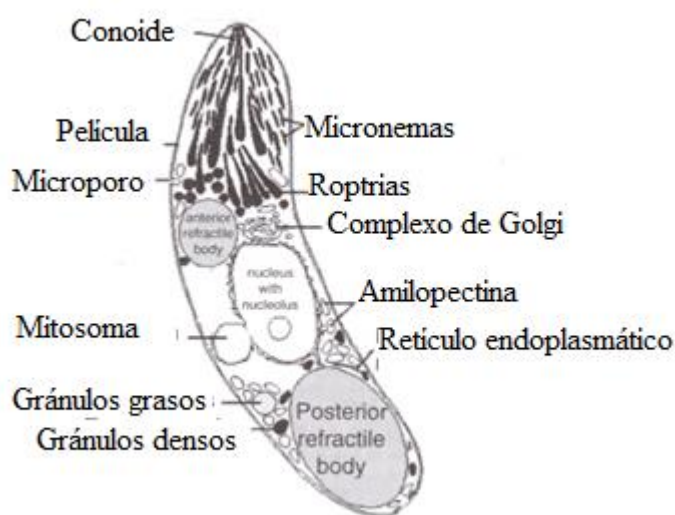


Figura 3. Estrutura interna dun esporozoito de *Cryptosporidium* spp. (ORTEGA-PIERRES 2009).

No momento en que se produce o recoñecemento da célula hóspede e unha vez liberado o contido dos orgánulos secretores do complexo apical, o enterocito rodea ó parasito

por medio dunhas protuberancias que se forman na súa membrana apical, adheríndoo á superficie celular. Durante dito proceso prodúcese unha fusión incompleta entre a membrana do parasito e a da célula hóspede, creando unha interface na que se formará o vacúolo parasitóforo (CURRENT e REESE 1986; MARCIAL e MADARA 1986; LUMB *et al.* 1988; UMEMIYA *et al.* 2005). Este vacúolo é utilizado polo parasito para captar nutrientes procedentes da célula hóspede (HUANG *et al.* 2004; FAYER e XIAO 2008; VALIGUROVA *et al.* 2008; LENDNER e DAUGSCHIES 2014) e activar certas rutas de sinalización celular dirixidas a muda-la estrutura e o normal funcionamento dos enterocitos infectados (FORNEY *et al.* 1999; CHEN e LARUSSO 2000; ELLIOTT *et al.* 2001; CHEN *et al.* 2004b; LENDNER e DAUGSCHIES 2014).

Rematado o proceso de ancoraxe, o esporozoito muda a súa forma aplanada e pasa a se converter nun trofozoito esférico cun único núcleo prominente (**Figura 1, c**), que se multiplica asexualmente. Como resultado dese proceso orixínanse merontes que conteñen ata oito núcleos no seu interior (merontes tipo I) (**Figura 1, d**) e que se van diferenciar noutros tantos merozoitos mononucleados (**Figura 1, e**). Estes poden abandona-lo vacúolo parasitóforo e infecta-los enterocitos máis próximos, onde poden iniciar un novo ciclo multiplicativo asexual como o descrito anteriormente (**Figura 1, c e d**), ou ben poden entrar nun ciclo multiplicativo distinto que conduce a unha fase de reprodución sexual. Neste caso os merozoitos transfórmanse en merontes tipo II, que se dividen dúas veces para produciren catro merozoitos tipo II (**Figura 1, f**). Estes son liberados ó lumen intestinal e se adhíren a novas células epiteliais onde se transforman en microgamontes (**Figura 1, g**) –masculinos– e macrogamontes (**Figura 1, h**) –femininos– (TZIPORI e WIDMER 2000; BOUZID *et al.* 2013). Os microgamontes sofren múltiples divisións nucleares dando lugar a microgametos, equivalentes a células espermáticas, que son liberados da célula hóspede e van fertilizar ós macrogametos, células uninucleadas inmóbiles semellantes a óvulos.

Despois da fertilización fórmase un cigoto diploide (**Figura 1, i**), o cal durante a esporogonia sofre unha meiose reducional e da lugar a catro esporozoitos haploides con plena capacidade infectiva. No proceso os cigotos transfórmanse en ooquistes, que poden ser excretados ó medio ambiente por vía fecal para dar comezo a un novo ciclo (**Figura 1, k**) ou quedarse no hóspede e comezar un novo proceso de autoinfección (**Figura 1, l**) (FAYER e XIAO 2008; BOUZID *et al.* 2013; LENDNER e DAUGSCHIES 2014). Este último tipo de ooquistes –xunto cos merontes ou merozoitos tipo I– son os responsables dos procesos de autoinfección endóxena dos hóspedes, que ocasionalmente derivan en infeccións crónicas.

3. Clasificación taxonómica e posición filoxenética do xénero *Cryptosporidium*

Os criptosporidios clasifícanse no *phylum* Apicomplexa (LEVINE *et al.* 1980; UPTON e CURRENT 1985; FAYER e UNGAR 1986; LEVINE 1988; TZIPORI e GRIFFITHS 1998), formado por máis de 300 xéneros e unhas 4800 especies. Todas elas son de vida parasitaria e moitas son axentes causais de enfermidades humanas, entre as que podemos destaca-la malaria (*Plasmodium* spp.), a toxoplasmore (*Toxoplasma* spp.) ou a babesiose (*Babesia* spp.) (FAYER e XIAO 2008; BOSCH *et al.* 2015). A localización taxonómica de *Cryptosporidium* dentro do *phylum* fundamentouse tradicionalmente en certas características biolóxicas (principalmente a morfoloxía e tipo de ciclo vital), que os achegaban ó grupo dos coccidios (**Táboa 1**) (LEVINE 1980; LEVINE *et al.* 1980; FAYER e UNGAR 1986; O'DONOGHUE 1995; UPTON 2000).

Táboa 1. Clasificación taxonómica de *Cryptosporidium* spp

Clasificación	Nome	Características biolóxicas
Filo	Apicomplexa (Levine 1970)	Endosimbiontes unicelulares e parasitarios con complexo apical.
Clase	Conoidasida (Levine 1988)	Complexo apical completo (con conoide)
Subclase	Coccidiasina (Leuckart, 1879)	Gamontes con desenrolo intracelular
Orde	Eucoccidiorida (Leger e Duboscq, 1910)	Ciclo biolóxico con merogonia. A infección prodúcese principalmente en hóspedes vertebrados.
Suborde	Eimeriorina (Leger 1911)	Micro e macrogametos con desenrolo independente.
Familia	Cryptosporidiidae (Leger, 1911)	Ciclo monoxeno monocompartimental. Liberan ooquistes maduros que conteñen catro esporozoitos nus (sen cuberta esporoquística).

Os criptosporidios sempre tiveron a consideración de coccidios inusuais xa que presentan peculiaridades propias que os diferencian do resto dos compoñentes da subclase Coccidiasina (CURRENT 1985; TETLEY *et al.* 1998; SPANO e CRISANTI 2000; CHAPPELL e OKHUYSEN 2002; ABRAHAMSEN *et al.* 2004; XU *et al.* 2004; THOMPSON *et al.* 2005; BARTA e THOMPSON 2006; SHORE GARCÍA 2007; FAYER e XIAO 2008; VALIGUROVA *et al.* 2008; MOGI e KITA 2010; GUBBELS e DURASINGH 2012; BOUZID *et al.* 2013; LENDNER e DAUGSCHIES 2014), entre as que destacan:

- Carecen de esporoquistes, micropilo, gránulos polares, apicoplasto e mitocondrias funcionais, o cal se interpreta como evidencia de que as súas rutas metabólicas son máis sinxelas que as do resto de coccidios descritos.
- Os ooquistes son pequenos e parecen amosar dúas morfoloxías ou tipos segundo o grosor que presenten as súas paredes celulares: os de parede grossa (“*thick-walled*”) e os de parede fina (“*thin-walled*”). Así, os primeiros saen do hóspede coas feces (**Figura 1, k**), dado que poden sobrevivir mellor no exterior; mentres que os segundos presentan unhas paredes moito máis finas, que permiten unha saída máis doada e rápida dos esporozoitos dentro do mesmo hóspede (**Figura 1, l**).
- Os criptosporidios desenrolan a maior parte do seu ciclo vital no tracto gastrointestinal de vertebrados sen requiriren dunha fase extraintestinal para completa-la súa maduración e activa-la súa capacidade infectiva.
- Son resistentes ós antibióticos e a outros axentes usados habitualmente para controla-las infeccións causadas por outros coccidios, así como a un grande número de desinfectantes.
- Tódalas fases intracelulares do ciclo vital de *Cryptosporidium* desenvólvense na superficie apical das células ás cales parasita –xusto por debaixo das microvellosidades dos enterocitos– e, dentro destas, nunha posición intracelular pero extracitoplasmática, sen invadi-lo citoplasma da célula hóspede (**Figura 1, c**). Ademais, a unión entre o parasito e a célula vén mediada por unha estrutura multimembranosa –o orgánulo alimentario– que se forma na base do vacúolo parasitario e que facilita a absorción de nutrientes procedentes da célula infectada.

É interesante detallar un pouco máis polo miúdo esta última característica dos criptosporidios, dado que esta forma de adhesión á membrana no extremo apical das células hóspede é moi semellante á empregada por algunhas especies de gregarinas –un grupo ancestral de parasitos que afecta principalmente a vermes e outros invertebrados mariños (ADL *et al.* 2005; LANE e ARCHIBALD 2008)–, pois ambos organismos forman unha interface especializada entre eles e a célula parasitada na superficie da mesma para obter nutrientes do hóspede (**Figura 4**), amosando polo tanto estratexias de adaptación e de supervivencia como parasitos semellantes (BARTA e THOMPSON 2006; BUTAEVA *et al.* 2006; VALIGUROVA *et al.* 2007; ALDEYARBI e KARANIS 2015; BARTOSOVA-SOJKOVA *et al.* 2015; CLODE *et al.* 2015; RYAN e HIJAWI 2015). A estas similitudes na súa localización dentro do hóspede e nos modos de adhesión e de nutrición atopados entre as gregarinas e os criptosporidios súmaselle

a coincidencia de certas características morfolóxicas, estruturais e biolóxicas comúns para ambos grupos de parasitos (HIJAWI *et al.* 2002; HIJAWI *et al.* 2004; ROSALES *et al.* 2005; VILOGUROVA e KOUDELA 2005; BARTA e THOMPSON 2006; TOSO e OMOTO 2007; ORTEGA-PIERRES 2009; CLODE *et al.* 2015), como son :

- A ausencia de apicoplasto.
- A presenza dun ciclo vital monoxeno.
- A formación durante os seus respectivos ciclos vitais duns ooquistes que se atopan rodeados por unha parede celular formada por dúas capas e que amosan uns tamaños semellantes.
- Ciclo vital con tres estadios celulares diferenciados: merogonia, gametogonia e esporogonia.
- A existencia de posibles fases de desenvolvemento extracelular.

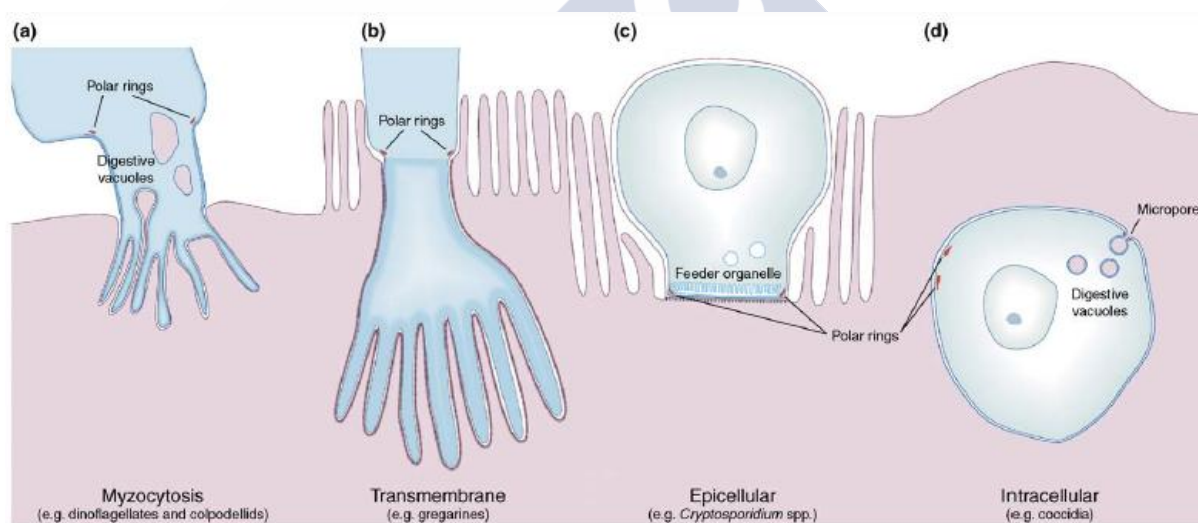


Figura 4. Diferentes tipos de interacción observadas entre as células hóspede e os parasitos dinoflaxelados (a), gregarinas (b), *Cryptosporidium* spp. (c) e coccidios (d). A interacción que amosan os dinoflaxelados, coñecida como mizocitosis, tamén se denomina *vampirismo celular*. As gregarinas amosan unha unión máis estreita coa célula hóspede, onde a localización intracelular pode ser parcial ou completa, pero sempre nunha posición epicelular. No caso de *Cryptosporidium*, esta adhesión epicelular ó hóspede observada nos dous grupos anteriores evolucionou cara un grao maior de especialización, mediada por un orgánulo membranoso encargado da captación de nutrientes dende o hóspede. Pola súa parte, os coccidios soen localizarse no citoplasma da célula parasitada e emprega tanto o transporte transmembrana como microporos para obter nutrientes da célula hóspede (BARTA e THOMPSON 2006).

Se xa estas características biolóxicas facían dubidar á comunidade científica sobre a posición taxonómica destes organismos, a incorporación de ferramentas de análise molecular ó estudo dos criptosporidios provocou que a súa localización filoxenética fose mudando ó longo do tempo. Así, mentres a principios dos anos 90 situábanse preto do xénero *Plasmodium* (BARTA *et al.* 1991) –pese a que xa existían estudos previos que poñían en cuestión a filoxenia establecida para o *phylum* Apicomplexa (JOHNSON *et al.* 1990)–, en análises posteriores foise desbotando a hipótese inicial de que *Cryptosporidium* pertencera ó grupo dos coccidios. Hoxe en día considérase un clado diferenciado que está próximo ás gregarinas (inclúese dentro da subclase Cryptogregarina), coas cales comparte un antepasado común na base do *phylum* (MORRISON e ELLIS 1997; CARRENO *et al.* 1999; ZHU *et al.* 2000a; LEANDER *et al.* 2003a; LEANDER *et al.* 2003b; THOMPSON *et al.* 2005; BARTA e THOMPSON 2006; TEMPLETON *et al.* 2010; CAVALIER-SMITH 2014; CLODE *et al.* 2015) (**Figura 5**).

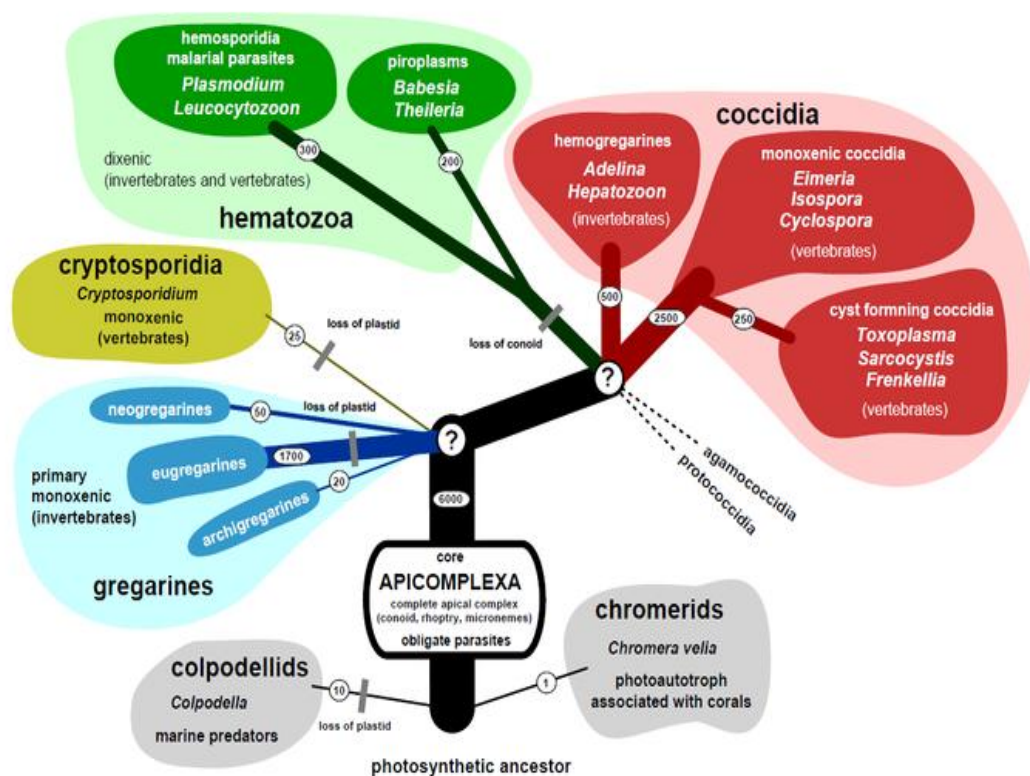


Figura 5. Relacións filoxenéticas hipotéticas do *phylum* Apicomplexa (adaptado de PORTMAN e SLAPETA 2014).

3.1 Especies descritas e criterios para a asignación de novas especies

Os criterios empregados durante moitos anos para a identificación das diferentes especies que compoñen o xénero *Cryptosporidium* foron as características morfolóxicas dos ooquistes e a súa aparente especificidade de hóspede (FAYER e XIAO 2008). Nembargante, as limitacións desta metodoloxía fixeron que a discriminación entre especies fose complexa, xa que o tamaño dos ooquistes varía pouco entre especies e a especificidade de hóspede non sempre existe (FALL *et al.* 2003; XIAO *et al.* 2004a). De feito, xeráronse confusións que motivaron o descarte dalgunha especie por ser redundante ou por pertencer a outro xénero de coccidios (como foi o caso de *C. vulpis* ou *C. crotali*, especies adscritas na actualidade ó xénero *Sarcocystis*) (FAYER e XIAO 2008; FAYER 2010; RYAN *et al.* 2014). Estas rectificacións foron posibles grazas á aplicación de técnicas de bioloxía molecular que ofrecen maior resolución para a correcta asignación de especies e xenotipos de *Cryptosporidium* (MORGAN *et al.* 1999c; MORGAN *et al.* 1999d).

Desta maneira, conforme ás indicacións do Código Internacional de Nomenclatura Zoolóxica (ICZN, siglas en inglés) do ano 2006, para describir unha especie de *Cryptosporidium* débense avaliar as súas características morfolóxicas, biolóxicas e xenéticas (EGYED *et al.* 2003; FALL *et al.* 2003; XIAO *et al.* 2004a; XIAO *et al.* 2007a; FAYER e XIAO 2008; JIRKU *et al.* 2008; FAYER 2010; RYAN *et al.* 2014). Para elo requírese:

- A realización de estudos morfolóxicos dos ooquistes, incidindo nas diferenzas de tamaño (tamaño medio e gama de tamaños observados) e forma (cálculo da relación da medida lonxitude:anchura).
- A análise das súas propiedades biolóxicas, como a especificidade de hóspede, a capacidade dos esporozoitos para saíren do ooquiste, a localización da infección no hóspede, a súa patoxenicidade ou a periodicidade da mesma.
- A caracterización molecular, baseada na obtención de secuencias nucleotídicas dos *loci* mellor estudados, como por exemplo os xenes da *SSU rRNA*, da proteína HSP70 ou da proteína 1 da parede do ooquiste (COWP1).

Na actualidade coñécense ó redor dunhas 30 especies de *Cryptosporidium* (Táboa 2) (FAYER e XIAO 2008; ORTEGA-PIERRES 2009; PLUTZER e KARANIS 2009; RYAN *et al.* 2014), aínda que na literatura segue sen haber consenso sobre a validez dalgunhas delas (SLAPETA

2012; XIAO *et al.* 2012). Por exemplo, algúns criptosporidios detectados en peixes (*C. nasorum* ou *C. scophthalmi*) (FAYER e XIAO 2008; RYAN *et al.* 2014) considéranse *nomina nuda* por non cumprir a totalidade das normas establecidas polo ICZN (XIAO *et al.* 2007a), o cal se debe xeralmente á ausencia de secuencias nucleotídicas que os identifiquen como especies diferentes ás xa descritas.

3.2 Xenotipos e subtipos identificados no xénero *Cryptosporidium*

Ademais das especies anteriormente citadas, existen ata 60 xenotipos de *Cryptosporidium* (FAYER e XIAO 2008; PLUTZER e KARANIS 2009; RYAN *et al.* 2014) baseados nas secuencias de *loci* coma o da *SSU rRNA*, da actina ou da proteína HSP70 (MORGAN *et al.* 1999a; THOMPSON *et al.* 2005; FAYER e XIAO 2008; FAYER 2010), que agardan unha análise máis pormenorizada para determinar se corresponden a liñaxes de especies xa descritas ou se consideran novas especies. Entre eles hai illados de pato (ZHOU *et al.* 2004), porco (SUÁREZ-LUENGAS *et al.* 2007), cabalo (RYAN *et al.* 2003a) ou mangosta (ABE *et al.* 2004). Nesta situación estiveron *C. bovis* (FAYER *et al.* 2005), *C. canis* (FAYER *et al.* 2001), *C. ryanae* (FAYER *et al.* 2008), *C. ubiquitum* (FAYER *et al.* 2010) ou *C. xiaoi* (FAYER e SANTIN 2009), anteriormente consideradas como liñaxes doutras especies.

Por outra parte, a análise de marcadores altamente polimórficos coma microsatélites, minisatélites e algúns xenes funcionais permitiu sub-estruturar liñaxes dentro dalgunhas das especies xa descritas. Entre estes marcadores destaca o *locus gp60*, (STRONG e NELSON 2000; GLABERMAN *et al.* 2001; GLABERMAN *et al.* 2002; ALVES *et al.* 2003b; FAYER e XIAO 2008; LI *et al.* 2014; RYAN *et al.* 2014) que codifica para dúas glicoproteínas que participan nos procesos de recoñecemento, unión, ancoraxe e invasión da célula hóspede (THOMPSON *et al.* 2005; LENDNER e DAUGSCHIES 2014) e amosa unha variabilidade nucleotídica superior á reportada para outros xenes (STRONG *et al.* 2000; COHEN *et al.* 2006). A secuencia deste xene é moi variable e os seus distintos alelos son empregados para identificar liñaxes do parasito dentro dunha especie, denominadas *familias de subtipos*. Ademais, na rexión 5' deste *locus* hai un microsatélite que inclúe un número variable de codóns que codifican para o aminoácido serina (TCA, TCG e TCT), cuxas secuencias son utilizadas para definir subdivisións (ou *subtipos*) dentro de cada unha das familias de subtipos descritas (ver seccións posteriores) (SULAIMAN *et al.* 2005; FAYER e XIAO 2008; XIAO 2010; RYAN *et al.* 2014).

Táboa 2. Especies descritas dentro do xénero *Cryptosporidium*

Especie	Descrición	Localización da infección	Tamaño do ooquiste (μm)	Hóspedes
<u><i>C. andersoni</i></u>	LINDSAY <i>et al.</i> 2000	Gástrica (abomasum)	7.4 (6.0–8.1) \times 5.5 (5.0–6.5)	Mamíferos
<i>C. baileyi</i>	CURRENT <i>et al.</i> 1986	Cloaca, bolsa Fabricio	4.8 (4.8–5.7) \times 6.4 (5.6–7.5)	Aves
<u><i>C. bovis</i></u>	BARKER e CARBONELL 1974; FAYER <i>et al.</i> 2005	Intestino delgado	4.9 (4.8–5.4) \times 4.6 (4.2–4.8)	Mamíferos
<u><i>C. canis</i></u>	FAYER <i>et al.</i> 2001	Intestino delgado	4.95 \times 4.75	Mamíferos
<u><i>C. cuniculus</i></u>	INMAN e TAKEUCHI 1979; ROBINSON <i>et al.</i> 2010	Intestino delgado	5.9 (5.6–6.4) \times 5.4 (5–5.9)	Mamíferos
<u><i>C. erinacei</i></u>	KVAC <i>et al.</i> 2014	Intestino	4.9 (4.5–5.8) \times 4.4 (4.0–4.8)	Mamíferos
<u><i>C. fayeri</i></u>	RYAN <i>et al.</i> 2008	Intestino delgado	4.9 (4.5–5.1) \times 4.3 (3.8–5.0)	Mamíferos
<u><i>C. felis</i></u>	ISEKI 1979	Intestino delgado	4.5 (5.0–4.5) \times 5.0 (6.0–5.0)	Mamíferos
<i>C. fragile</i>	JIRKU <i>et al.</i> 2008	Estómago	6.2 (5.5–7.0) \times 5.5 (5.0–6.5)	Anfibios
<i>C. galli</i>	PAVLASEK 1999; RYAN <i>et al.</i> 2003b	Gástrica	8.3 (8.0–8.5) \times 6.3 (6.2–6.4)	Aves
<u><i>C. hominis</i></u>	MORGAN-RYAN <i>et al.</i> 2002	Intestino delgado	4.8 (6.4–5.4) \times 5.2 (4.4–5.9)	Mamíferos
<i>C. macropodum</i>	POWER e RYAN 2008	Descoñecida	4.9 (4.5–6.0) \times 5.4 (5.0–6.0)	Mamíferos
<u><i>C. meleagridis</i></u>	SLAVIN 1955	Intestino delgado	5.0 (4.5–6.0) \times 4.4 (4.2–5.3)	Mamíferos e aves
<i>C. molnari</i>	ÁLVAREZ-PELLITERO e SITJA-BOBADILLA 2002	Estómago, intestino delgado	4.7 (3.2–5.5) \times 4.5 (3.0–5.0)	Peixes
<u><i>C. muris</i></u>	TYZZER 1907; TYZZER 1910	Estómago	6.3 (5.5–7.0) \times 8.4 (7.5–9.8)	Mamíferos

Táboa 2. Continuación.

Especie	Descrición	Localización da infección	Tamaño do ooquiste (μm)	Hóspedes
<u><i>C. parvum</i></u>	TYZZER 1912	Intestino delgado	4.9 (4.5-5.4) \times 4.4 (4.2-5.2)	Mamíferos
<i>C. ryanae</i>	FAYER <i>et al.</i> 2008	Descoñecida	3.2 (2.9-4.4) \times 3.7 (2.9-3.7)	Mamíferos
<u><i>C. scrofarum</i></u>	KVAC <i>et al.</i> 2013	Intestino	5.2 (4.8-5.9) \times 4.8 (4.2-5.3)	Mamíferos
<i>C. serpentis</i>	LEVINE 1980	Estómago	6.2 (5.6-6.6) \times 5.3 (4.8-5.6)	Réptiles
<u><i>C. suis</i></u>	RYAN <i>et al.</i> 2004	Intestino delgado e groso	4.6 (4.9-4.4) \times 4.2 (4.0-4.3)	Mamíferos
<u><i>C. tyzzeri</i></u>	REN <i>et al.</i> 2012	Intestino	4.64 \times 4.19	Mamíferos
<u><i>C. ubiquitum</i></u>	FAYER <i>et al.</i> 2010	Intestino	5.0 (4.7-5.3) \times 4.7 (4.3-4.9)	Mamíferos
<u><i>C. varanii</i></u>	PAVLASEK e RYAN 2008	Estómago e intestino delgado	4.7 (4.2-5.2) \times 5 (4.4-5.6)	Réptiles
<u><i>C. viatorum</i></u>	ELWIN <i>et al.</i> 2012b	Intestino	5.4 (4.8-5.7) \times 4.7 (4.1-5.2)	Mamíferos
<i>C. wrairi</i>	VETTERLING <i>et al.</i> 1971	Intestino delgado	4.6 (4.0-5.0) \times 5.4 (4.8-5.6)	Mamíferos
<u><i>C. xiaoi</i></u>	FAYER e SANTIN 2009	Descoñecida	3.9 (2.9 - 4.4) \times 3.4 (2.9 - 4)	Mamíferos

Nota: destácanse en negriña e subliñadas as especies atopadas en humanos

4. Características xenómicas do xénero *Cryptosporidium*

Existen sete iniciativas en curso dirixidas a estudar os xenomas do xénero *Cryptosporidium* (*C. baileyi*, *C. hominis*, *C. meleagridis*, *C. muris*, *C. parvum*, *C. ubiquitum* e o do xenotipo *Cryptosporidium* spp. chipmunk) (<http://www.ncbi.nlm.nih.gov/bioproject/>), que se atopan en distintos grados de elaboración. Na actualidade só están dispoñibles as secuencias dos cromosomas de *C. parvum* (illado Iowa II) (ABRAHAMSEN *et al.* 2004), namentres que o xenoma de *C. muris* (illado RN66) está ensamblado en secuencias consenso de fragmentos solapantes (ou contigs, en inglés) e o de *C. hominis* (illado TU502) (XU *et al.* 2004) en

scaffolds –que son series sinténicas de *contigs*– (ORTEGA-PIERRES 2009). As secuencias de todos os xenomas son accesibles en dous repositorios públicos: GenBank (<http://www.ncbi.nlm.nih.gov/genbank/>) e CryptoDB (<http://www.cryptodb.org>) (PUIU *et al.* 2004; HEIGES *et al.* 2006).

Se atendemos unicamente ás especies que presentan unha ensamblaxe máis avanzada (*C. parvum* e *C. hominis*) observamos que posúen xenomas moi compactos (KEELING 2004), de pouco máis de 9 Mb, repartidos en oito grupos de ligamento de entre 0,9 e 1,4 Mb de lonxitude, e que conteñen cerca de 4.000 xenes (ABRAHAMSEN *et al.* 2004; XU *et al.* 2004) (**Táboa 3**). A homoloxía nucleotídica promedio entre as dúas especies é do 96,7% (XU *et al.* 2004; BOUZID *et al.* 2010; MAZURIE *et al.* 2013), conteñen un número parecido de secuencias de rRNAs e tRNAs e exhiben un elevada proporción de pares Adenina-Timina, tanto nas rexións codificadoras como nas non codificadoras (70% e 75%, respectivamente) (XU *et al.* 2004) (**Táboa 3**).

Comparados con outros xenomas de Apicomplexa, os do xénero *Cryptosporidium* son moi reducidos. Conteñen uns 1.200 xenes menos que *Plasmodium falciparum* e 3.800 menos que *Toxoplasma gondii*. Inclúen menos intróns, presentes unicamente nun 5–20% dos seus xenes, fronte ó 54% dos de *P. falciparum* ou ó 74% dos de *Theileria parva*, e as rexións interxénicas comprenden unha fracción do xenoma unha orde de magnitude menor á observada en *P. falciparum* (GARDNER *et al.* 2002; BANKIER *et al.* 2003; ABRAHAMSEN *et al.* 2004; XU *et al.* 2004; GARDNER *et al.* 2005; AJIOKA 2007; GAJRIA *et al.* 2008) (**Táboa 3**).

Os criptosporidios carecen de xenes implicados na síntese de aminoácidos (FAYER e XIAO 2008) e nalgúñas rutas bioquímicas básicas, como o ciclo de Krebs ou a fosforilación oxidativa (DENTON *et al.* 1996; KEITHLY *et al.* 1997; ABRAHAMSEN *et al.* 2004; XU *et al.* 2004; FAYER e XIAO 2008); no canto, obsérvase un incremento no número de proteínas transportadoras, que dobra ás descritas en *P. falciparum* (ABRAHAMSEN *et al.* 2004; FAYER e XIAO 2008).

Outra característica molecular das especies que compoñen o xénero *Cryptosporidium* é a ausencia de xenoma mitocondrial e o do apicoplasto (ZHU *et al.* 2000b; BARTA e THOMPSON 2006; MOGI e KITA 2010). Así, a pesares de que no ADN nuclear existen varios xenes de orixe mitocondrial (RIORDAN *et al.* 2003) e de que presentan un mitosoma –remanente dunha antiga mitocondria (RIORDAN *et al.* 2003; PUTIGNANI *et al.* 2004; ALCOCK *et al.* 2012; HEINZ e LITHGOW 2013)–, este orgánulo non é funcional.

Táboa 3. Características xenómicas de *Cryptosporidium* spp. comparado con outros representantes do phylum Apicomplexa .

	<i>C. hominis</i>	<i>C. parvum</i>	<i>P. falciparum</i>	<i>T. gondii</i>	<i>T. parva</i>
Cromosomas	8	8	14	14	4
Tamaño (Mb)	9,16	9,11	22,84	63,5	8,31
GC (%)	31,7	30,3	19,4	52,2	34,1
Número de xenes	3994	3952	5268	7793	4035
Lonxitude media dos xenes (pb)	1576	1720	2283	2265	1407
Rexións codificadoras (%)	69	74	53	30	68,4
Xenes con intróns (%)	5-20	5	54	74	74
GC das rexións codificantes (%)	32,3	31,9	23,7	57,2	-
Número de tRNAs	45	45	43	174	47
Número de 5S rRNAs	6	6	3	4	3
Número de 5.8S, 18S e 28S rRNAs	5	5	7	420	2

4.1 Características moleculares do xénero *Cryptosporidium*: baixos niveis de polimorfismo e de recombinación

A maioría dos traballos moleculares adicados ó estudo da estrutura xenética das poboacións naturais das diferentes especies e subtipos de *Cryptosporidium* céntranse en avalia-los niveis de polimorfismo destes parasitos analizando os cambios atopados no número de repeticións de determinadas secuencias micro e minisatélites, como por exemplo os *loci* ML1, MS9 ou as repeticións de serina atopadas no *locus gp60* (STRONG *et al.* 2000; LEAV *et al.* 2002; ALVES *et al.* 2003a; SULAIMAN *et al.* 2005; ALVES *et al.* 2006; WIDMER 2009; WIDMER e SULLIVAN 2012). No entanto, estes estudos teñen principalmente unha finalidade epidemiolóxica, encamiñados a describi-las características dun andazo dado (determina-las especies e subtipos infectantes e a súa relación entre eles) e baséanse nunha serie de marcadores que non son os máis idóneos para describi-los padróns de diversidade xenética das poboacións, dadas as características evolutivas peculiares dos micro e minisatélites (GOLDSTEIN e POLLOCK 1997; ELLEGREN 2004). Por contra, apenas hai datos sobre os niveis de diversidade en rexións codificadoras (caso dos *loci* da *SSU RNA*, *actina*, *hsp70* ou *COWPI*) aínda que se sabe que, coa excepción dalgún *locus* como *gp60* (STRONG *et al.* 2000; WIDMER 2009), estas son pouco variables (PAIN *et al.* 2005; BOUZID *et al.* 2010).

Pola súa banda, os estudos sobre recombinación son moi importantes para o coñecemento da bioloxía dun parasito dado que ditos eventos provocan o incremento da súa variabilidade xenética e poden favorecer a súa adaptación ós organismos ós cales parasita, que é un dos parámetros empregados na delimitación de especies (MORGAN-RYAN *et al.* 2002; FAYER *et al.* 2010; WIDMER e SULLIVAN 2012). Os criptosporidios mostran fases sexuais durante o seu ciclo vital (ver seccións anteriores) e xenes homólogos ós implicados nos procesos de recombinación meiótica atopados noutros organismos eucariotas, aínda que presentan certas modificacións na secuencia dalgunhas das proteínas que participan nos procesos de replicación e recombinación do ADN (ZHU *et al.* 1999; MILLERSHIP e ZHU 2002; ABRAHAMSEN *et al.* 2004; MILLERSHIP *et al.* 2004; RIDER *et al.* 2005; ROY e PENNY 2007; RIDER e ZHU 2008; RIDER e ZHU 2010). Sen embargo, a pesares de contar cunha serie de marcadores xenéticos que permiten detectar procesos de recombinación dentro do xénero *Cryptosporidium* –que adoitan coincidir coas secuencias empregadas na realización dos estudos poboacionais (ver enriba) (FENG *et al.* 2002; MALLON *et al.* 2003a; XIAO e FENG 2008; DRUMO *et al.* 2012; HERGES *et al.* 2012; WIDMER e SULLIVAN 2012; DE WAELE *et al.* 2013; FENG *et al.* 2013; LI *et al.* 2013; QUÍLEZ *et al.* 2013; FENG *et al.* 2014; ZHAO *et al.* 2014)– ata o día de hoxe non existe evidencias claras de que se produzan entrecruzamentos entre especies diferentes de *Cryptosporidium* (ZHOU *et al.* 2003b). Do que si existen evidencias é de procesos de recombinación sexual –xa fora en observacións naturais ou en estudos experimentais– entre subtipos da mesma especie durante unha infección mixta nun hóspede (WIDMER *et al.* 1998; MALLON *et al.* 2003a; MALLON *et al.* 2003b; WIDMER 2004; TANRIVERDI *et al.* 2007; TANRIVERDI *et al.* 2008; WIDMER e LEE 2010; HERGES *et al.* 2012; DE WAELE *et al.* 2013; LI *et al.* 2013; CACCIO *et al.* 2015), aínda que ditas evidencias non son concluíntes respecto á existencia de recombinación meiótica en *Cryptosporidium*. Isto débese a que cabe a posibilidade de que ditos xenotipos quiméricos poidan tratarse de recombinantes de PCR –artefactos obtidos durante o proceso de amplificación no momento de estudar unha infección mixta– (PAABO *et al.* 1990; ZHOU *et al.* 2003b; RUECKER *et al.* 2011). Así as cousas, aínda que os procesos de recombinación se consideran posibles nas especies do xénero *Cryptosporidium*, pénsase que estes só se manifestan de maneira puntual (DRUMO *et al.* 2012) e implican unicamente a algúns xenes ou rexións cromosómicas (LI *et al.* 2013; FENG *et al.* 2014; GUO *et al.* 2015; WIDMER *et al.* 2015).

5. Ferramentas e métodos empregados para detecta-la presenza de *Cryptosporidium*

Existen numerosas ferramentas que permiten o diagnóstico da criptosporidiose, dentro das cales podemos destaca-lo exame histolóxico de biopsias –tanto en microscopio óptico como empregando microscopía electrónica–, a identificación de ooquistes con métodos de tinctura ou coloración fluorescente/inmunofluorescente, a detección de antíxenos nas feces mediante enzimoimmunoensayo (ELISA) ou a amplificación e opcional secuenciación de fragmentos de ADN (FAYER e XIAO 2008). Na actualidade, as técnicas que se empregan de maneira máis rutineira na maior parte dos laboratorios son o diagnóstico mediante microscopía e a inmunoanálise (KATANIK *et al.* 2001; GARCÍA *et al.* 2003; SHORE GARCÍA 2007).

5.1 Detección mediante tinturas e microscopía

A detección de ooquistes presentes en mostrás mediante microscopía é, sen dúbida, a técnica máis empregada para o estudo e confirmación da criptosporidiose (FAYER e XIAO 2008). Xeralmente, antes da observación é preciso concentra-las mostrás. Un dos métodos máis habituais para elo é o de Ritchie, baseado no uso de disolucións de sacarosa de diferente concentración (MCNABB *et al.* 1985; ARROWOOD e STERLING 1987; FAYER e XIAO 2008). Os ooquistes teñen un tamaño similar –entre 4 e 7 μm – ós lévedos e outros organismos unicelulares, polo que é preciso empregar tinturas específicas para a súa correcta identificación. Dentro das máis empregadas podemos atopar:

1. Tinctura Giemsa: Foi un método moi empregado na década dos setenta (POHLENZ *et al.* 1978; TZIPORI *et al.* 1980). Presenta o problema de que tanto os ooquistes como os fungos tinguense de cor púrpura, o que pode xerar confusións no diagnóstico (AUSINA RUÍZ e MORENO GUILLÉN 2006). As bacterias tamén se tinguen de púrpura, pero estas son distinguíbles facilmente polo seu pequeno tamaño.

2. Modificación do método Ziehl-Neelsen: Permite sortea-lo problema observado co método anterior, xa que os ooquistes de *Cryptosporidium* tenden a se tinguir de cor rosa ou vermello, no canto que os fungos o fan de cor azul verdoso (HENRIKSEN e POHLENZ 1981; CASEMORE 1991; AUSINA RUÍZ e MORENO GUILLÉN 2006). Un dos

problemas desta tintura é que é moi complexo diferenciar entre os ooquistes de *Cryptosporidium* e os de *Cyclospora* spp. ou *Isospora* spp. (FAYER e XIAO 2008).

3. Safranina – azul de metileno: Os ooquistes de *Cryptosporidium* tinguense dunha cor rosa alaranxado brillante, no canto que os fungos, bacterias e os quistes de *Entamoeba* spp. e *Giardia lamblia* o fan de cor azul púrpura (BAXBY *et al.* 1984). As esporas bacterianas e os restos fecais tinguense da mesma cor que os ooquistes (AUSINA RUÍZ e MORENO GUILLÉN 2006).

4. Fluorescencia con auramina-fenol: Os ooquistes tinguense dunha cor verde fluorescente e pódense observar directamente baixo filtros ultravioletas. Esta técnica é moito máis sensible que as anteriores, xa que tinge tanto as paredes exteriores do ooquiste como as estruturas interiores debido a que o fenol facilita a penetración da tintura a través das paredes dos quistes (NICHOLS e THOM 1984; CASEMORE *et al.* 1985a; AUSINA RUÍZ e MORENO GUILLÉN 2006; FAYER e XIAO 2008) (**Figura 6**). Esta tintura é a que se emprega de rutina para o diagnóstico da criptosporidiose nos laboratorios do Servizo de Microbioloxía e Parasitoloxía do Hospital Clínico Universitario de Santiago de Compostela.

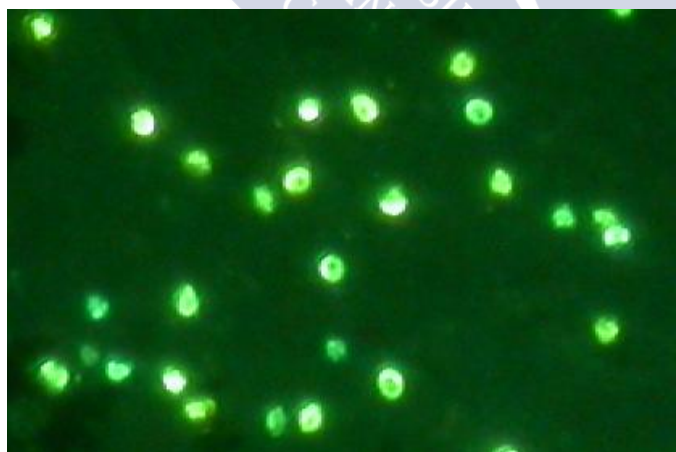


Figura 6. Ooquistes de *Cryptosporidium* spp. tingidos mediante o método da auramina-fenol (imaxe cedida polo Dr. José Llovo Taboada)

5.2 Técnicas de inmunoanálise

Permiten o estudo, recoñecemento e cuantificación de moléculas utilizando reaccións baseadas no recoñecemento antíxeno-anticorpo. Entre estas destacan:

1. Inmunofluorescencia directa ou indirecta con anticorpos monoclonais: Combina microscopía e inmunoanálise (fundaméntase nunha reacción inmunolóxica cun marcador fluorescente), polo que é máis sensible e específica que os métodos descritos anteriormente aínda que require máis tempo de desenrolo e resulta máis cara que as anteriores (XIAO e HERD 1993; AUSINA RUÍZ e MORENO GUILLÉN 2006; FAYER e XIAO 2008).

2. ELISA (Enzyme-linked ImmunoSorbent Assay): Baséase no emprego de enzimas como marcadores inmunoquímicos que van permitir detecta-la presenza de ooquistes (CHAPMAN *et al.* 1990; UNGAR 1990; ROSENBLATT e SLOAN 1993; SHORE GARCÍA 2007). Como no caso anterior, presenta unha alta especificidade, sensibilidade e precisión; e normalmente úsase en forma de kit comercial (KATANIK *et al.* 2001; GARCÍA *et al.* 2003; FAYER e XIAO 2008).

3. Método cuantitativo por citometría de fluxo: A citometría de fluxo é unha técnica biofísica que se apoia no emprego dunha luz láser para contar e clasificar células segundo as súas características morfolóxicas e a presenza de diferentes biomarcadores (xeralmente fluoróforos unidos a anticorpos). Este método é unhas 10 veces máis sensible que os métodos de inmunofluorescencia clásicos, pero para levalo a cabo é necesario contar cun equipamento do que carecen a maioría dos laboratorios clínicos (VALDEZ *et al.* 1997; AUSINA RUÍZ e MORENO GUILLÉN 2006; BARBOSA *et al.* 2008).

5.3 Métodos de diagnóstico molecular

Aínda que os métodos de diagnóstico molecular son máis caros e laboriosos que os anteriormente descritos, son moitísimo máis sensibles (WEBSTER 1993; McLAUCHLIN *et al.* 2003), até o punto de detectar a presenza dun único ooquiste na mostra (FAYER e XIAO 2008). Outra vantaxe é que son os únicos que permiten identificar tanto a especie como o subtipo de *Cryptosporidium* presente na mostra analizada, o cal é esencial á hora de aborda-lo estudo da

epidemioloxía e das vías de transmisión deste parasito (CAMA *et al.* 2003; ZHOU *et al.* 2003a; MCCUIN e CLANCY 2005; SHORE GARCÍA 2007). Ademais, a súa aplicación foi, e segue sendo, decisiva para caracteriza-las distintas especies deste xénero. Nembargante, aínda que na actualidade están publicados os xenomas dalgunhas especies de *Cryptosporidium*, na maioría dos estudos moleculares limítanse á análise dun número reducido de *loci*, entre os que se atopan secuencias de ADN ribosómico, xenes codificantes –como *COWP1*, *hsp70* ou *gp60*– e mini e microsátélites (JIANG e XIAO 2003; CACCIÒ *et al.* 2005; FAYER e XIAO 2008).

As principais ferramentas moleculares empregadas na determinación de especies son:

5.3.1 Técnicas baseadas no estudo de isoenzimas e alozimas

As primeiras ferramentas moleculares empregadas na distinción de especies de *Cryptosporidium* presentes en mostras humanas foron os estudos de isoenzimas e alozimas, que puxeron de manifesto unha grande heteroxeneidade na composición proteica e enzimática destes parasitos (MEAD *et al.* 1990; OGUNKOLADE *et al.* 1993; AWAD-EL-KARIEM *et al.* 1998). Non obstante, e debido principalmente a que era preciso contar cun amplo número de ooquistes purificados, foron rapidamente substituídas pola amplificación de secuencias nucleotídicas mediante a técnica de reacción en cadea da polimerasa (PCR, acrónimo en inglés), a cal presenta a vantaxe de ser máis sensible, específica, e de presentar resultados máis facilmente interpretables (WIDMER e SULLIVAN 2012).

5.3.2 Diagnóstico baseado en PCR

A PCR é un método que permite obter un grande número de copias dunha secuencia determinada de ADN a partires dunhas poucas secuencias moldes empregando cebadores moleculares específicos. O primeiro intento de diagnóstico da criptosporidiose mediante PCR e secuenciación en mostras fecais de procedencia humana e bovina levouse a cabo a principios da década dos noventa, empregando clons (LAXER *et al.* 1991). Anos máis tarde conseguiuase a amplificación de fragmentos da subunidade pequena do ADN ribosómico (tamén denominada *SSU rRNA* ou *18S rRNA*) (JOHNSON *et al.* 1993; JOHNSON *et al.* 1995), secuencia que presenta varias copias no xenoma de *Cryptosporidium* polo que resulta relativamente doada a súa amplificación mediante PCR. Na súa secuencia alternanse rexións

semiconservadas con rexións hipervariables (LE BLANCQ *et al.* 1997; WIDMER *et al.* 1999; XIAO *et al.* 1999a), o cal permite o deseño de cebadores tanto para detectar unicamente a presenza de ooquistes nunha mostra, como para determinar a especie (RYAN *et al.* 2014). Por esta razón foi considerado o marcador universal para describir molecularmente as diferentes especies de *Cryptosporidium* (XIAO *et al.* 1999b; XIAO *et al.* 2001b; NICHOLS *et al.* 2003; LIM *et al.* 2011; NGUYEN *et al.* 2012), aínda que a presenza de varias copias da *SSU rRNA* no xenoma destes parasitos que son distintas entre si (LE BLANCQ *et al.* 1997; XIAO *et al.* 1999a; STRONG e NELSON 2000; NAVARRO-I-MARTÍNEZ *et al.* 2003; ABRAHAMSEN *et al.* 2004; XU *et al.* 2004) pode derivar en que a detección dun parólogo descoñecido se atribúa erroneamente a unha nova especie. Isto, unido á escasa resolución do método como consecuencia da limitada variación entre especies ou xenotipos de *Cryptosporidium* en dito *locus* (GIBBONS-MATTHEWS e PRESCOTT 2003; ABEYWARDENA *et al.* 2014), fixo necesario estende-lo estudo a outros xenes (SANTÍN e ZARLENGA 2009). Deste xeito ampliouse o catálogo de marcadores de especie cos seguintes *loci*: *actina* (SULAIMAN *et al.* 2002), *β -tubulina* (WIDMER *et al.* 1998; ROCHELLE *et al.* 1999; SULAIMAN *et al.* 1999), proteína 1 da parede celular do ooquiste (*COWP1*) (PATEL *et al.* 1998; HOMAN *et al.* 1999; MCCLAUCHLIN *et al.* 1999; TROTZ-WILLIAMS *et al.* 2006) e *hsp70* (SULAIMAN *et al.* 2000; GOBET e TOZE 2001) (**Figura 7**).

As técnicas baseadas na PCR resultaron ter unha sensibilidade maior á das técnicas de inmunofluorescencia empregadas durante a década dos 80 para a identificación de ooquistes (WEBSTER 1993; WEBSTER *et al.* 1993; BALATBAT *et al.* 1996; LENG *et al.* 1996b; FISCHER *et al.* 1998; WIDMER 1998), polo que o seu uso estendeuse rapidamente entre a comunidade científica. A continuación amósanse algunhas das análises moleculares máis comunmente empregadas para interpretar as secuencias obtidas tra-lo proceso de amplificación mediante PCR e que non requiren da secuenciación directa do fragmento amplificado:

5.3.2.1 O uso de RAPDs

Durante os anos 90 aplicouse a amplificación aleatoria de ADN polimórfico (RAPDs no seu acrónimo inglés), mediante cebadores de secuencia arbitraria, para tipar diferentes especies de *Cryptosporidium* (MORGAN *et al.* 1996; TIAN *et al.* 2001; TIAN *et al.* 2002). Esta técnica foi a primeira que permitiu diferenciar xeneticamente a *C. parvum* de *C. hominis* (MORGAN *et al.* 1995). Presenta vantaxes como a rapidez, a escasa cantidade de ADN que precisa, e sobre

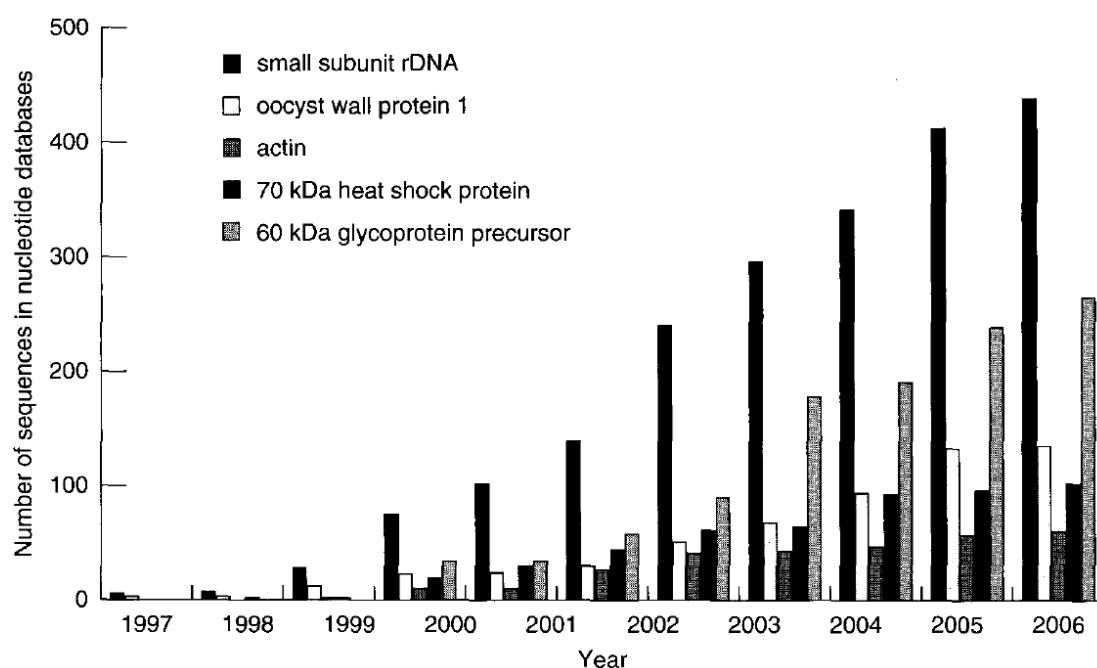


Figura 7. Aumento do número de secuencias dispoñibles dalgúns dos xenos máis estudados dos criptosporidios – *SSU rRNA*, *COWP1*, *actina*, *hsp70* e *gp60* – entre os anos 1997 e 2006 nas bases de datos públicas de secuencias (ORTEGA-PIERRES 2009).

todo, que non require coñecemento previo da secuencia do organismo a estudar. Entre as súas limitacións están a complexa interpretación dos resultados e a súa escasa reproducibilidade.

5.3.2.2 Estudos dos padróns de bandas obtidos con enzimas de restrición

Un método particularmente estendido para a identificación da especie de *Cryptosporidium* presente en mostras fecais e ambientais é a análise do polimorfismo na lonxitude dos fragmentos de restrición (PCR-RFLPs, nas súas siglas en inglés) (AWAD-EL-KARIEM *et al.* 1994; LENG *et al.* 1996a; SPANO *et al.* 1997; KIMBELL *et al.* 1999; XIAO *et al.* 1999b; LOWERY *et al.* 2000; PEDRAZA-DÍAZ *et al.* 2001; STURBAUM *et al.* 2001; XIAO *et al.* 2001a; NICHOLS *et al.* 2003; COUPE *et al.* 2005; FELTUS *et al.* 2006; TROTZ-WILLIAMS *et al.* 2006; FENG *et al.* 2007; FAYER e XIAO 2008; GHERASIM *et al.* 2012). Baséase no recoñecemento de secuencias específicas de nucleótidos que serven como punto de corte para determinadas enzimas de restrición, o que orixina patróns de bandas que difiren entre especies. É unha

tecnoloxía rápida, sinxela e económica. A maioría dos estudos que empregan esta técnica baséanse na lonxitude dos fragmentos da *SSU RNA*, particularmente nun fragmento de dito xene duns 830 pares de bases que se dixire coas enzimas *AseI* (ou *VspI*) e *SspI* e que permite a determinación de numerosas especies de *Cryptosporidium* que afectan a humanos (XIAO *et al.* 1999b; XIAO *et al.* 2004b; FAYER e XIAO 2008) (**Figura 8**), aínda que tamén existen estudos baseados en RFLPs para outros xenos como *COWP1* (SPANO *et al.* 1997; PATEL *et al.* 1998; HOMAN *et al.* 1999), β -*tubulina* (CACCIO *et al.* 1999) ou *hsp70* (GOBET e TOZE 2001).

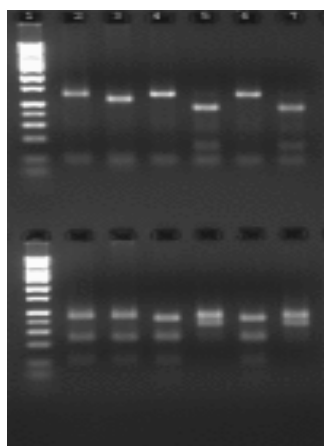


Figura 8. Diferenciación de *Cryptosporidium* spp. mediante a análise por RFLPs do xene *SSU RNA*. Os produtos de PCR foron dixeridos coa enzima *VspI* (panel superior) e coa enzima *SspI* (panel inferior). No carril 1 aparece o *ladder*, no 2 *C. parvum*, no 3 *C. hominis* e nos seguintes diferentes variantes do xenotipo cervino (FELTUS *et al.* 2006).

5.3.2.3 PCR cuantitativa

Na última década introducíronse novas técnicas na determinación de especies de *Cryptosporidium*, entre as que destaca a PCR cuantitativa – ou PCR a tempo real (qPCR). Na qPCR empréganse diferentes pigmentos fluorescentes que se van ir incorporando ó amplicón nos sucesivos ciclos da PCR, o cal permite cuantifica-la cantidade de produto amplificado. No caso da criptosporidiose, esta característica pode resultar útil para estima-los niveis de concentración de ooquistes que presentan as mostras, xa sexan de orixe medioambiental (JOTHIKUMAR *et al.* 2008; HADFIELD *et al.* 2011; LALONDE *et al.* 2013; MARY *et al.* 2013; STAGGS *et al.* 2013; YANG *et al.* 2013; YANG *et al.* 2014) ou clínica (HADFIELD *et al.* 2011). Nembargante, a súa capacidade de diferenciación entre especies é relativamente baixa (RYAN *et al.* 2014).

5.3.2.4 Polimorfismos de conformación de cadea sinxela (SSCP)

A metodoloxía denominada polimorfismo de conformación de cadea sinxela (SSCP, nas súas siglas en inglés) baséase no distinto comportamento electroforético que amosan segundo a

súa secuencia nucleotídica as cadeas monocatenarias de ADN nun xel de poliacrilamida non desnaturalizante (febras con secuencias distintas presentan migracións diferentes). Esta técnica ven sendo utilizada para a detección e xenotipado de *Cryptosporidium* aínda que o seu uso non está demasiado estendido (GASSER *et al.* 2001; JEX *et al.* 2007; JEX *et al.* 2008; POWER *et al.* 2011).

A maioría das técnicas descritas ata agora resultan moi útiles tanto para demostra-la presenza de ooquistes de *Cryptosporidium* nas mostras analizadas como para caracteriza-las especies/xenotipos presentes nas mesmas. Pola contra, carecen de resolución suficiente como para realizar clasificacións en categorías inferiores ás de especie, como en familia de subtipos ou en subtipos. Esta maior precisión ten utilidade práctica pois permite, por exemplo, identifica-la liñaxe causante dun andazo ou determina-la natureza zoonótica ou antroponótica dunha infección, dado que algunha familia de subtipos só se describiu en humanos (IIc de *C. parvum*) (XIAO 2010). O mesmo ocorre con algúns dos métodos descritos anteriormente, como é o caso dos marcadores RFLPs, que non presentan a resolución suficiente para levar a cabo análises a nivel intraespecífico (WIDMER e SULLIVAN 2012), aínda que se fixeron algúns intentos co locus *gp60* (WU *et al.* 2003; COHEN *et al.* 2006; MUTHUSAMY *et al.* 2006). Debido a estas limitacións, buscáronse marcadores alternativos que, unha vez amplificados e secuenciados, permitiran desenmascarar niveis de variabilidade por debaixo do de especie e establecer subdivisións intraespecíficas, como sería o caso de microsatélites e minisatélites (AIELLO *et al.* 1999; CACCIÒ *et al.* 2000; CACCIÒ *et al.* 2001; ALVES *et al.* 2003a; MALLON *et al.* 2003b; WIDMER *et al.* 2004; TANRIVERDI *et al.* 2006; TANRIVERDI e WIDMER 2006; GATEI *et al.* 2007), o do segundo espazador interno do xene da *SSU rRNA* (internal transcribed spacer-2, *ITS-2*) (MORGAN *et al.* 1999b; GASSER *et al.* 2003; GASSER *et al.* 2004; CHALMERS *et al.* 2005; SCHINDLER *et al.* 2005), e, sobre todo, o do xene da glicoproteína GP60 (GLABERMAN *et al.* 2001; PENG *et al.* 2001; LEAV *et al.* 2002; ALVES *et al.* 2003b; SULAIMAN *et al.* 2005; RYAN *et al.* 2014):

5.3.2.5 Análise da variación en microsatélites e minisatélites

Son secuencias repetidas en tándem constituídas por motivos de un a seis pares de bases (microsatélites) ou máis (minisatélites). Presentan taxas de mutación moi superiores ás das secuencias de copia única, como consecuencia dunha maior taxa de erros da ADN polimerasa

durante a replicación do ADN (GOLDSTEIN e POLLOCK 1997; ELLEGREN 2004; TANRIVERDI e WIDMER 2006). Isto redunda nunha maior variabilidade, que favoreceu o seu uso para detectar diferenzas entre distintas poboacións do parasito. Así, na actualidade atópanse dispoñibles un bo número de marcadores, como o ML1 (CACCIÒ *et al.* 2000), o MS1 (KHRAMTSOV *et al.* 1995), as variacións no número de repeticións de serina que presenta o *locus gp60* (SULAIMAN *et al.* 2005), o MS9 ou o TP14 (MALLON *et al.* 2003a; MALLON *et al.* 2003b), entre outros (FAYER e XIAO 2008; WIDMER e SULLIVAN 2012). Na maioría dos casos estas secuencias só están dispoñibles para o estudo da variabilidade de *C. hominis* e *C. parvum*.

Habitualmente fanse análises simultáneas de varios *loci* hipervariables ou MLTs (*multilocus typing*) co fin de incrementa-lo poder de discriminación das mesmas (WIDMER e SULLIVAN 2012). O seu estudo pode afrontarse de dúas maneiras diferentes, xa sexa mediante a detección de diferenzas de lonxitude dos fragmentos amplificados (MLFTs, *multilocus fragment size-based typing*) ou ben avaliando a heteroxeneidade das secuencias obtidas (MLSTs, *multilocus sequence typing*). No primeiro caso (MLFTs), empréganse electroforeses de xeles de poliacrilamida (FENG *et al.* 2000; TANRIVERDI *et al.* 2006; TANRIVERDI e WIDMER 2006) ou tecnoloxías como GeneScan® (Applied Biosystems) (ALVES *et al.* 2003a; MALLON *et al.* 2003a; MALLON *et al.* 2003b; NGOUANESAVANH *et al.* 2006; FAYER e XIAO 2008), que permite asignar xenotipos ós diversos padróns de lonxitude observados en cada un dos *locus* analizados. Pola súa banda, a secuenciación multilocus (MLSTs) baséase na detección de variabilidade xenética nas secuencias de produtos previamente amplificados por PCR (SULAIMAN *et al.* 2001; GATEI *et al.* 2007; FAYER e XIAO 2008; FENG *et al.* 2011b). En comparación coa aproximación anterior, ten a vantaxe de que permite detectar substitucións nucleotídicas dun único nucleótido (SNPs) (GLABERMAN *et al.* 2001; SULAIMAN *et al.* 2001; PENG *et al.* 2003a; GATEI *et al.* 2006; GATEI *et al.* 2007), o cal permite describir con maior precisión a estrutura poboacional e a epidemioloxía destes parasitos (AIELLO *et al.* 1999; CACCIÒ *et al.* 2000; CACCIÒ *et al.* 2001; ENEMARK *et al.* 2002; GATEI *et al.* 2006; GONCALVES *et al.* 2006; FAYER e XIAO 2008; XIAO 2010).

5.3.2.6 Segundo espazador interno do ARN: ITS-2

A análise das secuencias do segundo espazador interno do ARN (ITS-2) mediante SSCP tamén pode empregarse na subtipaxe de *C. parvum* e *C. hominis* (GASSER *et al.* 2003;

GASSER *et al.* 2004; CHALMERS *et al.* 2005; SCHINDLER *et al.* 2005), pero é unha técnica que apenas se emprega na actualidade xa que –como ocorre tamén para o caso do ITS-1– existen grandes diferenzas entre as secuencias de ambos marcadores mesmo dentro dun mesmo illado (LE BLANCQ *et al.* 1997; GIBBONS-MATTHEWS e PRESCOTT 2003).

5.3.2.7 Variación no locus *gp60*

Sen lugar a dúbidas, a ferramenta máis empregada para subclasificar as especies de *Cryptosporidium* fundaméntase na secuenciación do locus *gp60* (GLABERMAN *et al.* 2001; GLABERMAN *et al.* 2002; ALVES *et al.* 2003b; PENG *et al.* 2003b; WU *et al.* 2003; ZHOU *et al.* 2003a; SULAIMAN *et al.* 2005; ABE *et al.* 2006; ALVES *et al.* 2006; FELTUS *et al.* 2006; MUTHUSAMY *et al.* 2006; THOMPSON *et al.* 2007; XIAO *et al.* 2007b; FENG *et al.* 2011a). A diferenza das outras dianas empregadas para o subtipado molecular –como é o caso dos microsátélites, dos minisátélites e das rexións ITS-1 e ITS-2, que son rexións non funcionais, o locus *gp60* codifica dúas glicoproteínas (GP40 e GP15) (STRONG *et al.* 2000) que se localizan na superficie da rexión apical do parasito, as cales median o recoñecemento e invasión da célula hóspede (CEVALLOS *et al.* 2000b; PRIEST *et al.* 2000; WINTER *et al.* 2000; LENDNER e DAUGSCHIES 2014).

A grande diversidade haplotípica que amosa este locus e a importantísima repercusión que tivo a súa utilización como ferramenta de subtipaxe reflíctese no feito de que a nomenclatura empregada na maioría dos traballos para referirse ás distintas variantes intraespecíficas de *Cryptosporidium* baséase exclusivamente nas variantes atopadas para dito marcador. Dita nomenclatura consiste en codificar cada especie –ou xenotipo– mediante un número romano (e.g. I para *C. hominis*, II para *C. parvum*, III para *C. meleagridis*, IV para *C. fayeri*, V para *C. cuniculus*, VII para *C. wrairi* ou XII para *C. ubiquitum*) (GLABERMAN *et al.* 2001; AKIYOSHI *et al.* 2006; FAYER e XIAO 2008; LV *et al.* 2009; POWER *et al.* 2009; WALDRON *et al.* 2009; XIAO *et al.* 2009; FENG *et al.* 2011a; LI *et al.* 2014; RYAN *et al.* 2014). Este número vén seguido dunha letra minúscula que denota a familia alélica de GP60 á que pertence a secuencia estudada (abranguendo da Ia–Ij para *C. hominis*, da IIa–IIo para *C. parvum*, ou da IIIa–IIIg para *C. meleagridis*) (SULAIMAN *et al.* 2005; FAYER e XIAO 2008; XIAO 2010; RYAN *et al.* 2014). Cada familia alélica agrupa secuencias que teñen entre un 98–100% de homoloxía entre elas (sen ter en conta a secuencia do microsátélite que presenta este locus no extremo 5').

Na literatura é frecuente que os autores diferencien variantes (subtipos) dentro de ditas familias, determinados pola secuencia do microsatélite do *locus gp60*. O xenotipo codifícase segundo o tipo e número de tripletes sinónimos deste microsatélite de serina: TCA (representado pola letra A), TGC (representado pola letra G), e TCT (representado pola letra T) (FAYER e XIAO 2008; XIAO 2010; FENG *et al.* 2011a). Así, o xenotipo IaA11G3T3 indica que o parasito atopado pertence á especie *C. hominis*, familia de subtipos Ia e que o microsatélite contén 11 repeticións do codón TCA, tres de TCG e tres de TCT (RYAN *et al.* 2014).

Ademais, nalgúns familias do *locus gp60* describíronse variacións no número de repeticións doutras secuencias (CHALMERS *et al.* 2008; XIAO 2010; RYAN *et al.* 2014), as cales se representan cunha letra R acompañada do número de copias de dita secuencia. Así por exemplo, na familia IIa de *C. parvum*, algúns subtipos teñen dúas copias da secuencia “ACATCA” corrente abaixo das repeticións de serina, o cal se representaría mediante a abreviatura “R2”.

Cando unha familia alélica non mostra variacións no número de repeticións dos trinucleótidos de serina, pero si presenta polimorfismo na secuencia do xene que se atopa despois do microsatélite, os seus subtipos diferéncianse mediante a adición de letras ó final (SULAIMAN *et al.* 2005; FAYER e XIAO 2008; XIAO 2010; RYAN *et al.* 2014). Isto ocorre, por exemplo, coa familia alélica IIc, cuxos subtipos son todos A5G3 pero amosan variación na rexión 3' do *locus* (IIcA5G3a, IIcA5G3b, IIcA5G3c, etc.).

Este grado de precisión na subclasificación dos illados que permite a secuencia do *locus gp60* ten moito interese epidemiolóxico, xa que permite identificar linaxes con distintas propiedades patoxénicas (CAMA *et al.* 2007) ou de especificidade de hóspede –caso do subtipo IIc de *C. parvum* que só parasita a humanos (XIAO 2010)–, permitindo levar a cabo estudos epidemiolóxicos máis rigorosos e profundos sobre a criptosporidiose que aqueles que empregan unicamente datos a nivel de especie.

6. Manifestacións clínicas e sintomatoloxía da criptosporidiose

A enfermidade soe cursar cunha gastroenterite aguda autolimitada, que se manifesta en forma de diarrea acuosa e abundante, e que pode conter mucosidades (PALMER e BIFFIN 1990; HUNTER *et al.* 2004a; CHALMERS e DAVIES 2010). Aínda que en ocasións pode cursar case asintomática, a infección vai acompañada con frecuencia de vómitos, febre, perda de peso e

do apetito, dores abdominais, cólicos, malestar xeral, flatulencias, náuseas, deshidratación e/ou fatiga. Estes síntomas duran entre dúas e tres semanas (MACKENZIE *et al.* 1994; INSULANDER *et al.* 2005; THOMPSON *et al.* 2005; BOUZID *et al.* 2013), aínda que nalgúns doentes pode chegar a durar máis dun mes (ISAACS *et al.* 1985; WOLFSON *et al.* 1985; FAYER e XIAO 2008; CHALMERS e DAVIES 2010), chegando incluso a establecerse unha posible relación entre as infeccións causadas por este grupo de parasitos con enfermidades crónicas do intestino (WOLFSON *et al.* 1985; CURRENT e GARCIA 1991; MACKENZIE *et al.* 1995; HUNTER *et al.* 2004a; HUNTER *et al.* 2004b; BUSHEN *et al.* 2007; CHALMERS e DAVIES 2010; KOEHLER *et al.* 2013).

A gravidade sintomatolóxica e a duración da parasitación son función do estado inmunolóxico e nutricional do hóspede (HUNTER e NICHOLS 2002; THOMPSON *et al.* 2005; CHALMERS e DAVIES 2010; KOTLOFF *et al.* 2013), de xeito que nos países en vías de desenvolvemento a criptosporidiose pode chegar a comprometer o normal desenrolo dos nenos por estar intimamente asociada coa malnutrición, a perda de peso e a deshidratación, chegando a ser letal nos casos máis graves (TUMWINE *et al.* 2003; RAMÍREZ *et al.* 2004; CHALMERS e DAVIES 2010; BOUZID *et al.* 2013; KOTLOFF *et al.* 2013; LEVINE *et al.* 2013; STRIEPEN 2013). Os doentes inmunocomprometidos –persoas afectados polo VIH, transplantados, que reciben tratamentos de quimioterapia, etc.– adoitan presentar un cadro clínico máis grave: diarreas crónicas máis severas e prolongadas (THOMPSON *et al.* 2005), acompañadas de fortes perdas de peso (GOLDSTEIN *et al.* 1996) e doutras enfermidades atípicas, como a colanxite, a pancreatite, a sinusite ou a pneumatose intestinal (MANABE *et al.* 1998; HUNTER e NICHOLS 2002; SHORE GARCÍA 2007; CHALMERS e DAVIES 2010; BOUZID *et al.* 2013). Isto supón maior risco de secuelas (TANYUKSEL *et al.* 1995; SREEDHARAN *et al.* 1996; HUNTER e NICHOLS 2002; RAJA *et al.* 2014), e un maior perigo para a vida dos doentes (JURANEK 1995; MANABE *et al.* 1998; SHORE GARCÍA 2007; FAYER e XIAO 2008).

A infección amosa dous períodos diferenciados: prepatente e patente. O período prepatente abrangue dende a inxestión do parasito ata a excreción dos primeiros ooquistes formados dentro do hóspede (FAYER e XIAO 2008). A súa duración varía en función da especie de *Cryptosporidium*, do estado de saúde e inmunitario do hóspede e da dose infectiva inicial (DUPONT *et al.* 1995; CHAPPELL *et al.* 1996). Así, esta fase dura entre 2 e 12 días no gando bovino e ovino (TZIPORI *et al.* 1981; TZIPORI *et al.* 1983; FAYER *et al.* 2005; THOMPSON *et al.* 2005), de 4 a 22 días en humanos (DUPONT *et al.* 1995; RAMÍREZ *et al.* 2004; CHAPPELL *et al.* 2006) –cunha media de 7,2 días (JOKIPII e JOKIPII 1986)–, de 2 a 9 días

en porcos (ENEMARK *et al.* 2003), de 6 a 21 días en ratos (MATSUI *et al.* 1999), de 5 e 6 días en gatos (ISEKI 1979; AUGUSTIN-BICHL *et al.* 1984) e de 4 a 24 días en polos (CURRENT *et al.* 1986).

O período patente ven determinado polo número de días durante os cales o hóspede excreta ooquistes viables. Ten una duración de 1 a 18 días en gando bovino (ANDERSON e BULGIN 1981; TZIPORI *et al.* 1981; TZIPORI *et al.* 1983), de 9 a 15 días en porcos (MOON e BEMRICK 1981), de 7 a 10 días en gatos (ISEKI 1979), e de ata 18 días en polos (FAYER e XIAO 2008). Nos humanos inmunocompetentes pode durar entre 2 e 24 días (SHORE GARCÍA 2007; FAYER e XIAO 2008), aínda que pode prolongarse máis de 30 días no caso dos doentes inmunocomprometidos días (JOKIPII e JOKIPII 1986; CHAPPELL *et al.* 2006; SHORE GARCÍA 2007).

7. Capacidade infectiva, reservorios e vías de transmisión

O xénero *Cryptosporidium* presenta unhas características biolóxicas (presenza de formas de resistencia) e ambientais (son especies ubicuas, atópanse en todo o entorno natural agás o aire) (UNITED STATES ENVIRONMENTAL PROTECTION AGENCY 2001a; UNITED STATES ENVIRONMENTAL PROTECTION AGENCY 2001b; FAYER e XIAO 2008) que o converten nun risco potencial para un grande número de seres vivos. Proba de elo é súa detección en preto de 200 especies –todas elas de vertebrados– entre as que se atopan mamíferos (>100 especies), aves (>30), réptiles (>50) e case unha decena de especies de anfibios e peixes (O'DONOGHUE 1995; FAYER *et al.* 2000; FAYER 2004; XIAO *et al.* 2004a; NAVARRO-I-MARTÍNEZ *et al.* 2011; RYAN *et al.* 2014; REBOREDO-FERNÁNDEZ *et al.* 2015a; REBOREDO-FERNÁNDEZ *et al.* 2015b).

A maioría das infeccións detectadas en humanos son causadas por *C. hominis* e *C. parvum* (CACCIO *et al.* 2005; CHALMERS *et al.* 2009; XIAO 2010; CHALMERS *et al.* 2011). A familia de subtipos atopada con máis frecuencia é a Ib de *C. hominis* –e, en menor medida, as Ia, Id, Ie e If–, e as familias de subtipos IIa, IIc e IId de *C. parvum* (JEX e GASSER 2010; XIAO 2010; WALDRON e POWER 2011; RYAN *et al.* 2014). Nembargante, a pesares da grande incidencia destas dúas especies, non se pode ignorar a presenza documentada dunha ducia de especies deste xénero como *C. meleagridis*, *C. felis*, *C. canis*, *C. suis*, *C. muris*, *C. fayeri* ou *C. cuniculus* que tamén parasitan a humanos (XIAO 2010; WALDRON *et al.* 2011a; ELWIN *et al.* 2012a; RYAN *et al.* 2014) (Táboa 2).

É importante sinalar que a dose infectante da criptosporidiose é moi baixa –no caso de *C. parvum*, a ID50 ou dose necesaria para infectar ó 50% do grupo experimental sitúase entre 9 e 1042 ooquistes (DUPONT *et al.* 1995; OKHUYSEN *et al.* 1999; FAYER *et al.* 2000; CHAPPELL *et al.* 2006)–, o que fai que a súa capacidade de transmisión sexa elevada. Esta realízase por vía fecal–oral de catro maneiras diferentes (SHORE GARCÍA 2007; FAYER e XIAO 2008):

- Transmisión de persoa a persoa (ou *vía antroponótica*), por contacto directo con persoas infectadas (KOCH *et al.* 1985; EL-SIBAEI *et al.* 2003; HELLARD *et al.* 2003; HUNTER *et al.* 2004b; ARTIEDA *et al.* 2012). É unha vía de transmisión bastante común e dáse principalmente nos empregados de garderías infantís, entre o persoal sanitario ou aquel que está ó coidado de persoas infectadas. A transmisión dentro dunha mesma familia tamén é frecuente, particularmente cando a infección primaria se produce en nenos. O risco de infección vese incrementado naquelas prácticas sexuais que implican contacto oral–anal.
- Transmisión directa de animais a persoas (ou *vía zoonótica*) debido ó contacto con animais infectados (GRACZYK *et al.* 1997; ASHBOLT *et al.* 2003; STANTIC-PAVLINIC *et al.* 2003; HUNTER *et al.* 2004b; WEBB *et al.* 2014). É pouco frecuente, e aínda que nalgúns casos afecta a individuos inmunocomprometidos (MORGAN *et al.* 2000), na maioría das ocasións maniféstase como enfermidade profesional en veterinarios, granxeiros e gandeiros (CURRENT 1983; ENRÍQUEZ *et al.* 2001).
- Transmisión debida á contaminación de alimentos con ooquistes viables de *Cryptosporidium* (DAWSON 2005; KNIEL e JENKINS 2005; BLACKBURN *et al.* 2006; SMITH *et al.* 2006; ROSENTHAL *et al.* 2015). Esta pode producirse tanto de xeito directo (polo acceso de gando infectado ós cultivos, pola manipulación incorrecta dos alimentos ou polo uso de esterco contaminado) como indirecto (por irrigación, lavado ou procesado dos cultivos con auga contaminada) (QUIROZ *et al.* 2000; FAYER e XIAO 2008). Normalmente este tipo de transmisión vincúlase ó consumo de produtos frescos como froitas, verduras ou leite (MILLARD *et al.* 1994; GELLETLIE *et al.* 1997; ORTEGA *et al.* 1997; ROBERTSON e GJERDE 2001; CALVO *et al.* 2004; ORTEGA-PIERRES 2009; ROSENTHAL *et al.* 2015), aínda que tamén se atopan ooquistes viables nas carnes procesadas nalgúns matadoiros e tendas de alimentación (por exemplo, nas salchichas) (KANETA e NAKAI 1998; KOYAMA *et al.* 2005; MORIARTY *et al.* 2005) e en moluscos bivalvos –como ostras, ameixas, berberechos

ou mexillóns (TAMBURRINI e POZIO 1999; GRACZYK *et al.* 2003; FAYER 2004; GÓMEZ- COUSO *et al.* 2004a; GIANGASPERO *et al.* 2009; ORTEGA-PIERRES 2009).

- Transmisión indirecta debida á contaminación dos recursos hídricos con ooquistes infectivos, xa sexan destinados ó consumo humano ou ó lecer (caso das piscinas ou parques acuáticos) (FAYER 2004; INSULANDER *et al.* 2005; CAUSER *et al.* 2006; SMITH *et al.* 2006; SEMENZA e NICHOLS 2007; CASTRO-HERMIDA *et al.* 2008b; CASTRO-HERMIDA *et al.* 2008a; MASON *et al.* 2010; BALDURSSON e KARANIS 2011; DREELIN *et al.* 2014; SPANAKOS *et al.* 2015). A maioría das infeccións prodúcense a partir de ooquistes procedentes das feces de gando –principalmente bovino, aínda que tamén ovino e caprino, e de animais salvaxes (e.g. FARIZAWATI *et al.* 2005; CASTRO-HERMIDA *et al.* 2006b; CASTRO-HERMIDA *et al.* 2011b; BUDU-AMOAKO *et al.* 2012; REBOREDO-FERNÁNDEZ *et al.* 2015a).

A capacidade de sobrevivir no exterior durante meses (FAYER *et al.* 2000; MEDEMA e SCHIJVEN 2001), grazas á protección que ofrece a parede celular (ROBERTSON *et al.* 1992) e ó seu pequeno tamaño –apenas unhas micras de lonxitude–, fan que os ooquistes de *Cryptosporidium* sexan moi difíciles de eliminar mediante técnicas físicas (FAYER *et al.* 2000). Tamén contribúe a elo o feito de que sexan resistentes ó tratamento coa maioría dos desinfectantes, polo que non é doado retiralos cos tratamentos rutineiros utilizados nos procesos de depuración de augas (KORICH *et al.* 1990; CARMENA *et al.* 2007; SHORE GARCÍA 2007; CASTRO-HERMIDA *et al.* 2008a; FAYER e XIAO 2008; ORTEGA-PIERRES 2009; BAJER *et al.* 2012).

Tanto os seres humanos como os animais infectados excretan grandes cantidades de ooquistes nas súas deposicións (entre 10^6 e 10^{11} ooquistes/gramo de feces) (GOODGAME *et al.* 1993; ORTEGA-MORA e WRIGHT 1994; CHAPPELL *et al.* 1996; NYDAM *et al.* 2001; PARAUD *et al.* 2014), que presentan capacidade infectiva dende o mesmo momento en que son expulsados (SMITH e ROSE 1998). Deste xeito, nas zonas rurais tipicamente gandeiras a contribución dos animais infectados á contaminación ambiental é moi importante, en particular na contaminación das augas superficiais e das augas destinadas ó consumo humano e ó regadío (FARIZAWATI *et al.* 2005; CASTRO-HERMIDA *et al.* 2008b; CASTRO-HERMIDA *et al.* 2008a; CASTRO-HERMIDA *et al.* 2009; BUDU-AMOAKO *et al.* 2012; CASTRO-HERMIDA *et al.* 2015).

Un entendemento máis profundo da epidemioloxía deste parasito permitiría reduci-los custes sanitarios que causan os andazos de criptosporidiose en humanos, calculados en torno a uns 32 millóns de dólares anuais en custos médicos e de case 100 millóns de dólares en perdas de produtividade para países como os Estados Unidos (ZARDI *et al.* 2005; HUANG e WHITE 2006; YODER *et al.* 2012; RYAN *et al.* 2014). Do mesmo xeito, as infeccións provocadas por *Cryptosporidium* xeran importantes gastos veterinarios e elevadas perdas económicas nas explotacións gandeiras ó ser un dos principais axentes causais do síndrome da diarrea neonatal en ruminantes de interese comercial (gando vacún, ovino e caprino), a cal pode ocasionar unha forte deshidratación que retrase o normal crecemento destes animais ou mesmo provoca-la súa morte (HEINE *et al.* 1984; DE GRAAF *et al.* 1999; O'HANDLEY e OLSON 2006). Do mesmo xeito, a análise moleculares da criptosporidiose no gando contribuirá ao estudo dos patróns de transmisión desta enfermidade debido a que este é un dos seus grandes reservorios, podendo así desenrolar novas medidas de prevención destinadas a minimizar a exposición ao parasito e a mellorar o tratamento de augas destinadas ó consumo humano (CORSO *et al.* 2003; ZHOU *et al.* 2003a; XIAO *et al.* 2004b). Porén, un maior coñecemento da bioloxía e epidemioloxía deste parasito ten unha grande importancia sanitaria e económica, especialmente cando aínda non existe ningunha vacina ou tratamento farmacolóxico específico completamente eficaz que serva para deter dita infección (AMADI *et al.* 2002; ARMSON *et al.* 2003; SHORE GARCÍA 2007; FAYER e XIAO 2008; AMADI *et al.* 2009; CABADA e WHITE 2010; STRIEPEN 2013; CHECKLEY *et al.* 2015).

7.1 Taxas de incidencia e outros aspectos epidemiolóxicos xerais da criptosporidiose en humanos

A incidencia da criptosporidiose está asociada sobre todo á idade e ao estado inmunolóxico dos doentes así como ao seu entorno medioambiental e estatus socioeconómico. Aínda que afecta a tódalas franxas de idade, amosa maiores taxas de incidencia e síntomas máis severos no grupo formado polos rapaces menores de dez anos (MOSIER e OBERST 2000; KHAN *et al.* 2004; CHALMERS e DAVIES 2010). Logo da infancia a incidencia diminúe de xeito continuo a medida que avanza os tramos de idade, aínda que se detectaron algúns casos de criptosporidiose en persoas anciáns (CHALMERS *et al.* 2009; CHALMERS *et al.* 2011; ELWIN *et al.* 2012a).

Esta enfermidade diagnósticase en todo o mundo, o que demostra que *Cryptosporidium* é un parasito cosmopolita e cunha grande ubicuidade ambiental. Nos países desenvolvidos presenta xeralmente prevalenzas menores ó 4%, tendo en conta a persoas de todas as idades (FAYER e UNGAR 1986; SHORE GARCÍA 2007), cunha taxa de incidencia de 2,9 casos por 100.000 habitantes nos EEUU (YODER *et al.* 2012) e de 2,29 casos por 100.000 habitantes de media nos países europeos (EUROPEAN CENTRE FOR DISEASE PREVENTION AND CONTROL 2013) no ano 2010. Por contra, nos países en vías de desenvolvemento a prevalenza é maior –principalmente en nenos con idades comprendidas entre os 0 e 5 anos–, podendo chegar ó 20% nalgúns rexións de África e Sudamérica (SOAVE *et al.* 1989; CHACÍN-BONILLA *et al.* 1997; ESTEBAN *et al.* 1998; NCHITO *et al.* 1998; SHORE GARCÍA 2007; SHIRLEY *et al.* 2012). Isto débese principalmente á maior exposición a auga non potabilizada e a alimentos contaminados por ooquistes de *Cryptosporidium* spp., ó contacto con animais infectados, ás malas condicións sanitarias e á malnutrición crónica que padece a poboación infantil destes países (KOTLOFF *et al.* 2013).

A prevalenza da criptosporidiose nos doentes inmunodeprimidos tamén é maior naqueles países que presentan unha renda máis baixa (ASPOCK e HASSL 1990; GÓMEZ MORALES *et al.* 1992; POZIO *et al.* 1997; MATOS *et al.* 1998). Así, en países desenvolvidos atópase ó redor do 10–15% mentres que en países en vías de desenvolvemento dita cifra sitúase entre o 30 e 50% (PETERSEN 1992; GUERRANT 1997; SHORE GARCÍA 2007). Con respecto a este grupo de doentes cabe destacar que, se ben é certo que durante os anos oitenta e principios dos noventa a criptosporidiose asociouse cun aumento da taxa de mortalidade dos doentes inmunocomprometidos tanto nos países desenvolvidos como nos países en vías de desenvolvemento (GOLDSTEIN *et al.* 1996), esta diminuíu drásticamente a partir de finais dos anos noventa grazas á introdución das novas terapias antiretrovirais (MANABE *et al.* 1998; POZIO e MORALES 2005; NISSAPATORN e SAWANGJAROEN 2011).

Nembargante, hai varias circunstancias que dificultan o coñecemento preciso das taxas de incidencia e prevalenza reais da criptosporidiose na poboación xeral. En primeiro lugar, dado que a grande maioría das infeccións causadas por *Cryptosporidium* spp. son asintomáticas ou provocan diarreas autolimitadas, esta enfermidade non se declara de xeito habitual ás autoridades sanitarias nacionais. Unido a isto, outra característica que dificulta o estudo epidemiolóxico desta enfermidade débese a que a lexislación que regula a notificación dos casos positivos de criptosporidiose varía de maneira considerable en distintos países. Así, por exemplo, a criptosporidiose é unha enfermidade de declaración obrigatoria tanto nos

Estados Unidos (YODER *et al.* 2012) como no Reino Unido –o cal conta mesmo cun centro de referencia adicado integramente o estudo deste parasito (*Cryptosporidium* Reference Unit CRU, Swansea, Reino Unido; <http://www.wales.nhs.uk/sites3/page.cfm?orgId=457&pid=25284>)– dende os anos noventa. Sen embargo, e a pesares de que os casos de criptosporidiose deben ser notificados en toda Europa dende a pasada década (SEMENZA e NICHOLS 2007), existen aínda un bo número de países (maioritariamente do Sur e do Leste de Europa) que ou ben non vixían de xeito efectivo a criptosporidiose ou só declaran unha fracción reducida dos casos –principalmente cando son debidos a un andazo ou á contaminación de alimentos ou reservorios de auga–, ocasionando así que as taxas de incidencia nacionais para dita enfermidade sexan particularmente baixas (EUROPEAN CENTRE FOR DISEASE PREVENTION AND CONTROL 2007; EUROPEAN CENTRE FOR DISEASE PREVENTION AND CONTROL 2013). Dadas estas circunstancias, cabe supoñer que a incidencia real de dita enfermidade na poboación xeral é moito maior que a que amosan os datos oficiais e os diversos estudos epidemiolóxicos publicados ata a actualidade.

8. A criptosporidiose en Europa e en España

A resolución dos traballos epidemiolóxicos que existen a nivel europeo sobre a criptosporidiose varían segundo a rexión. Así, os países do norte de Europa levan varios lustros estudando a presenza destes parasitos no seu medio ambiente, nas súas explotacións gandeiras e na súa poboación por medio de traballos epidemiolóxicos de grande envergadura, nos cales se engloban un bo número de casos/mostras e se avalían un grande número de variables que permiten obter unha panorámica clara sobre a incidencia, periodicidade e patoxenicidade desta infección (ENEMARK *et al.* 2002; SEMENZA e NICHOLS 2007; CHALMERS *et al.* 2009; GARVEY e MCKEOWN 2009; CHALMERS *et al.* 2011; FOURNET *et al.* 2013). Por contra, o estudo da criptosporidiose nos países da cunca mediterránea e do sur de Europa está moito menos desenvolvido, existindo un menor número de publicacións sobre a enfermidade que, xeralmente, avalían un número menor de mostras ou ben só casos puntuais (ALVES *et al.* 2003a; ALVES *et al.* 2003b; ALVES *et al.* 2006; DRUMO *et al.* 2012; SPANAKOS *et al.* 2015). De feito, o primeiro estudo epidemiolóxico da criptosporidiose a nivel estatal para un país do sur de Europa levouse a cabo en datas relativamente recentes en Francia (THE ANOFEL *CRYPTOSPORIDIUM* NATIONAL NETWORK 2010), o cal se puido desenrolar grazas á creación no

citado país dunha rede hospitalaria publica de vixilancia desta enfermidade de adscrición voluntaria.

O coñecemento epidemiolóxico da criptosporidiose en España é limitado. Trátase dunha enfermidade pouco notificada e suxeita a vixilancia por parte das autoridades sanitarias españolas dende o ano 2009 (NAVARRO-I-MARTÍNEZ *et al.* 2011), sendo de declaración obrigatoria só dende o ano 2013 (RED NACIONAL DE VIGILANCIA EPIDEMIOLÓGICA 2013). Os rexistros oficiais dispoñibles para esta enfermidade anteriores a dita data débense á notificación semanal dos casos positivos detectados que efectuaban algúns laboratorios dos servizos de microbioloxía clínica dos hospitais adscritos o sistema nacional de saúde, aínda que dito procedemento era voluntario e só participaban no mesmo unhas poucas Comunidades Autónomas (CENTRO NACIONAL DE EPIDEMIOLOGÍA 2003). Grazas a estes datos sábese que na pasada década producíanse unha media duns 100 casos ó ano (CENTRO NACIONAL DE EPIDEMIOLOGÍA 2003) e que a enfermidade amosaba unha incidencia menor a 1 caso por 100.000 habitantes/ano (EUROPEAN CENTRE FOR DISEASE PREVENTION AND CONTROL 2007; EUROPEAN CENTRE FOR DISEASE PREVENTION AND CONTROL 2013).

En España existen relativamente poucos traballos que avalíen mostras humana –a maioría dos estudos da criptosporidiose realízanse en mostras procedentes de explotacións gandeiras ou acuícolas (GÓMEZ-BAUTISTA *et al.* 2000; NAVARRO-I-MARTÍNEZ *et al.* 2003; GÓMEZ-COUSO *et al.* 2004a; SUÁREZ-LUENGAS *et al.* 2007; QUÍLEZ *et al.* 2013), así como en mostras de orixe ambiental (CARMENA *et al.* 2007; ALTZIBAR *et al.* 2015)– os cales ademais non reflicten necesariamente a realidade epidemiolóxica da enfermidade na poboación xeral xa que se centran no estudo de andazos puntuais (ARTIEDA *et al.* 2012), na notificación de casos observados en doentes inmunocomprometidos (LLORENTE *et al.* 2007), nos casos detectados nalgúns hospitais (DE LUCIO *et al.* 2015; SEGURA *et al.* 2015) ou ben se tratan de revisións onde se recompilan os casos detectados ó longo dos anos (SOLER 2004).

9. A criptosporidiose en Galicia

Nos últimos anos hai un número crecente de estudos da criptosporidiose no ámbito galego, que se fundamentan sobre todo no estudo da presenza de ooquistes viables en mostras ambientais ou en mostras procedentes de animais de interese gandeiro ou acuícola.

Con respecto ás primeiras, destacan aquelas referidas a análise da contaminación das augas dalgúns ríos galegos –como sería o caso do Tambre e os seus afluentes (CASTRO-

HERMIDA *et al.* 2009)– e os traballos realizados sobre a presenza de ooquistes viables tanto en augas destinadas ó consumo humano como nas plantas de depuración e tratamento de augas, nos cales se constatou que as principais especies presentes nas nosas augas son *C. parvum*, *C. hominis* e *C. andersoni*, e que os métodos dos que dispoñen actualmente as plantas de tratamento de augas en Galicia non son totalmente efectivos á hora de inactiva-la capacidade infectiva destes parasitos (VILLACORTA-MARTÍNEZ DE MATURANA *et al.* 1992; CASTRO-HERMIDA *et al.* 2008b; CASTRO-HERMIDA *et al.* 2008a; CASTRO-HERMIDA *et al.* 2010; CASTRO-HERMIDA *et al.* 2011a; CASTRO-HERMIDA *et al.* 2015). Na maioría destes proxectos destinados a determina-la presenza de ooquistes viables nas plantas de tratamentos de augas participan o Departamento de Producción Animal do Centro de Investigacións Agrarias de Mabegondo (adscrito á Xunta de Galicia) e o departamento de Microbioloxía e Parasitoloxía da Universidade de Santiago de Compostela.

En Galicia existe un censo de gando bovino que chega case ó millón de reses – segundo datos do Instituto Galego de Estatística (www.ige.eu)–, así como un grande número de cabezas de gando ovino, caprino e porcino. Dada a importancia económica de dito sector na nosa comunidade e a que a criptosporidiose no gando pode ocasionar grandes perdas económicas, nos últimos anos aumentou de xeito notable o número de publicacións adicadas a analiza-lo impacto desta enfermidade nas granxas galegas e en animais salvaxes (VILLACORTA *et al.* 1991; LORENZO *et al.* 1995; ARES-MAZÁS *et al.* 1999; CASTRO-HERMIDA *et al.* 2005; GÓMEZ-COUSO *et al.* 2005; CASTRO-HERMIDA *et al.* 2006a; CASTRO-HERMIDA *et al.* 2006b; CASTRO-HERMIDA *et al.* 2007; GÓMEZ-COUSO *et al.* 2007; DÍAZ *et al.* 2010a; DÍAZ *et al.* 2010b; CASTRO-HERMIDA *et al.* 2011b; GARCÍA-PRESEDO *et al.* 2013b; GARCÍA-PRESEDO *et al.* 2013a; REBOREDO-FERNÁNDEZ *et al.* 2015a). Os resultados destes traballos amosaron que a principal especie infectante do gando galego é *C. parvum*, aínda que tamén se observou a presenza de *C. xiaoi* e o xenotipo cervino en gando ovino e caprino, cuxos ooquistes poderían chegar a contamina-las augas superficiais destinadas ó consumo humano ou ó regadío (CASTRO-HERMIDA *et al.* 2008b; CASTRO-HERMIDA *et al.* 2009; CASTRO-HERMIDA *et al.* 2011a). Nestes procesos de monitorización da enfermidade na cabana gandeira galega participa tamén o Laboratorio de Sanidade e Producción Animal que a Xunta de Galicia ten en Lugo (http://www.medioruralemar.xunta.es/areas/gandaria/sanidade_animal/laboratorio/).

Outro sector cunha grande implantación en Galicia é o da acuicultura. O feito de que se demostrara a contaminación das augas superficiais galegas con ooquistes viables de

Cryptosporidium spp., unido a que algunhas das especies de interese acuícola en Galicia son moluscos filtradores, levou á que se realizasen estudos para determina-la presenza de ooquistes nestes organismos –principalmente en mexillóns, ostras, ameixas ou berberechos– e avalia-la súa capacidade infectiva unha vez consumidos. As principais especies atopadas en moluscos foron *C. parvum* e *C. hominis*, procedentes con toda probabilidade dos cursos de auga que desembocan nas rías (FREIRE-SANTOS *et al.* 2000; GÓMEZ-BAUTISTA *et al.* 2000; GÓMEZ-COUSO *et al.* 2003; GÓMEZ-COUSO *et al.* 2004a; GÓMEZ-COUSO *et al.* 2006b). É particularmente salientable que os seus ooquistes manteñen intacta a capacidade para infectar, incluso despois da súa preparación e cociñado (FREIRE-SANTOS *et al.* 2001; FREIRE-SANTOS *et al.* 2002; GÓMEZ-COUSO *et al.* 2006a).

Finalmente, a pesares de que en Galicia existen poucos datos sobre a incidencia da criptosporidiose en humanos –non foi incluída como enfermidade de declaración obrigatoria rutineira ante a Rede Galega de Vixilancia en Saúde Pública (RGVSP) ata finais do ano 2013 (CONSELLARÍA DE SANIDADE 2013; BOLETÍN EPIDEMIOLÓXICO DE GALICIA 2014)–, si que hai estudos sobre a incidencia da enfermidade en doentes infantís e afectados pola SIDA (VILLACORTA MARTÍNEZ DE MATURANA 1989; LLOVO TABOADA 1990) e sobre algún andazo epidémico (GÓMEZ-COUSO *et al.* 2004b). Nembargante, todos estas análises teñen en común a avaliación dun número reducido de casos que non serven para determina-la incidencia real de *Cryptosporidium* spp. na poboación nin para inferi-las súas variacións espaciais, temporais ou estacionais desta enfermidade na nosa Comunidade Autónoma.



XUSTIFICACIÓN e OBXECTIVOS



1. Xustificación

Os ooquistes das diversas especies de *Cryptosporidium* presentan diferenzas morfolóxicas moi sutís, o cal dificulta a identificación precisa das especies e subtipos do parasito en mostras clínicas ou medioambientais. A metodoloxía clásica empregada para a súa detección baséase fundamentalmente na microscopía ou en técnicas de inmunoanálise. Nembargante, estas amosan un baixo nivel de resolución, pois unicamente permiten detecta-la presenza de ooquistes de criptosporidios nas mostras analizadas. Así, a correcta identificación das especies e subtipos infectantes só pode facerse recorrendo ó uso de técnicas moleculares que exploran a variación xenética dos parasitos. Mais o coñecemento dos padróns de diversidade xenética e da estrutura poboacional deste grupo de protozoos –incluídas as daquelas liñaxes que parasitan humanos– é aínda incipiente, xa que a maioría dos estudos céntranse na análise dun número reducido de *loci*. Isto redunda nunha limitada resolución dos estudos epidemiolóxicos existentes tanto na súa dimensión xeográfica como temporal, nun coñecemento superficial das propiedades xenéticas das poboacións naturais do parasito e nun descoñecemento case absoluto das posibles asociacións entre a constitución xenética das distintas especies e subtipos do parasito e as súas propiedades patoxénicas. Neste contexto faise evidente a necesidade de desenvolver iniciativas ambiciosas para a análise xenética de múltiples *loci* en grandes coleccións de mostras que sexan representativas destas parasitacións en distintas áreas xeográficas do mundo e recollidas ó longo do tempo, especialmente agora que a criptosporidiose é recoñecida como unha enfermidade de declaración obrigatoria en tódolos países europeos. Nembargante, as técnicas moleculares dispoñibles actualmente –baseadas na amplificación por PCR e secuenciación dun número reducido de *loci* marcadores– esixen unha inversión de tempo e recursos inabordables para a maioría dos laboratorios clínicos.

Con estes antecedentes, na presente tese plantexámonos a necesidade de describi-los padróns de diversidade multilocus das principais especies de *Cryptosporidium* que afectan a humanos. Esta análise permitirá determinar se a clasificación das especies destes parasitos en subtipos de acordo á secuencia do *locus gp60* representa fielmente a variación xenética no resto do xenoma do parasito. Esta información serviría como punto de partida para o desenrolo dun protocolo de xenotipado de alto rendemento da criptosporidiose rápido, fiable, automatizado e económico, e baixo uns estándares e criterios sólidos de identificación da especie e do subtipo infectante de aplicación sobre grandes coleccións de mostras. Dita

ferramenta diagnóstica sería empregada sobre mostras fecais positivas para *Cryptosporidium* spp. procedentes tanto de doentes da área sanitaria de Santiago de Compostela como de gando vacún, cuxos resultados servirían para realiza-lo primeiro estudo epidemiolóxico a grande escala desta enfermidade en humanos nun país do Sur de Europa.

Na actualidade existe unha plétora de ferramentas de xenotipado de alto rendemento deseñadas para a determinación da secuencia dun elevado número variable de marcadores moleculares en grandes coleccións de mostras. Entre elas destacan: (i) *Taqman SNP Genotyping Assays*, (ii) *SNaPshot Multiplex System*, e (iii) *SNPlex Genotyping System*, todas elas comercializadas por Applied Biosystems, Inc.; (iv) *MassARRAY System* (Agena Bioscience, Inc.; antes Sequenom) e as plataformas de secuenciación mediante microarrays (v) *Illumina SNP Genotyping* (Illumina, Inc.) e (vi) *Affymetrix Microarrays* (Affymetrix, Inc.).

Para o deseño dunha ferramenta xenotipado masivo de *Cryptosporidium* seleccionamo-lo *MassARRAY System* (Agena Bioscience, Inc.), unha metodoloxía baseada na microamplificación por PCR dos marcadores seleccionados e a secuenciación mediante espectrometría de masas MALDI-TOF (Matrix-Assisted Laser Desorption/Ionization-Time Of Flight). Esta decisión fundamentouse en que esta técnica é a que mellor se axusta ás dimensións dos estudos epidemiolóxicos neste parasito xa que cada ensaio permite a análise simultánea de ata 50 posicións variantes dun só nucleótido (SNVs) en lotes de 384 mostras. Os rangos de análise doutros métodos alternativos son ou ben demasiado limitados –e.g. *Taqman* e *SNaPshot* foron deseñados para a análise de números reducidos de SNVs (<15) en mostras individuais–, ou exceden as necesidades do noso deseño –e.g. os microarrays permiten o xenotipado simultáneo de centos de miles de marcadores. Este mellor axuste supón que a tecnoloxía seleccionada presenta unha esixencia de recursos materiais, humanos temporais e económicos moi inferiores ós que amosan outros métodos alternativos. Unha segunda vantaxe moi importante é que o catálogo de SNVs analizados pódese modificar antes de cada ensaio, de xeito que o protocolo se pode adaptar ós intereses mudables dos estudos. Por exemplo, permitindo a detección de novas linaxes do parasito en función do hóspede analizado ou da súa procedencia xeográfica.

2. Obxectivos

A seguir relaciónanse os obxectivos principais desta tese, así como os obxectivos específicos deseñados para acadalos.

1. **Caracteriza-los padróns de variación xenética e identifica-las principais especies e subtipos na poboación de *Cryptosporidium* que afecta a humanos na área sanitaria de Santiago de Compostela.**

1.1. Obter unha colección de illados representativos das principais especies e familias de subtipos de *Cryptosporidium* que afectan a humanos en todo o mundo. Para elo seleccionaranse ó azar mostras de *C. parvum* e *C. hominis* recollidas en feces de orixe humana no Servizo de Microbioloxía e Parasitoloxía do Complexo Hospitalario Universitario de Santiago, previamente identificadas no CDC mediante a análise dos *locus SSU rRNA* e *COWP1*. Este panel completarase con mostras de *C. meleagridis* e *C. felis* procedentes de bancos de mostras públicos, por seren estas especies tamén patóxenos habituais de humanos, e con mostras recollidas polo Laboratorio de Sanidade e Producción Animal de Galicia, en Lugo (Consellería de Medio Rural, Xunta de Galicia), representativas da poboación de *Cryptosporidium* que parasita a cabana gandeira de Galicia.

1.2. Obter entre 8-10 secuencias nucleotídicas en cada aillado de 10 *loci* de copia única no xenoma de *Cryptosporidium*. Analizaranse *COWP1* e *gp60* por seren os *loci* máis empregados en estudos análogos e outros oito *loci* seleccionados en distintos grupos de ligamento, representativos do xenoma do parasito.

1.3. Realizar unha análise xenético-poboacional dos niveis de diversidade multilocus intra e interespecífica na mostra.

2. **Deseñar un método analítico de alto rendemento para o xenotipado de criptosporidios en grandes coleccións de illados de orixe humana e gandeira, baseado na identificación simultánea de variantes nucleotídicas que permitan o diagnóstico das distintas especies e subtipos.**

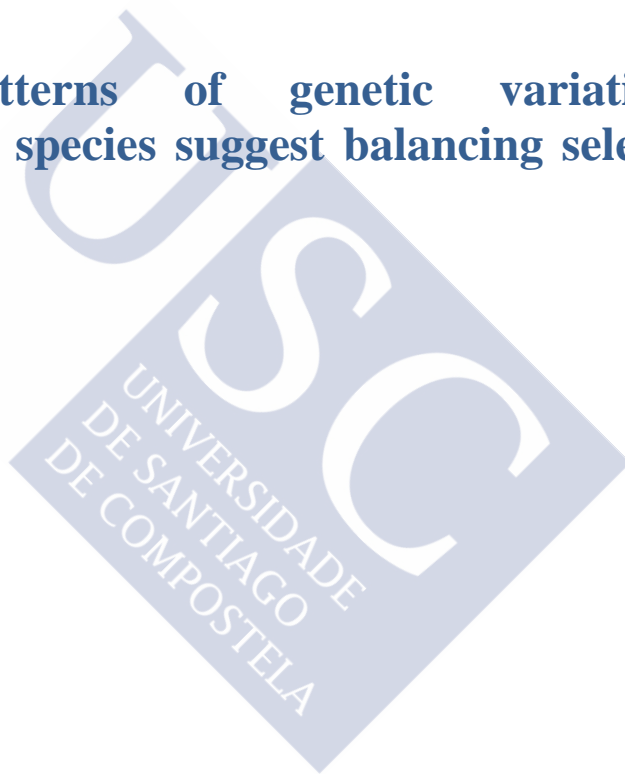
- 2.1. Partindo dos datos de variación obtidos no experimento anterior, seleccionar variantes xenéticas (SNVs) informativas para a identificación da especie e subtipos de *Cryptosporidium* máis comúns en mostras humanas e bovinas.
- 2.2. Deseñar un protocolo diagnóstico de alto rendemento, baseado no sistema de xenotipado MassARRAY, para a identificación precisa e inequívoca das variantes nucleotídicas en illados de orixe humana e animal.
- 2.3. Aplicar dito protocolo a mostras representativas das especies e subtipos identificados nun panel de illados de orixe humana e animal previamente xenotipados mediante PCR e secuenciación Sanger dos *loci* diagnóstico do obxectivo 1.
- 2.4. Compara-lo rendemento do método –en termos de sensibilidade e especificidade– coas técnicas alternativas máis comunmente utilizadas para o mesmo fin.
- 3. Realizar un estudo epidemiolóxico das infeccións causadas por *Cryptosporidium* en doentes humanos na área sanitaria de Santiago de Compostela.**
 - 3.1. Determina-la especie e o subtipo infectante nun panel de mostras fecais procedentes de doentes humanos da área sanitaria de Santiago de Compostela recollidas entre os anos 2000 e 2008, empregando o método diagnóstico anteriormente deseñado.
 - 3.2. Determina-la incidencia da enfermidade na área sanitaria de Santiago.
 - 3.3. Avalia-los datos epidemiolóxicos no contexto de diversas variables demográficas, clínicas e medio ambientais durante o período de tempo para o que existen mostras analizadas.
 - 3.4. Estuda-los padróns espaciais, temporais e estacionais da criptosporidiose na mostra.
 - 3.5. Determina-la existencia de características patoxénicas diferenciais entre especies e/ou subtipos.



PUBLICACIÓNS



1. Multilocus patterns of genetic variation across *Cryptosporidium* species suggest balancing selection at the *gp60* locus





MOLECULAR ECOLOGY

Multilocus patterns of genetic variation across *Cryptosporidium* species suggest balancing selection at the *gp60* locus

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Abstract

Cryptosporidium is an apicomplexan protozoan that lives in most vertebrates, including humans. Its *gp60* gene is functionally involved in its attachment to host cells, and its high level of genetic variation has made it the reference marker for sample typing in epidemiological studies. To understand the origin of such high diversity and to determine the extent to which this classification applies to the rest of the genome, we analysed the patterns of variation at *gp60* and nine other nuclear loci in isolates of three *Cryptosporidium* species. Most loci showed low genetic polymorphism ($\pi_s < 1\%$) and similar levels of between-species divergence. Contrastingly, *gp60* exhibited very different characteristics: (i) it was nearly ten times more variable than the other loci; (ii) it displayed a significant excess of polymorphisms relative to between-species differences in a maximum-likelihood Hudson–Kreitman–Aguadé test; (iii) *gp60* subtypes turned out to be much older than the species they were found in; and (iv) showed a significant excess of polymorphic variants shared across species from random expectations. These observations suggest that this locus evolves under balancing selection and specifically under negative frequency-dependent selection (FDS). Interestingly, genetic variation at the other loci clusters very well within the groups of isolates defined by *gp60* subtypes, which may provide new tools to understand the genome-wide patterns of genetic variation of the parasite in the wild. These results suggest that *gp60* plays an active and essential role in the life cycle of the parasite and that genetic variation at this locus might be essential for the parasite's long-term success.

Keywords: balancing selection, *Cryptosporidium*, frequency-dependent selection, *gp60*, trench warfare model

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Introduction

In host–pathogen interactions, natural selection is expected to increase hosts' resistance to disease and pathogens' ability to infect hosts. Reciprocal selective pressures from both antagonists drive this co-evolution, such that the fitness of genotypes in one of them is a function of the genotype frequencies in the other. In principle, this can lead to an evolutionary 'arms race',

in which recurrent selective sweeps produce rapid turn-overs of virulence and resistance alleles in hosts and pathogens (Bergelson *et al.* 2001; Charlesworth 2006; Aguileta *et al.* 2009) as illustrated by several pathogen surface protein genes (reviewed in Aguileta *et al.* 2009). But in certain conditions, involving different forms of frequency-dependent selection (FDS), this system could also reach an equilibrium state (e.g. Tellier & Brown 2007), where balanced polymorphisms at the host's and parasite's relevant loci are maintained over long period of time, thus favouring local high long-term allelic diversity (Bergelson *et al.* 2001; Brown & Tellier 2011).

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Some classical examples of this kind of selection are loci involved in pathogen recognition and immune response (Leffler *et al.* 2013), like plant resistance (*R*) genes (Bergelson *et al.* 2001), blood group antigens (Fumagalli *et al.* 2009; Segurel *et al.* 2012), human innate immunity genes (Cagliani *et al.* 2008; Ferrer-Admetlla *et al.* 2008; Andres *et al.* 2010) or the extensively studied major histocompatibility complex (reviewed in Garrigan & Hedrick 2003; Klein *et al.* 2007; Spurgin & Richardson 2010).

Contrastingly, loci under balancing selection have been less frequently reported in pathogens, like those encoding the outer membrane proteins of *Neisseria* (Urwin *et al.* 2004; Buckee *et al.* 2008), the *flaA* flagellin gene of *Campylobacter* (Dingle *et al.* 2005) or several antigen genes of *Plasmodium falciparum*, the only eukaryote parasite model system in which this selective regime has been thoroughly investigated (Tetteh *et al.* 2009; Ochola *et al.* 2010; Amambua-Ngwa *et al.* 2012).

This could also be the case for *gp60*, one of the most studied genes in *Cryptosporidium* (Apicomplexa, Eucoccidiorida), an intracellular protozoan parasite that produces gastrointestinal disease in humans and all classes of vertebrates worldwide (Fayer 2010) and is a major cause of persistent diarrhoea in more than 60 million children and immunocompromised patients, where it may be life-threatening (Xiao 2010).

The *gp60* gene displays higher than genome-average levels of nucleotide variation, and its alleles (haplotypes) are used to define intraspecific groups (subtypes or haplogroups). These are named with a species-specific prefix—I for *Cryptosporidium hominis*, II for *Cryptosporidium parvum* and III for *Cryptosporidium meleagridis*—followed by a letter assigned to each subtype, which corresponds to different *gp60* alleles (Strong *et al.* 2000). This high genetic diversity, together with estimated K_A/K_S ratios close to 1, has been attributed to the action of positive selection in response to the pressure exerted by the host's immune system (Widmer 2009; Bouzid *et al.* 2010; Widmer & Lee 2010), although these observations could also be explained by weak selective constraints.

Considering that a vast number of *gp60* haplotypes are known from different *Cryptosporidium* species (Feng *et al.* 2000; Xiao 2010) and that this gene encodes the precursor protein of two sporozoite surface glycoproteins (gp40 and gp15) involved in sporozoite motility and parasite adhesion and host cell invasion, which are recognized as members of two antigen families by neutralizing antibodies (Strong *et al.* 2000; Cevallos *et al.* 2000), it is a likely candidate for being subject to balancing selection. This is important because the detection of sites under this kind of selection has been used to identify potential vaccine targets (Mu *et al.* 2007). However,

the nature of the evolutionary forces responsible for the high diversity of this locus and the extent to which *gp60* subtyping is a good descriptor of the population structure at other genomic loci have yet to be determined.

Here, we used a multilocus approach to compare the patterns of nucleotide variation at *gp60* with those of nine other loci in isolates from the three *Cryptosporidium* species most often found in humans. We used population genetic tools to describe the intra- and between-species levels of genetic diversity and argue that these patterns are consistent with a long-term stable regime of balancing selection operating at this locus. Our results also bring new light on the genetic structure of *Cryptosporidium* populations at *gp60* and at the genome-wide level.

Material and methods

Cryptosporidium samples

Stool samples usually contain large numbers of *Cryptosporidium* oocysts that harbour four haploid sporozoites each. Sporozoites invade the intestinal epithelial cells, where they experience several rounds of asexual reproduction until they eventually enter a sexual cycle, which probably involves self-fertilization, which results in the production of new haploid oocysts that may re-infect the host or be excreted with the faeces.

In this work, *C. parvum* and *C. hominis* samples were obtained from stools of diarrhoeal patients at the Complejo Hospitalario Universitario de Santiago (Spain), which were collected from different locations within the Santiago de Compostela's health area between 2000 and 2003 (Table S1, Supporting information).

DNA extractions from *C. meleagridis* of human origin were obtained from the *Cryptosporidium* Reference Unit (Swansea, UK).

Gene selection and primers design

Sequences for *COWP1* and *gp60* were retrieved from GenBank. Eight additional genes (*ACoAs*, *dyn4*, *eIF4a*, *gtub*, *gtRNAI*, *ISWIr*, *KhRNAb* and *RNaseLi*) were chosen from conserved regions of the three *Cryptosporidium* genome projects available in CryptoDB (<http://cryptodb.org/cryptodb/>). We searched for single copy, orthologous genes using the symmetrical best match approach (Koonin 2005) with the aid of the WU-BLAST-2.0 (BLASTN), using as query the annotated genes of *C. parvum*. Hits with $\geq 70\%$ nucleotide homology between either *C. parvum* or *C. hominis* and *C. muris* over a sequence ≥ 1 kb were extracted, aligned with MUSCLE v 3.6 (Edgar 2004) and used to design primers. The eight selected loci were randomly chosen of the 73 coding regions that met these requirements.

Genomic DNA was extracted from stool samples using the QIAamp DNA Stool Mini kit (QIAGEN), and PCRs were performed using FastStart High Fidelity PCR System (Roche Diagnostics). Primer sequences and annealing temperatures are provided in Table S2 (Supporting information).

Sequence analyses

Direct sequencing of the PCR products was performed on an ABI3730XL sequencer using Big Dye (Applied Biosystems). Sequences were checked for accurate base calling using CodonCode Aligner (CodonCode Corporation); alignments were performed with MUSCLE (Edgar 2004) and manually corrected with BioEdit (Hall 1999). Sequence accession numbers are provided in Table S1 (Supporting information).

The levels of nucleotide diversity were described using the statistics π (Nei 1987) and θ_W (Watterson 1975). Genetic differentiation between groups of sequences was quantified as the average number of nonsynonymous

substitutions per site (K), using the Nei-Gojobori method. The Jukes and Cantor correction for multiple hits was used in all calculations. These analyses were performed with the aid of DnaSP v5.10.02 (Librado & Rozas 2009) and MEGA5 (Tamura *et al.* 2011). The number of shared synonymous and nonsynonymous polymorphisms was calculated by hand, using DnaSP v5.10.02 outputs.

Maximum-likelihood estimates of the population recombination rate parameter ($4N_e r$) for each locus were obtained using LDhat (McVean *et al.* 2002).

Results

Genetic diversity

Ten single-exon protein-coding loci (Table S2, Supporting information) were amplified and sequenced in *C. hominis* and *C. parvum* and eight in *C. meleagridis* (in 10, 13 and 7 samples, respectively). Genetic variation was generally low (Table 1). However, in *C. hominis*

Table 1 Average pairwise nucleotide diversity (expressed as percentage)

Species	Locus	N	Synonymous				Nonsynonymous			
			L	S	π_S	θ_{WS}	L	S	π_A	θ_{WA}
<i>Cryptosporidium hominis</i>	ACoAs	10	214.2	1	0.09	0.17	691.8	0	0	0
	COWP1	10	114.8	0	0	0	380.2	0	0	0
	dyn4	4	204.0	0	0	0	690.0	0	0	0
	eIF4a	10	166.2	0	0	0	586.8	0	0	0
	gp60	10	86.4	23	5.52	9.41	279.6	34	2.47	4.30
	gtRNAI	10	225.5	0	0	0	803.5	0	0	0
	gtub	10	215.0	1	0.09	0.16	712.0	1	0.03	0.05
	ISWIr	10	138.8	0	0	0	497.2	0	0	0
	KhRNAb	10	121.7	0	0	0	415.3	0	0	0
<i>Cryptosporidium parvum</i>	RNaseLi	8	239.2	0	0	0	840.8	0	0	0
	ACoAs	12	214.2	0	0	0	691.8	1	0.06	0.05
	COWP1	13	114.8	2	0.27	0.56	380.2	0	0	0
	dyn4	8	203.7	0	0	0	690.3	0	0	0
	eIF4a	12	166.2	1	0.10	0.20	586.8	0	0	0
	gp60	12	89.5	0	0	0	276.5	0	0	0
	gtRNAI	12	225.8	1	0.07	0.15	803.2	0	0	0
	gtub	13	213.5	0	0	0	713.5	0	0	0
	ISWIr	13	139.8	0	0	0	499.2	0	0	0
<i>Cryptosporidium meleagridis</i>	KhRNAb	10	121.8	1	0.17	0.29	415.2	0	0	0
	RNaseLi	11	239.8	0	0	0	840.2	0	0	0
	ACoAs	2	215.8	0	0	0	690.2	0	0	0
	COWP1	7	114.8	0	0	0	380.2	0	0	0
	gp60	5	90.4	12	5.51	6.37	281.6	13	1.94	2.22
	gtub	5	214.8	4	1.03	0.89	712.2	0	0	0
	ISWIr	7	140.8	0	0	0	501.2	0	0	0
	KhRNAb	4	121.8	0	0	0	415.2	0	0	0

N, sample size; L, number of sites; S, number of polymorphic sites; π_S and π_A , pairwise nucleotide diversity at synonymous and nonsynonymous sites, respectively (Nei 1987); θ_{WS} and θ_{WA} , nucleotide site variability based on the number of synonymous and nonsynonymous segregating sites, respectively (Watterson 1975).

and *C. meleagridis*, synonymous diversity at *gp60* ($\pi_s \sim 5.50\%$) was over one order of magnitude greater than at the other loci (weighted average $\pi_s = 0.02 \pm 0.02\%$ and $0.27 \pm 0.27\%$, respectively). In fact, high sequence differentiation among some *gp60* haplotypes (even from the same species) prevented the unambiguous alignment of the 5' ends of the sequences, so this part was excluded from the analyses. The trimmed sequence corresponds to positions 1 to 357 of the cgd6_1080 CryptoDB reference sequence and includes the micro-satellite sequence (a polyserine tract) commonly used for sample typing (Xiao 2010). Thus, the levels of polymorphism and divergence at this locus might have been underestimated.

Nonsynonymous diversity was much lower than at synonymous sites (Table 1). Again, *gp60* had the most variants, 47 of 49 in the whole sample.

Genetic divergence

The *gp60* locus also stood out by having higher synonymous differentiation in all between-species comparisons (average $K_s = 36.43 \pm 3.74\%$; Table 2). Contrastingly, the weighted average K_s at the other loci was significantly lower: $6.63 \pm 0.79\%$ between *C. hominis* and *C. parvum* and slightly higher between these and *C. meleagridis* (weighted average $K_s = 16.17 \pm 3.14\%$ and $16.19 \pm 2.99\%$, respectively). At nonsynonymous sites, K_A for *gp60* (average $K_A = 17.97 \pm 0.21\%$) was again significantly larger than at the control loci (weighted average K_A between *C. hominis* and *C. parvum* = $0.03 \pm 0.02\%$ and $0.61 \pm 0.19\%$ between these and *C. meleagridis*).

The *C. hominis gp60* samples could be grouped into two highly divergent subtypes: Ib (N = 9) and Id (N = 1; $K_s = 34.59 \pm 8.46\%$, Table 3). Again, the average synonymous divergence between these subtypes was two orders of magnitude lower at the other nine loci (average $K_s = 0.10 \pm 0.07\%$), as only three fixed substitutions were detected, at ACoAs and *gtub* (Table 4).

The *C. parvum* samples included two different *gp60* subtypes: the most common and widely distributed IIa (N = 10) and a subtype we called IIb (N = 3), which presents a single fixed substitution at position 278 of the cgd6_1080 sequence (outside the alignable region) that causes the replacement of an aspartic acid by a glutamic acid in the coded protein. This variant was found in 25 of 252 *C. parvum* sequences, typed as IIa, randomly retrieved from GenBank. Its relatively high frequency among *C. parvum* isolates and the observed genetic differentiation at other loci examined (e.g. one fixed change in ACoAs and five unshared polymorphisms; Table 4) support the notion that IIa and IIb are genetically isolated from each other and could be considered as different subtypes.

Table 2 Average pairwise nucleotide divergence at 10 loci (expressed as percentage), at synonymous (K_s , below diagonal) and nonsynonymous sites (K_A , above)

Locus	Ch	Cp	Cm
<i>gp60</i>			
Ch	—	13.20 2.73	21.00 3.46
Cp	31.00 7.40	—	19.70 3.16
Cm	43.60 9.20	34.70 7.49	—
Other loci*			
Ch	—	0.03 0.02	0.61 0.19
Cp	6.63 0.79	—	0.61 0.19
Cm	16.17 3.14	16.19 2.99	—

Ch, *C. hominis*; Cp, *C. parvum*; and Cm, *C. meleagridis*. Standard errors are in italics. Standard errors of the divergence estimates at *gp60* were obtained by bootstrap (1000 replicates). Divergence between *C. meleagridis* and the other species was estimated on the basis of sequence data from seven genes: ACoAs, COWP1, eIF4a, gtRNAI, gtub, ISWIr and KhRNAB.

*Average divergence values were weighted by the relative length of the sequences at each locus.

Table 3 Average pairwise nucleotide divergence at synonymous sites (expressed as percentage) between *Cryptosporidium* subtypes

Locus	Subtype			
	Ib	Id	II	III
<i>gp60</i>				
Ib	—	8.46	8.06	9.29
Id	34.59	—	7.23	8.23
II	31.30	28.58	—	7.35
III	44.10	38.39	34.69	—
Average across 9 loci				
Ib	—	0.07	0.00	0.91
Id	0.10	—	0.00	0.89
II	6.50	6.39	—	0.39
III*	19.23	19.05	17.47	—

Bootstrap estimates of the standard errors are in italics. Given the low diversity observed, the values of the comparisons involving subtypes from *C. parvum* and *C. meleagridis* were pooled into groups II and III, respectively.

*Divergence estimates with *C. meleagridis* subtypes were obtained using sequence data from seven genes: ACoAs, COWP1, eIF4a, gtRNAI, gtub, ISWIr and KhRNAB.

The five *C. meleagridis gp60* sequences were all distinct. One was identical to subtype IIIb (Feng *et al.* 2011) and the other four were novel subtypes (here

Table 4 Nucleotide variants at six genomic loci found to be segregating within any of the three *Cryptosporidium* species

Species	Subtype	Isolate	Locus												
			<i>eIF4a</i>		<i>KhRNAb</i>		<i>ACoAs</i>		<i>COWPI</i>		<i>gtub</i>			<i>gtRNAI</i>	
			483	807	690	1120	834	915	150	171	175	177	507	795	273
<i>Ch</i>	Ib	25	C	A	T	G	C	T	G	C	C	T	C	A	C
	Ib	57	.	.	C	.	T	.	.	.	A	.	T	.	.
	Ib	67	.	.	C	.	T	.	.	.	A	.	T	.	.
	Ib	107	.	.	C	.	T	.	.	.	A	.	T	.	.
	Ib	124	.	.	C	.	T	.	.	.	A	.	T	.	.
	Ib	141	.	.	C	.	T	.	.	.	A	.	T	.	.
	Ib	182	.	.	C	.	T	.	.	.	A	.	T	.	.
	Ib	351	.	.	C	.	T	.	.	.	A	.	T	.	.
	Ib	395	.	.	C	.	T	.	.	.	A	.	T	.	.
	Id	77	T
<i>Cp</i>	IIa	1	.	.	.	A	.	A
	IIa	5	.	.	.	A	.	A
	IIa	15	.	.	.	A	.	A
	IIa	33	.	.	.	A	.	A
	IIa	73	.	—	.	A	.	A	T
	IIa	93	.	.	.	A	.	A
	IIa	138	.	.	.	A	.	A
	IIa	153	.	.	.	A	.	A
	IIa	160	—	—	—	—	.	A	—
	IIa	167	.	.	.	A	.	A
	IIIn	43	T	A
	IIIn	48	.	—	.	.	T
<i>Cm</i>	IIIn	155	.	T	.	.	.	A
	IIIb	10357	—	.	—	—	.	.	—	—	—	—	—	—	—
	IIIc	95	C	.	.	.
	IIIg	8716	—	.	—	—	.	.	.	T	—
	—	9067	—	.	—	—	.	.	A	T	—
	IIIh	1848	—	.	—	—	C	.	G	—
	—	9077	—	.	—	—	C	.	G	—
	IIIi	3549	—	.	—	—	.	.	—	—	—	—	—	—	—

Fixed differences between the species are not included. *Ch*, *C. hominis*; *Cp*, *C. parvum*; *Cm*, *C. meleagridis*. Numbers in the table header are nucleotide positions in the reference sequence of each locus; nonsynonymous sites are in bold case; the first line indicates the ancestral state, as inferred from the genotype at *C. meleagridis*; dots indicate that the nucleotide is the same as the ancestral one (ancestry was inferred by parsimony); —, genotype not available.

named IIIc, IIIg, IIIh and IIIi). No variation was detected in *ACoAs*, *COWPI* and *KhRNAb*. Contrastingly, *gtub* displayed substantial synonymous polymorphism ($\pi_s = 1.03\%$, Table 1), the largest for any locus other than *gp60*, and *gtub* haplotypes were not shared between samples with different subtypes (Table 4), which suggests that the population structure defined by variation at *gp60* may extend to other loci. At any rate, most within-species diversity at *gp60* corresponds to differences between rather than within subtypes. To check whether our sample was misleading, we compiled a larger data set with *gp60* sequences of subtypes Ib, Id, IIa and IIIn extracted from GenBank ($N = 61, 34, 120$ and 17, respectively). Interestingly, we detected some

diversity within subtypes (π_s and θ_{ws} estimates of the order of 0.21% and 1.31%, respectively, Table S3, Supporting information), which means that *gp60* haplotypes define groups of sequences that have accumulated a non-negligible amount of diversity (Strong *et al.* 2000).

Genetic differentiation between subtypes presented interesting features. Silent differentiation between the two *C. hominis* *gp60* subtypes Ib and Id ($K_S = 34.59 \pm 8.46\%$) was much larger than at the control loci in the same groups of isolates, even in between-species comparisons (Table 3). Furthermore, K_S values at *gp60* did not vary significantly across subtypes from different species (average $K_S = 35.41 \pm 2.72\%$), whereas at the control loci, synonymous divergence between *C. hominis*

and *C. parvum* was nearly three times lower than that between any of these species and *C. meleagridis* (Table 3).

Natural selection at *gp60*

Average K_A/K_S between *gp60* subtypes was high (0.47 ± 0.03 , from Table S4, Supporting information), in good agreement with previous data (Widmer 2009). Given that the more rapidly evolving regions of the gene were excluded from the analysis, this is probably an underestimate of the true ratio. This is in sharp contrast with the low K_A/K_S observed at the control loci (average 0.02 ± 0.005). In fact, average K_A between isolates with different subtypes was more than one hundred times greater at *gp60* than at the control loci, whereas K_S values only increased by a factor of 3. Thus, *gp60* displayed not only a higher overall differentiation but also a significantly higher K_A/K_S ratio than the control loci.

To test the hypothesis that *gp60* variants might have been the target of long-term balancing selection, we applied the Hudson–Kreitman–Aguadé test (Hudson *et al.* 1987), which assesses the fit of the patterns of within- and between-species variation, at two or more loci, to the neutral theory prediction that the levels of neutral polymorphism and divergence should be proportional to the mutation rate and constant across loci (Kimura 1983). We used a maximum-likelihood version of the test that allows for explicit tests for selection in a multilocus framework (Wright & Charlesworth 2004). This enabled the comparison of selection and neutral models by means of a likelihood-ratio test. The selection model produced significantly better fits to the data in all comparisons, regardless of the species used to estimate divergence (using polymorphism data from *C. hominis* and *C. meleagridis*; Table S5, Supporting information). This method also provides maximum-likelihood estimates of the selection parameter k , a measure of the degree to which diversity is increased by selection; k values indicated a significant excess of synonymous polymorphism at *gp60* in these species. The lack of polymorphism at the alignable region of the *gp60* locus in our *C. parvum* sample explains the negative results when using this species.

gp60 trans-specific polymorphisms

If we assume that genetic diversity at *gp60* has been maintained by some sort of long-term balancing selection since before the split of the sampled species, some of the observed polymorphisms should be shared between them. To test this hypothesis, we retrieved from GenBank the sequences of other *gp60* subtypes from the three *Cryptosporidium* species included in our study, aligned them along with our own data and

compared the numbers of between-species shared polymorphisms with random expectations by means of the hypergeometric distribution (Hasselman *et al.* 2008). We found a significant excess of shared synonymous and nonsynonymous polymorphisms in all species comparisons (Table 5), which is in sharp contrast with the observation that only one of the polymorphisms at *COWPI* (the only control locus with sufficient variation data available for this analysis) was shared between species and/or groups of isolates with the same subtype ($P < 0.05$; sequence data retrieved from GenBank, not shown).

Recombination rate

Our results suggest that a significant fraction (nearly half) of the nucleotide substitutions at the control loci were fixed within isolates sharing the same subtype (Table 4), pointing towards the existence of barriers to genetic exchange between parasites with different *gp60* allelic groups. This is further supported by the lack of evidence for meiotic recombination at any locus in our sample (maximum-likelihood estimates of the population recombination rate, $4N_e r$, were not significantly different from zero, in a permutation test, McVean *et al.* 2002; data not shown). To obtain a broader representation of variation at *gp60* worldwide, we analysed a larger sample of 60 distinct alleles, including all subtypes described to date in *C. hominis*, *C. parvum* and *C. meleagridis*, as well as several from other species (sequence data were retrieved from Feng *et al.* 2011). The resulting estimate of $4N_e r$ was 25 ($P = 0.048$ in a permutation test), which is a very low value, considering that most haplotypes have persisted for very long period of time in these populations. This is in good agreement with the scarce evidence for recombination in these parasites (e.g. Tanriverdi *et al.* 2008; Widmer & Lee 2010; who analyse patterns of variation at multiple microsatellite loci), which is mostly restricted to experimental crosses in the laboratory (Tanriverdi *et al.* 2007).

Discussion

Gp60 presents significantly higher within-species genetic variation than other nine loci used as genomic controls. This can be largely attributed to differences between rather than within *gp60* allelic classes. The only exception is *C. parvum*, because IIa and II_n differ by just one non-synonymous change (located outside the alignable region). However, the overall genetic diversity of this locus does not seem to be lower in *C. parvum* than in other *Cryptosporidium* species, as it also presents many different subtypes, most of which are rare in humans (Xiao 2010). On the other hand, within-subtype variation

Table 5 Shared polymorphisms at the *gp60* locus across three *Cryptosporidium* species

	S	P	NS	P
Number of sites (x3)	243		792	
<i>C. hominis</i> polymorphisms	28		35	
<i>C. parvum</i> polymorphisms	29		35	
Shared between species	18	3.9×10^{-13}	25	0
Number of sites (x3)	252		801	
<i>C. hominis</i> polymorphisms	30		33	
<i>C. meleagridis</i> polymorphisms	22		24	
Shared between species	7	7.0×10^{-3}	9	9.3×10^{-8}
Number of sites (x3)	254		799	
<i>C. parvum</i> polymorphisms	37		38	
<i>C. meleagridis</i> polymorphisms	27		34	
Shared between species	11	3.6×10^{-4}	11	8.3×10^{-8}

NS, nonsynonymous; S, synonymous. Accession numbers of additional sequences from GenBank (most of them referenced in Feng *et al.* (2011)): *C. hominis* (AF164502, DQ665688, AY262031, DQ665692, AY738184, AF440638, EF208067, FJ971716), *C. parvum* (AY262034, DQ192501, AF164491, AF440636, AF164501, HM234171-HM234172, AY738194, AY873780-AY873782, AM937006) and *C. meleagridis* (AB539717-AB539721, AF401498-AF401501, DQ067570); Codons that display several changes that prevent the unambiguous inference of the evolutionary paths were excluded from the analysis. P, cumulative probability in a hypergeometrical distribution ($X \geq$ number of observed between-species shared polymorphisms).

at *gp60* is much smaller and does not differ from that observed at the control loci (Tables 1 and S3, Supporting information). In principle, this high within-species genetic variation could be potentially explained if *gp60* was present in multiple, highly diverged copies in the parasite's genome. However, a large body of evidence supports the notion that *gp60* is a single copy gene: (i) genetic analyses with restriction enzymes and Southern blot (Strong *et al.* 2000; Cevallos 2000); (ii) the genome sequence assemblies of three different *Cryptosporidium* species (*C. hominis*, *C. parvum* and *C. muris*; Accession nos: AAEL00000000.1, AAEE00000000.1 and AAZY02000, respectively); and (iii) the extremely low fraction of samples with more than one haplotype reported, which is usually attributed to co-infection with more than one *Cryptosporidium* lineage (Tanriverdi *et al.* 2008; Chalmers *et al.* 2009, 2010).

Under the null hypothesis of a genome-wide homogeneous nucleotide mutation rate, the large between-subtype neutral divergence observed at *gp60*, as compared with the control loci (Tables 3 and S4, Supporting information), suggests that most *gp60* alleles are older than the species that harbour them. This could also explain previous puzzling observations such as higher differentiation between subtypes from the same species than between alleles from different species (e.g. *C. hominis* Ib and Id in our data set or *C. cuniculus* Va and Vb; from Figure 1 of Feng *et al.* (2011)). In this scenario, highly divergent conspecific haplotypes would correspond to ancient alleles that were maintained segregating in the same species, or population, since their origin. On the other hand, those haplotypes that origi-

nated at the time of the split of the species they are found in are expected to present a genome-average level of neutral divergence. Overall, these results are consistent with a long-term structured variation at this locus across the whole genus *Cryptosporidium* and question the use of *gp60* to study the phylogenetic relationships of *Cryptosporidium* isolates.

Alternatively, the higher differentiation at *gp60* could be explained by an increased mutation rate at this locus (as proposed in Waldron & Power 2011), which would explain the higher rate of neutral evolution, K_S , coupled with a relaxation of purifying selection, consistent with the observed high K_A/K_S ratio. However, this scenario cannot be reconciled with the observed significant excess of shared variants across species in *gp60* only.

The MLHKA test strongly suggests a significant excess of synonymous polymorphism at *gp60*, which is consistent with the hypothesis that this locus is subject to a different selective regime than the other loci. Such observations are commonly used as 'footprints' of long-term balancing selection (Kreitman 2000). Given that the surface proteins encoded by *gp60* are detected in sporozoites and merozoites (Cevallos *et al.* 2000; Strong *et al.* 2000), infective forms of the haploid stages of the parasite's life cycle (Tanriverdi *et al.* 2007), variability is likely to be maintained by FDS rather than by overdominance (heterozygote advantage; Charlesworth 2006). FDS is a form of selection in which the fitness of a phenotype varies over time, depending on the frequencies of other phenotypes in the parasite population (direct FDS) and/or the genotypic frequencies of the host (indirect FDS; Brown & Tellier 2011). In the case of a patho-

gen, rare alleles are likely to have an advantage due to their greater chance of evading the host immune system. Two processes have been proposed to act in such cases. In the 'arms race' model, the successive sweep to fixation of new positively selected virulence alleles in the pathogen population is expected to cause a reduction in variability at linked sites. In the 'trench warfare' model, alleles are maintained in the population, although periods of lower frequency can cause less extreme bottleneck episodes for different allelic lineages, generating populations with numerous allelic classes at the selected loci (subtypes) within which diversity is low (Bergelson *et al.* 2001; Charlesworth 2006; Aguileta *et al.* 2009; Brown & Tellier 2011). The large allelic diversity shown by the *gp60* locus does not seem compatible with the first model. On the contrary, the long-term subtype persistence, the low diversity exhibited worldwide within the *gp60* allelic lineages and the excess of shared synonymous and nonsynonymous polymorphic variants among distantly related species are consistent with the maintenance of longstanding polymorphism through the trench warfare model.

As mentioned above, the excess of diversity was not observed in our *C. parvum* sample, as genotypes IIa and IIn differ in just one nucleotide position outside the alignable region. In fact, the vast majority of *C. parvum gp60* sequences isolated from humans present either of these two genotypes (120 and 17, respectively in our sample from GenBank, Table S3, Supporting information). Contrastingly, *C. parvum* haplotypes from different hosts display high levels of differentiation (average K_S and K_A in a sample including subtypes IIa, IIc, IId, IId, IIe, IIg, IIh and IIi are 22.4% and 10.7%, respectively; data from Feng *et al.* 2011), which suggests that the same selective regime operates in the three *Cryptosporidium* species studied. The reduced level of variation of *C. parvum* infecting humans could be interpreted as evidence for a recent selective sweep or a recent adaptation of the parasite to the human host. Although these results may be modulated as new sequence data become available, they highlight the importance of the presence of this and other zoonotic parasite species in different hosts, which could serve as genetic reservoirs from which new variants can be horizontally transmitted to humans.

Finally, we did not detect an excess of shared variants among isolates of different subtypes (or species) at the control loci, which suggests that genetic variation of the parasite's genome is to some extent clustered within groups defined by the *gp60* subtypes. This could be explained as a by-product of recurrent selective episodes at *gp60* that in the short term may cause sweeps of the variation nearby the selected sites. If meiotic

recombination rates are low, as suggested by our data, this sweeps may have a genome-wide effect. However, the fact that the control loci do not display the same patterns of diversity as *gp60* suggests that this effect fades with time and that recombination occurs in natural populations, at least rarely, as suggested by our analysis of *gp60* diversity worldwide. Alternatively, demographic events such as a recent population admixture could also result in a structuring of the genetic variation, but this phenomenon cannot explain the comparatively higher levels of variation observed at *gp60* only. In either case, these observations suggest that the artificial clustering of *Cryptosporidium* variation in *gp60* subtypes for epidemiological purposes does seem to reflect a real pattern of genetic variation in natural populations of the parasite.

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Data accessibility

DNA sequences: GenBank Accession nos JQ349161–JQ349402.

Sequence alignments: Dryad doi:10.5061/dryad.k8vr8.

Supporting information

Additional supporting information may be found in the online version of this article.

Table S1 Sampling details and sequence accession numbers.

Table S2 Primers used for the PCR amplification of *Cryptosporidium* nuclear genes.

Table S3 Nucleotide diversity at *gp60* within *Cryptosporidium* subtypes, expressed as % (GenBank data).

Table S4 Estimates of pairwise nucleotide divergence at synonymous (%; below the diagonal) and nonsynonymous sites (above) between *C. hominis*, *C. parvum* and *C. meleagridis* subtypes.

Table S5 Maximum likelihood Hudson-Kreitman-Aguadé test on variation data at *gp60* synonymous sites.

1.1 Material suplementario





Table S1. Sampling details and sequence accession numbers.

Species	Sample ID	Collection place	Date	Accession number									
				<i>ACoDs</i>	<i>COWPI</i>	<i>dyn4</i>	<i>eIF4a</i>	<i>gp60</i>	<i>gtrNal</i>	<i>gub</i>	<i>ISWlr</i>	<i>KhrNAb</i>	<i>RNaseLi</i>
<i>C. hominis</i>	25	Negreira, Galicia, Spain	28/11/2000	JQ349379	JQ349360	-	JQ349324	JQ349234	JQ349261	JQ349297	JQ349161	JQ349220	JQ349202
	57	Santiago, Galicia, Spain	04/06/2001	JQ349380	JQ349361	JQ349335	JQ349325	JQ349235	JQ349262	JQ349298	JQ349162	JQ349221	JQ349203
	67	Brion, Galicia, Spain	18/07/2001	JQ349381	JQ349362	JQ349336	JQ349326	JQ349236	JQ349263	JQ349299	JQ349163	JQ349222	JQ349204
	77	Santiago, Galicia, Spain	30/08/2001	JQ349382	JQ349363	JQ349337	JQ349327	JQ349237	JQ349264	JQ349300	JQ349164	JQ349223	JQ349205
	107	Ames, Galicia, Spain	10/11/2001	JQ349383	JQ349365	JQ349338	JQ349328	JQ349238	JQ349265	JQ349301	JQ349165	JQ349224	-
	124	Rianxo, Galicia, Spain	07/03/2002	JQ349384	JQ349366	-	JQ349329	JQ349238	JQ349266	JQ349302	JQ349166	JQ349225	JQ349206
	141	Teo, Galicia, Spain	04/04/2002	JQ349385	JQ349367	-	JQ349330	JQ349239	JQ349267	JQ349303	JQ349167	JQ349226	-
	182	Santiago, Galicia, Spain	15/10/2002	JQ349386	JQ349368	-	JQ349331	JQ349240	JQ349268	JQ349304	JQ349168	JQ349227	JQ349207
	351	Santiago, Galicia, Spain	28/10/2003	JQ349387	JQ349369	-	JQ349332	JQ349241	JQ349269	JQ349305	JQ349169	JQ349228	JQ349208
	395	A Estrada, Galicia, Spain	02/12/2003	JQ349388	JQ349364	-	JQ349333	JQ349242	JQ349270	JQ349306	JQ349170	JQ349229	JQ349209
<i>C. parvum</i>	1	Santiago, Galicia, Spain	16/03/2000	JQ349389	JQ349347	JQ349339	JQ349312	JQ349244	JQ349271	JQ349284	JQ349171	JQ349210	JQ349191
	5	Val do Dubra, Galicia, Spain	13/06/2000	JQ349390	JQ349348	JQ349340	JQ349313	JQ349245	JQ349272	JQ349285	JQ349172	JQ349211	JQ349192
	15	Rodero, Galicia, Spain	26/09/2000	JQ349391	JQ349349	JQ349341	JQ349314	JQ349246	JQ349273	JQ349286	JQ349173	JQ349212	JQ349193
	33	Rianxo, Galicia, Spain	26/01/2001	JQ349392	JQ349350	JQ349342	JQ349315	JQ349247	JQ349274	JQ349287	JQ349174	JQ349213	JQ349194
	43	Pobra do Caramiñal, Galicia, Spain	22/02/2001	JQ349399	JQ349357	JQ349345	JQ349321	JQ349253	JQ349280	JQ349294	JQ349181	JQ349218	JQ349199
	48	Ribeira, Galicia, Spain	12/03/2001	JQ349398	JQ349358	-	JQ349322	JQ349254	JQ349281	JQ349295	JQ349182	-	JQ349200
	73	Vedra, Galicia, Spain	31/07/2001	JQ349393	JQ349351	JQ349343	JQ349316	JQ349248	JQ349275	JQ349288	JQ349175	-	-
	93	Padrón, Galicia, Spain	08/10/2001	JQ349394	JQ349352	JQ349344	JQ349317	JQ349252	JQ349276	JQ349289	JQ349176	JQ349214	JQ349195
	138	Santiso, Galicia, Spain	25/03/2002	JQ349395	JQ349353	-	JQ349318	JQ349249	JQ349277	JQ349290	JQ349177	JQ349215	JQ349196
	153	Tordoia, Galicia, Spain	31/05/2002	JQ349396	JQ349354	-	JQ349319	-	JQ349278	JQ349291	JQ349178	JQ349216	JQ349197
	155	Rianxo, Galicia, Spain	13/06/2002	JQ349400	JQ349359	JQ349346	JQ349323	JQ349255	JQ349282	JQ349296	JQ349183	JQ349219	JQ349201
	160	Trazo, Galicia, Spain	12/07/2002	-	JQ349355	-	-	JQ349250	-	JQ349292	JQ349179	-	-
	167	Arzúa, Galicia, Spain	02/09/2002	JQ349397	JQ349356	-	JQ349320	JQ349251	JQ349279	JQ349293	JQ349180	JQ349217	JQ349198
<i>C. meleagridis</i>	95	Santa Comba, Galicia, Spain	11/10/2001	JQ349401	JQ349370	-	JQ349334	JQ349257	JQ349283	JQ349307	JQ349184	JQ349230	-
	1848	Wales, UK	26/09/2000	JQ349402	JQ349371	-	-	JQ349259	-	JQ349308	JQ349185	JQ349231	-

Table S2. Primers used for the PCR amplification of *Cryptosporidium* nuclear genes.

locus tag ^a	gene ID	symbol	name	primers		
				sequence 5'-3'	T _a (°C)	size (bp)
cgd1_580	3371500	<i>dyn4</i>	Dynaactin 4-F Dynaactin 4-R	GGTATWGTAGATGATCCA AGCTTGAATTTTYCTTAA	48	957
cgd1_880	3371494	<i>eIF4a</i>	Euk-factor-F Euk-factor-R	AACCATCWGCAATTCAAC ATGACTTTCACGRTCTCTTT	49	778
cgd1_980	3371492	<i>RNaseLi</i>	RNaseLi-F RNaseLi-R	TGTGTTAAGAAAATGTCCA RAATTGAACATCCATAAA	48	1161
cgd1_1280	3371592	<i>KhRNAb</i>	Kh-F1 ^b Kh-R1 ^b	GTTGGATATATWACAGGAA GTTTCATCTTCAACACG	48	614
			Khn-F ^c Khn-R ^c	GTWCAGCAAAAARCATGG TTTCRTACATTCTSCKTTC	48	823
			Khms-F ^d Khms-R ^d	CAGGAAAARAARGGCCARGG TTTGCWCCAGTYACATACCC	51	568
cgd1_3710	3371515	<i>ACoAs</i>	ACoAs-F ACoAs-R	TGCATTAAATTTGGGAAG GTTTGCCAATAWGTATCA	48	963
cgd6_1080	3376025	<i>gp60</i>	gp60-F3 gp60-R3	ATAGTCTCCGCTGTATTC TGCAACCAAACTGTAC	50	750
			gp60-F2 ^e gp60-R2 ^e	CCAGCCGTTCCACTCAG GTYTGCAACCAAACTGTAC	52	729

egd6_2090	3375880	<i>COWP1</i>	COWP-F4 COWP-R4	GTAGATAATGGAAGAGATTGTG GGACTGAAATACAGGCATTATCTTG	51	553
egd7_1980	3371797	<i>gtub</i>	gtub-F gtub-R	AATAGGCATGGARTTTTGG GGGCCCCACTGAATAAAT	51	1008
egd8_790	3374640	<i>gtRNAI</i>	gtRNAI-F gtRNAI-R	CCTTCAGGTTACTTACATA CATTWCCCCACTTCATAA	48	1108
egd8_4620	3374446	<i>ISWTr</i>	SNF2-F SNF2-R	GCAATGATGGAYCCTGTT ATGWGAACAACAAATCCT	48	663

T_a, annealing temperature.

^a Locus tag assigned in the *C. parvum* genome annotation; egd1 to egd8 indicate the linkage group (chromosome).

^b Used to amplify *KhRNAb* from *C. parvum* and *C. hominis*.

^c Used to amplify *KhRNAb* from *C. meleagridis*.

^d Used to re-amplify the PCR product obtained with Khm-F and Khm-R (nested PCR). ^e Used to re-amplify the PCR product obtained with gp60-F3 and gp60-R3 (nested PCR).

Table S3. Nucleotide diversity at *gp60* within *Cryptosporidium* subtypes, expressed as % (GenBank data).

subtype	<i>N</i>	synonymous				nonsynonymous			
		<i>L</i>	<i>S</i>	π_S	θ_{WS}	<i>L</i>	<i>S</i>	π_A	θ_{WA}
Ib	61	156.0	11	0.23	1.50	513.0	26	0.41	1.08
Id	34	161.3	8	0.29	1.21	525.7	8	0.09	0.37
IIa	120	136.1	16	0.20	2.19	424.9	44	0.19	1.19
IIIn	17	172.2	2	0.14	0.34	538.8	1	0.02	0.06

N, sample size; *L*, number of sites; *S*, number of polymorphic sites; π_S and π_A , pairwise nucleotide diversity at synonymous and nonsynonymous sites, respectively; θ_{WS} and θ_{WA} , nucleotide site variability based on the number of synonymous and nonsynonymous segregating sites, respectively. The polyserine tract was excluded from the analysis.

Table S4. Estimates of pairwise nucleotide divergence at synonymous (%; below the diagonal) and nonsynonymous sites (above) between *C. hominis*, *C. parvum* and *C. meleagridis* subtypes.

locus		Ib	Id	IIa	IIIn	IIIb	IIIf	IIIg	IIIh	IIIi
<i>gp60</i>	Ib		12.88	13.58	13.58	20.63	21.24	21.75	20.75	21.24
	Id	34.59		9.38	9.38	18.11	20.77	21.27	20.29	20.77
	IIa	31.30	28.58		0.00	17.75	20.16	20.67	19.68	20.16
	IIIn	31.30	28.58	0.00		17.75	20.16	20.67	19.68	20.16
	IIIb	37.67	46.32	38.95	38.95		4.35	3.94	3.95	3.54
	IIIf	45.78	37.42	33.65	33.65	12.87		0.38	1.16	0.77
	IIIg	48.01	35.46	35.52	35.52	14.28	1.20		0.77	0.38
	IIIh	43.43	35.33	31.71	31.71	14.24	1.20	2.42		0.38
	IIIi	45.78	37.42	33.65	33.65	12.87	0.00	1.20	1.20	
other loci	Ib		0.02	0.03	0.02	0.00	0.57	0.61	0.71	0.00
	Id	0.10		0.02	0.00	0.00	0.55	0.56	0.67	0.00
	IIa	6.50	6.39		0.02	0.00	0.57	0.56	0.71	0.00
	IIIn	6.50	6.39	0.14		0.00	0.55	0.56	0.67	0.00
	IIIb	17.58	17.58	16.49	16.49		0.00	0.00	0.00	0.00
	IIIf	16.19	16.02	15.78	15.87	0.00		0.00	0.00	0.00
	IIIg	21.03	20.82	18.69	18.87	0.00	0.31		0.00	0.00
	IIIh	20.08	19.78	18.18	18.31	0.00	0.12	0.47		0.00
	IIIi	17.58	17.58	16.49	16.49	0.00	0.00	0.00	0.00	

Table S5. Maximum likelihood Hudson-Kreitman-Aguade test on variation data at *gp60* synonymous sites.

source data		<i>N</i>	model	ln <i>L</i>	LRT	<i>p</i>	<i>k</i>
polymorphism	divergence with						
<i>C. hominis</i>	<i>C. parvum</i>	10	I	-37.2	24.3	8.4 x 10 ⁻⁷	35.0
			II	-25.1			
<i>C. hominis</i>	<i>C. meleagridis</i>	8	I	-45.0	36.3	1.6 x 10 ⁻⁹	55.8
			II	-26.8			
<i>C. meleagridis</i>	<i>C. hominis</i>	6	I	-29.3	11.1	8.5 x 10 ⁻⁴	13.5
			II	-23.7			
<i>C. meleagridis</i>	<i>C. parvum</i>	6	I	-28.5	10.5	1.2 x 10 ⁻³	13.7
			II	-23.2			
<i>C. parvum</i>	<i>C. hominis</i>	10	I	-32.2	1.8	0.174	0.0
			II	-31.3			
<i>C. parvum</i>	<i>C. meleagridis</i>	8	I	-26.6	2.5	0.117	0.0
			II	-25.4			

N, Number of loci; Model I: all loci evolve neutrally; Model II: *gp60* evolves under selection. LTR is the value of the likelihood ratio test; *k* is the ML estimate of selection parameter for *gp60*, the locus putatively under selection. The lack of evidence for selection when *C. parvum* was used as the source of polymorphism was predictable since the aligned fraction of the two *gp60* haplotypes was identical.



2. High-throughput genotyping assay for the large-scale genetic characterization of *Cryptosporidium* parasites from human and bovine samples





High-throughput genotyping assay for the large-scale genetic characterization of *Cryptosporidium* parasites from human and bovine samples

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SUMMARY

The epidemiological study of human cryptosporidiosis requires the characterization of species and subtypes involved in human disease in large sample collections. Molecular genotyping is costly and time-consuming, making the implementation of low-cost, highly efficient technologies increasingly necessary. Here, we designed a protocol based on MALDI-TOF mass spectrometry for the high-throughput genotyping of a panel of 55 single nucleotide variants (SNVs) selected as markers for the identification of common *gp60* subtypes of four *Cryptosporidium* species that infect humans. The method was applied to a panel of 608 human and 63 bovine isolates and the results were compared with control samples typed by Sanger sequencing. The method allowed the identification of species in 610 specimens (90.9%) and *gp60* subtype in 605 (90.2%). It displayed excellent performance, with sensitivity and specificity values of 87.3 and 98.0%, respectively. Up to nine genotypes from four different *Cryptosporidium* species (*C. hominis*, *C. parvum*, *C. meleagridis* and *C. felis*) were detected in humans; the most common ones were *C. hominis* subtype Ib, and *C. parvum* IIa (61.3 and 28.3%, respectively). 96.5% of the bovine samples were typed as IIa. The method performs as well as the widely used Sanger sequencing and is more cost-effective and less time consuming.

Key words: *Cryptosporidium* epidemiology, high-throughput SNV genotyping, MALDI-TOF mass spectrometry.

INTRODUCTION

Discrimination between *Cryptosporidium* species and subtypes is crucial for epidemiological studies and for the prevention and control of cryptosporidiosis. These require the screening of vast numbers of samples to determine the genotypes involved in human disease and the possible sources of contamination. Nowadays, Sanger DNA sequencing at a reduced number of genes is the most common tool used for molecular determination (Strong *et al.* 2000; Xiao *et al.* 2004). However, when large numbers of samples are analysed, this method is expensive and impractical (Chalmers, 2008). Another limitation of the technique is that sometimes it is difficult to obtain high-quality sequences from stool DNA extractions containing inhibitors and/or DNA degrading substances (Chalmers, 2008; Jex *et al.* 2008).

There is a range of high-throughput cost-effective tools available to identify *Cryptosporidium* species and subtypes (Jex *et al.* 2008). The most widely used is the electrophoretic display of genetic variants using single-stranded conformation polymorphism (SSCP), based on changes in the mobility of single-stranded DNA in a non-denaturing polyacrylamide gel (Gasser *et al.* 2006; Jex *et al.* 2007b). This method is highly efficient, although very dependent on the genes used to discriminate polymorphisms (Chalmers *et al.* 2005; Jex *et al.* 2007b, 2008), so the selection of adequate loci is essential to obtain accurate results. A caveat to this technique is that it does not identify the nature of the variants detected and further sequencing is needed to determine it.

The aim of our study was to test and describe a new method for genotyping *Cryptosporidium* isolates

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based on the combined use of single base extension (SBE) of a panel of selected single nucleotide variants (SNVs), that includes both polymorphic positions within a species (single nucleotide polymorphisms, SNPs) and fixed changes between species, followed by sequencing by mass spectrometry (Matrix-Assisted Laser Desorption/Ionization-Time Of Flight, MALDI-TOF). Its performance was tested in a total of 608 human isolates representative of community cases seeking medical assistance and 63 bovine samples. The results were compared with Sanger sequencing data from a panel of control samples.

MATERIALS AND METHODS

Cryptosporidium samples

The samples of human origin were routinely collected from diarrhoeal patients between years 2000 and 2008 at the Complejo Hospitalario Universitario de Santiago (CHUS, Santiago de Compostela, Spain) and diagnosed by staining oocysts with the auramine phenol method (Casemore *et al.* 1984). *Cryptosporidium* positive samples were stored at -20°C in 1.5 mL plastic vials. The bovine stool samples were collected from calves (< 1 month of age) in 2007 and diagnosed as *Cryptosporidium*-positive at the Laboratorio de Sanidade e Producción Animal da Xunta de Galicia (Lugo, Spain) using immunochromatography.

DNA extraction

DNA was isolated from 180–200 mg of stool samples using the QIAamp DNA Stool Mini kit (QIAGEN, Izasa, Barcelona, Spain) with slight modifications of the manufacturer's protocol to improve the disruption of *Cryptosporidium* oocysts. Three freeze-thawing cycles were performed after the addition of Buffer ASL by immersion in liquid nitrogen for 1 min and thawing in a water-bath at 95°C for 2 min.

Genotyping

Samples were genotyped at single nucleotide variants from five different loci (*ACoAs*, *COWP1*, *gp60*, *gtub* and *ISWIr*), allowing us to distinguish between *Cryptosporidium* species and subtypes infecting humans using the MassARRAY system (Sequenom), which combines the iPLEX Gold genotyping technology with MALDI-TOF mass spectrometry and allows for the automated analysis of large numbers of samples. This assay is based on a primer extension aimed to detect sequence differences at the nucleotide level (Fig. 1). The protocol includes an initial PCR amplification of the region surrounding the sequence variation of interest, followed by the addition of a primer with mass-modified terminators that anneals

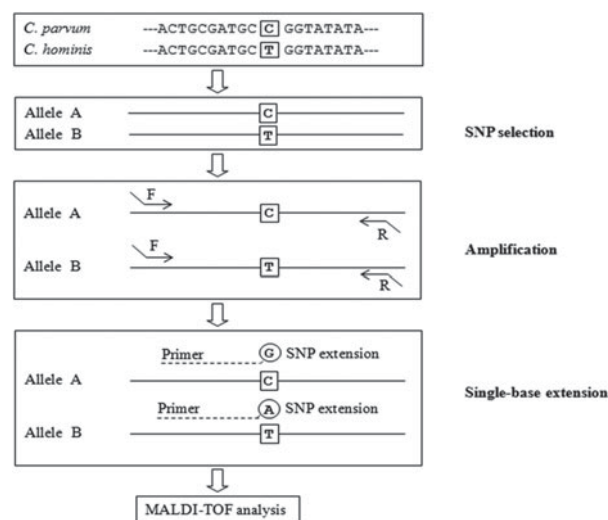


Fig. 1. Schematic diagram of the iPLEX™ Gold genotyping process. SNP markers are selected. The region around each SNP is PCR amplified in each sample. Specific oligonucleotides are added for a single-base extension. SNV alleles are identified by allele-specific differences in mass between alternative extension products.

immediately upstream of the polymorphic site and produces a specific single-base extension of the product complementary to the SNV (Gabriel *et al.* 2009). The mass difference of the single-base extension products enables allelic discrimination, which is performed by MALDI-TOF mass spectrometry (Sauer and Gut, 2002). Several SNVs of interest can be amplified simultaneously, a process known as ‘multiplexing’.

The protocol was initially developed in two plexes of 20 and 15 SNVs. These were applied to 671 samples distributed into eight plates containing 90 samples and six appropriate controls each. However, given that some of the SNVs produced low-quality results (e.g. low call rates or dubious genotypes), 20 additional SNVs were also genotyped (Tables 1 and 2). These were arranged in three plexes of ten, six and four SNVs each that were applied to a single plate with 83 samples with dubious genotypes and the corresponding positive and negative controls. The designs of amplification and extension primers, as well as allele calling, were performed at the Universidade de Santiago de Compostela node of the Centro Nacional de Genotipado (CEGEN).

Diagnostic SNVs were chosen among those described in a multilocus variation study (Abal-Fabeiro *et al.* 2013) and from comparison of selected representative sequences retrieved from GenBank (Supplemental Table 1S – in Online version only). All SNVs were located in the coding sequences of five genes: *ACoAs*, *COWP1*, *gp60*, *gtub* and *ISWIr*, which have been mapped to four different chromosomes (1, 6, 6, 7 and 8 respectively; footnote ‘a’ of Supplemental Table S2 – in Online version only in

Table 1. Sensitivity and specificity assessment (%) of the 19 single nucleotide variant (SNV) markers used to identify *Cryptosporidium* species

SNV name	Allele	Identifies	TP	FN	TN	FP	Sn	Sp
ACOAS_235	C	<i>m</i>	1	0	81	0	100.0	100.0
	T	<i>p, h</i>	78	3	1	0	96.3	100.0
COWP1_111	G	<i>s</i>	0	0	82	0	–	100.0
COWP1_114	C	<i>p, m</i>	39	1	42	0	97.5	100.0
	T	<i>h, c, u, f</i>	40	2	40	0	95.2	100.0
COWP1_142	C	<i>f</i>	0	0	82	0	–	100.0
COWP1_189	G	<i>c</i>	0	0	82	0	–	100.0
COWP1_255	C	<i>u</i>	0	0	82	0	–	100.0
	A	<i>s</i>	0	0	82	0	–	100.0
COWP1_291	G	<i>f</i>	0	0	82	0	–	100.0
COWP1_333	C	<i>m</i>	1	0	81	0	100.0	100.0
	T	<i>p, h, f</i>	79	2	1	0	97.5	100.0
COWP1_336	G	<i>u</i>	0	0	82	0	–	100.0
	A	<i>s</i>	0	0	82	0	–	100.0
COWP1_399	T	<i>m</i>	0	1	81	0	0.0	100.0
	A	<i>p, h</i>	32	49	1	0	39.5	100.0
COWP1_435	C	<i>c</i>	0	0	82	0	–	100.0
GP60_73	G	<i>f</i>	0	0	82	0	–	100.0
	T	<i>p</i>	36	3	6	37	92.3	14.0
GTUB_96	A	<i>mu</i>	0	0	82	0	–	100.0
GTUB_360	G	<i>p</i>	36	3	42	1	92.3	97.7
	A	<i>h</i>	38	4	39	1	90.5	97.5
GTUB_735	T	<i>p</i>	34	5	43	0	87.2	100.0
	C	<i>h</i>	39	3	40	0	92.9	100.0
GTUB_804	G	<i>m</i>	1	0	81	0	100.0	100.0
	A	<i>p, h</i>	79	2	1	0	97.5	100.0
ISWIR_104	T	<i>mu</i>	0	0	82	0	–	100.0
ISWIR_120	C	<i>h</i>	39	3	40	0	92.9	100.0
	T	<i>p, m</i>	38	2	42	0	95.0	100.0
ISWIR_249	G	<i>m</i>	1	0	81	0	100.0	100.0
	A	<i>p, h</i>	72	9	1	0	88.9	100.0
Pooled			683	92	1728	39	88.1	97.8

m: *C. meleagridis*; *p*: *C. parvum*; *h*: *C. hominis*; *s*: *C. suis*; *f*: *C. felis*; *c*: *C. canis*; *u*: *C. ubiquitum*; *mu*: *C. muris*. *Sn*: sensitivity; *Sp*: specificity (both expressed as percentage). The number of true positive (TP), false negatives (FN), true negatives (TN) and false positives (FP) were estimated by comparing the iPLEX typing results with those obtained by Sanger sequencing (considered the gold standard, see Materials and Methods). Additional SNVs selected to reinforce the accuracy of base calling in a reduced number of samples with uncertain genotypes are typed in bold.

Abal-Fabeiro *et al.* 2013). Nineteen SNVs were selected for species identification and 36 for subtype assignment. The subtype of each sample was determined on the basis of the genotypes of various SNVs at *gp60*. Two other loci, *ACOAs* and *gtub*, were also used for verification purposes, taking advantage of the fact that the patterns of genetic variation in *Cryptosporidium* at these loci are structured according to the *gp60* haplotypes (Abal-Fabeiro *et al.* 2013).

Four types of internal quality-controls of the genotyping protocol were included: (i) 91 samples previously sent to the Center for Disease Control and Prevention (CDC, Atlanta, USA) for identification at the species level by Sanger sequencing of both the *COWP1* and *SSU rRNA* (82 of which were successfully characterized). (ii) A subset of 24 of these 82 samples typed by Sanger sequencing of *gp60* (Abal-Fabeiro *et al.* 2013). (iii) Repeated samples collected from the same individual at different stages of the infection, which were analysed blindly (i.e. 38

patients and 7 cows were sampled twice, and 5 other patients were sampled three times). (iv) Human blood DNA samples (*Cryptosporidium* free) and reagent blanks (negative controls).

Results were analysed in a sequential way; in the first round only the SNVs determining the species were evaluated, while in the second round the genotypes of a different group of SNVs were used to assign the subtype of isolates successfully characterized at the species level.

Given the high levels of within-species diversity described in *C. meleagridis* (Abal-Fabeiro *et al.* 2013) all the samples infected with this species were PCR amplified and sequenced at the *gp60* locus to check if the genotypes matched the Sanger sequencing results using primers and protocols previously described (Abal-Fabeiro *et al.* 2013). Other *Cryptosporidium* samples showing iPLEX inconclusive results were also sequenced at the *gp60* locus for species or subtype identification.

Table 2. Sensitivity and specificity assessment (%) of the 36 single nucleotide variant (SNV) markers used to identify *Cryptosporidium gp60* subtypes

SNV name	Allele	Identifies	TP	FN	TN	FP	Sn	Sp
ACOAS_256	C	Ib	8	1	1	0	88.9	100.0
	T	Id	1	0	9	0	100.0	100.0
ACOAS_686	A	IIa	9	1	3	0	90.0	100.0
	G	IIIn	3	0	10	0	100.0	100.0
GP60_33_1	C	Ia	0	0	10	0	–	100.0
GP60_33_2	A	Ig	0	0	10	0	–	100.0
GP60_45-1	G	Ia	0	0	10	0	–	100.0
GP60_45-2	T	Ig	0	0	9	1	–	90.0
GP60_110	G	IIIb	0	0	1	0	–	100.0
	A	IIIb, IIIi	0	1	0	0	0.0	–
GP60_111	T	IIIg	0	0	1	0	–	100.0
	C	IIIb, IIIh, IIIi	1	0	0	0	100.0	–
GP60_131	G	IIIh	0	0	1	0	–	100.0
GP60_144	C	IIb	0	0	13	0	–	100.0
	T	IIa, IIb, IIc, IIg, IIj, IIIn	0	10	3	0	0.0	–
GP60_162	T	If	0	0	10	0	–	100.0
GP60_171_1	T	IIb	0	0	13	0	–	100.0
GP60_171_2	A	IIIb	0	0	1	0	–	100.0
	C	IIIb, IIIg, IIIh, IIIi	1	0	0	0	100.0	–
GP60_181	G	IIj	0	0	13	0	–	100.0
GP60_183	C	IIb	0	0	13	0	–	100.0
GP60_215	C	IIb	0	0	13	0	–	100.0
GP60_219	T	If	0	0	10	0	–	100.0
GP60_228	T	IIb	0	0	13	0	–	100.0
	C	IIb, IIc	0	0	13	0	–	100.0
GP60_241	G	IIIh	0	0	13	0	–	100.0
GP60_243	C	IIc	0	0	13	0	–	100.0
GP60_259	A	IIIb, g	1	0	0	0	100.0	–
	G	IIIb, IIIh, IIIi	0	0	1	0	–	100.0
GP60_260	C	IIj	0	0	13	0	–	100.0
GP60_261	C	Ia, Ig	0	0	10	0	–	100.0
GP60_270	C	IIg	0	0	13	0	–	100.0
GP60_274	A	IIc	0	0	13	0	–	100.0
GP60_276	A	IIb	0	0	13	0	–	100.0
GP60_281	C	IIIh	0	0	13	0	–	100.0
GP60_305	G	IIIb	0	0	0	0	–	–
GP60_318	T	Ie	0	0	10	0	–	100.0
GP60_335	C	IIIb	1	0	0	0	100.0	–
	G	IIIb, IIIg, IIIh, IIIi	0	0	1	0	–	100.0
GP60_349	A	Ie	0	0	10	0	–	100.0
GTUB_60	A	IIIj	0	0	1	0	–	100.0
	G	IIIb, IIIg, IIIh	1	0	0	0	100.0	–
GTUB_85	A	Ib	9	0	1	0	100.0	100.0
	C	Id	1	0	9	0	100.0	100.0
GTUB_87	C	IIIb, IIIh	1	0	0	0	100.0	–
	T	IIIg, IIIj	0	0	1	0	–	100.0
GTUB_417	T	Ib	8	1	1	0	88.9	100.0
	C	Id	1	0	9	0	100.0	100.0
GTUB_705	G	IIIh	0	0	1	0	–	100.0
	A	IIIb, IIIg, IIIj	1	0	0	0	100.0	–
Pooled			47	14	326	1	77.0	99.7

TP, FN, TN and FP were estimated by comparing the iPLEX typing results with those obtained by Sanger sequencing (considered the gold standard) of *gp60* (Abal-Fabeiro *et al.* 2013). Additional SNVs selected to reinforce the accuracy of base calling in a reduced number of samples with uncertain genotypes are typed in bold.

To describe the diagnostic performance of each SNV allele, two widely used parameters were estimated: *sensitivity* (Sn), defined as the proportion of cases with the investigated attribute (i.e. a particular genotype) which are correctly identified and

specificity (Sp), which measures the proportion of correct negative calls of the marker. $Sn = TP / (TP + FN)$, where TP is the number of true positive (TP) calls and FN the number of false negatives (FN). $Sp = TN / (TN + FP)$, where TN and FP stand for the

numbers of true negative and false positive calls, respectively. The number of TP, FN, true negatives (TN) and false positives (FP) were estimated by comparing the iPLEX typing results with those obtained by Sanger sequencing of diagnostic loci in a subset of samples (see above).

RESULTS

Identification of the species

Nineteen SNVs at five different genomic loci were selected to identify *Cryptosporidium* species (Table 1). Seven of the SNVs were monoallelic (i.e. the genotyping reaction is expected to work only if a particular allele is present) and 12 biallelic (two alternative results are expected). The calling performance of each SNV allele was determined by comparing their typing results with those obtained by successful Sanger sequencing of 82 out of 91 samples previously sent to the CDC for species identification. iPLEX genotyping permitted the identification of the *Cryptosporidium* species in 86 out of these 91 control isolates (94.5%), a slightly larger fraction than with the Sanger sequencing method, which was successful for 82 samples (90.1%; the difference is not statistically significant, $P = 0.40$, in a two-tailed Fisher's exact test). The two methods produced coincident species determinations across the 91 control samples. The only discrepancies corresponded to two samples that were not typed by the iPLEX method, six by Sanger sequencing and three samples that were not typed by any of the two approaches.

Redundant SNVs were used to ensure the correct classification of the samples and to prevent against sporadic failures of the genotyping assays. This can be illustrated with the case of the two alleles of COWP1_399: the first one (nucleotide T), failed to detect the single *C. meleagridis* positive control (Table 1), as determined by Sanger sequencing and by other redundant SNVs such as ACOAS_235, COWP1_333, GT_804 or ISWIR_249, that correctly identified this species. The second allele (nucleotide A), only detected 32 out of the 81 *Cryptosporidium parvum* or *Cryptosporidium hominis* samples among the controls, which were also identified by alternative markers.

Despite the failure of some SNV callings, pooled sensitivity (S_n) and specificity (S_p) values across all markers (based on samples where Sanger sequencing produced a result) were high: 88.1 and 97.8%, respectively.

Identification of gp60 subtypes

Once the species was identified for each isolate, a new set of 36 SNVs selected for subtype determination were genotyped (22 monoallelic and 14 biallelic, Table 2). The results of the subtyping matched

Table 3. Subtyping results obtained by SNV-marker high-throughput genotyping in a panel of 671 human and bovine samples

Species	gp60 subtype	Human	Cattle
<i>C. hominis</i>	Ia	3	0
	Ib	336	0
	Id	27	0
	Ie	4	0
	U	0	1
<i>C. parvum</i>	IIa	155	56
	IIId	3	2
	IIIn	16	0
	U	2	1
<i>C. meleagridis</i>	IIIb	1	0
	IIIIf	2	0
<i>C. felis</i>		1	0
Not typed		58	3
Total		608	63

U: undetermined.

precisely those obtained by Sanger sequencing of the *gp60* gene in a subset of 24 human isolates that were used as positive controls for the subtyping process: Ib ($n = 9$), IIa ($n = 10$), IIIn ($n = 3$), Id ($n = 1$) and IIIIf ($n = 1$) (Abal-Fabeiro *et al.* 2013). Again, there was large redundancy between many of the markers and their performance varied significantly. Pooled S_n and S_p parameters values were high: 77.0 and 99.7, respectively. The lower sensitivity can be attributed to the performance of one marker (GP60_144; nucleotide T), which displayed a high proportion of false negative results.

Considering the two-step genotyping process as a whole (including the species and subtype determination), the overall S_n and S_p values for the combined set of markers were 87.3 and 98.0, respectively. These parameters would reach even higher values if only a selection of those SNVs with the best performance in terms of sensitivity and specificity was considered (pooled $S_n = 94.5$ and $S_p = 99.8$; Supplemental Table 2S – in Online version only).

Analysis of a panel of 671 human and bovine samples

The analysis of the full panel of samples allowed species identification in 550 of the 608 human isolates (90.5%), 370 of which corresponded to *C. hominis* (60.8%), 176 to *C. parvum* (28.9%), three to *C. meleagridis* (0.5%) and one to *C. felis* (0.1%) (Table 3). Ambiguous results with genotype calls corresponding to *C. hominis* and *C. parvum* were obtained in two additional samples. Isolate 578 produced, among other base calls common to both species, a *C. parvum* specific G at GTUB_360 and a *C. hominis* specific C at GTUB_735 and ISWIR_120

(Supplemental Table 3S – in Online version only). The other one, sample 744, showed a heterozygous C/T at COWP1_114 compatible with an infection with several species (Supplemental Table 3S – in Online version only), although the remaining SNV calls were specific to *C. hominis*. To further investigate the existence of multiple infections, the *gp60* locus in these samples was sequenced by the Sanger method to determine not only the species but also the subtype/s causing the cryptosporidiosis. We found no evidence for variation in the length of the PCR amplicons and there were no double peaks in the sequencing electropherograms, as would be expected in the case of a mixed infection with two different *gp60* subtypes. The nucleotide sequences revealed that sample 578 contained *C. parvum* IIa and sample 744 *C. hominis* Ib.

Sixty (95.2%) of the 63 bovine isolates were successfully characterized and *C. parvum* was found in 59 cases (Table 3 and Supplemental Table 3S – in Online version only). One of the bovine samples (G27) showed a C call at ISWIR_120 compatible with the presence of *C. hominis*, something rarely reported to date (Smith *et al.* 2005). We failed to PCR amplify the *gp60* product for this allele.

The *gp60* subtype was determined in 605 out of the 671 isolates and the results obtained were consistent across samples collected from the same individual at different stages of the infection, except in three of them where one of the repeats failed to produce signal at any SNV (data not shown).

In humans the most frequent *C. hominis* subtype was Ib (n = 336; Table 3), followed by Id (n = 27), while the presence of Ia and Ie was uncommon (3 and 4 isolates, respectively). The predominant variant in *C. parvum* was IIa (n = 155), while other subtypes (IIc and IId) were found at much lower frequencies (n = 16 and 3, respectively). Two *C. parvum* isolates (10 and 572, Supplemental Table 4S – in Online version only) showed inconclusive results (i.e. lack of genotype call at the relevant SNVs) and only after sequencing their *gp60* gene they could be unambiguously assigned to IIa.

Two *C. meleagridis* isolates (95 and 451, Supplemental Table 4S – in Online version only) were also genotyped by Sanger sequencing of the *gp60* locus and subsequently assigned to subtype IIIIf (Abal-Fabeiro *et al.* 2013) in good agreement with the iPLEX™ method. The third *C. meleagridis* isolate (161), typed as IIIb, could be not verified by Sanger sequencing.

Subtype was identified in 58 (92.1%) of the 63 bovine samples. The most prevalent subtype was IIa (n = 56), followed by IId (n = 2) (Table 3). One additional sample (G39) exhibited inconclusive results (Supplemental Table 4S – in Online version only), being classified as IIa only after its *gp60* nucleotide sequence was determined by Sanger sequencing.

DISCUSSION

The accurate diagnosis of cryptosporidiosis relies on the correct identification of *Cryptosporidium* species and subtypes, and it is essential for the study of the population structure, epidemiology and evolution of the parasite (Gasser *et al.* 2006; Jex *et al.* 2007b). The precise classification of the different genetic variants of this pathogen is central to detect potential sources of contamination and therefore to the prevention and control of these parasites (Jex *et al.* 2007a; Chalmers, 2008). To estimate the disease burden, identify outbreaks or understand population trends in surveillance data (Gasser, 2006; Chalmers, 2008; The ANOFEL *Cryptosporidium* National Network, 2010; Yoder *et al.* 2010) the genetic characterization of hundreds of samples is required, making increasingly necessary the application of efficient high-throughput technologies capable of genotyping large sample panels at low cost. Here we present one such method for the simultaneous genotype determination of a moderate number of SNVs at different loci and apply it to a large sample.

Marker selection

One major difficulty for the use of high-throughput technologies for molecular typing of non-model organisms is the lack of a panel of variants (preferably single nucleotide substitutions) that can be used as a reference. Here, we took advantage of the increasing number of nucleotide sequences of marker loci from different *Cryptosporidium* isolates that are available in public databases (GenBank, CryptoDB) and our own work (Abal-Fabeiro *et al.* 2013) to compile a panel of SNVs for the identification of *Cryptosporidium* genotypes commonly found in humans.

The ability of a technique to classify the different *Cryptosporidium* species depends on the choice of suitable genetic markers, which should combine low levels of polymorphism within species with a considerable degree of variation among them (Gasser, 2006). One of the most widely used genes for the classification of *Cryptosporidium* isolates is the small ribosomal subunit (SSU rRNA), which has been sequenced for all species (Jex *et al.* 2008). However, as found in the *C. parvum* and *C. hominis* genomes, this locus is present in several paralogous copies not necessarily identical (Strong and Nelson, 2000; Abrahamsen *et al.* 2004; Xu *et al.* 2004). In most *Cryptosporidium* species the number and sequences of the SSU rRNA copies are still ignored and the finding of any new genetic variant could be erroneously assigned to a novel species, when it could be a previously unidentified copy from a known species (Navarro-i-Martinez *et al.* 2003). The use of single copy genes as molecular markers is therefore highly recommended, since they facilitate the

sequence analyses and avoid misinterpretations of the data. Consequently, all marker SNVs selected for the multiplex study correspond to single copy nuclear loci (Abal-Fabeiro *et al.* 2013): *COWP1* and *gp60* had been extensively used for typing the main species involved in human and bovine infections (McLauchlin *et al.* 2000; Pedraza-Diaz *et al.* 2001; Kato *et al.* 2003; Leoni *et al.* 2006; Hunter *et al.* 2007; Geurden *et al.* 2009; Widmer and Lee, 2010) and the other three loci, (*ACoAs*, *gtub* and *ISWIr*) also present variants that are fixed between species (Abal-Fabeiro *et al.* 2013).

Furthermore, the use of a single locus to classify the isolates in different groups of alleles (subtyping) can be problematic (Widmer, 2009). The analysis of the microsatellite length polymorphism in *gp60* (Strong *et al.* 2000) as the main tool to identify *Cryptosporidium* variants exhibited inconsistencies in *C. hominis* and *C. parvum*, where sequences with the same microsatellite size carried different serine trinucleotides (Sulaiman *et al.* 2005; Gatei *et al.* 2007). As a consequence, many authors identified subtypes according to length polymorphism and nucleotide variation by counting the number of TCA, TCG or TCT repeats present in the *gp60* microsatellite region (Sulaiman *et al.* 2005; Jex and Gasser, 2010; Xiao, 2010). However, multilocus studies comparing the subtype classification obtained with *gp60* and other loci showed discrepancies; for example, samples sharing a *gp60* allele exhibited differences when other genetic markers, particularly micro and mini-satellites, were included. These observations were made after scoring isolates either according to amplicon lengths (Mallon *et al.* 2003; Tanriverdi and Widmer, 2006) or combining both amplicon lengths and SNVs (Gatei *et al.* 2007, 2008; Widmer and Lee, 2010). Considering that microsatellites are highly unstable and have some of the highest mutation rates observed at molecular loci (Goldstein and Pollock, 1997; Ellegren, 2004), polymorphisms either in length or in nucleotide composition are not excessively surprising. The finding of genetic differences at distinct microsatellite loci, is also predictable. Therefore, and to avoid such conflicts, we selected SNVs in *gp60* (Strong *et al.* 2000), in a region which did not include the microsatellite, and two additional single copy nuclear genes, *gtub* and *ACoAs*, which proved to be useful to classify samples into groups that can be associated with distinct *gp60* subtypes (Abal-Fabeiro *et al.* 2013).

Performance

We designed a two-step genotyping protocol based on the iPLEX methodology, consisting of an initial determination of the species, followed by the identification of the *gp60* subtype. It displayed very

good performance at the two phases of the analysis, particularly at the identification of the species. However, two kinds of problems arose at this stage in some samples: the failure to produce an extension at the targeted SNVs and the presence of ambiguous genotype calls. Indeed, 49 out of the 61 samples that were not assigned to a particular species (Table 3) failed to produce an extension at all targeted SNVs (Supplemental Table 3S – in Online version only). This probably reflects lack of success in the single-base extension step due to below-requirements template DNA quantity or quality. In this respect it must be noted that the iPLEX methodology produced genotype data for six samples where Sanger sequencing failed. This performance is consistent with the observation that the former method has lower DNA quality restrictions and works comparatively better for samples with low DNA concentration or partially degraded DNA (Mendisco *et al.* 2011).

In samples with ambiguous calls corresponding to *C. hominis* and *C. parvum*, the lack of supporting evidence from the Sanger sequencing data does not rule out the possibility of mixed infections. Given the smaller amplicon sizes needed by the mass spectrometry method, the mixed results might reflect the presence of degraded DNA templates of a hypothetical second genotype, which would be missed by Sanger sequencing. A similar pattern would be observed if the parasite load of one of the species was much larger than the second one. To address the issue of mixed infections in which small amounts of DNA from a minor species could be involved, alternative methods like PCR-RFLP, SSCP or the use of species-specific primers have been proposed (Chalmers *et al.* 2005).

Genotyping results

MALDI-TOF genotyping performed as well as Sanger sequencing in our internal controls. In fact, the most informative SNVs (those selected because of their best performance in terms of sensitivity and specificity) showed mean sensitivity and specificity results comparable to those obtained with other molecular techniques such as real-time PCR (Stroup *et al.* 2006; Hadfield *et al.* 2011), RFLP analysis of *COWP1* and *SSU rRNA* (Chalmers *et al.* 2009), Sanger sequencing of *gp60* (Chalmers *et al.* 2008; Hijjawi *et al.* 2010) or fragment-size-analysis of three microsatellite markers (*gp60*, *ML1* and *ML2*) (Hunter *et al.* 2007).

We identified nine different *gp60* subtypes from four *Cryptosporidium* species in our panel of human-derived isolates (Table 3). The most common subtypes were Ib and IIa, which represent 61.3 and 28.3% of the typed human samples, respectively.

Subtypes Id and IIn were also abundant while the others were found in just a few isolates. The performance of probes designed to identify the less frequent *gp60* subtypes, like *C. hominis* If, Ig or *C. parvum* IIb, IIc, IIf, IIg, IIh, IIj could not be determined because none of them were detected in our sample panel despite the use of multiple SNVs.

Most of the markers that failed the primer extension were designed for the identification of *C. meleagridis* subtypes. Given the particularly high levels of within-species *gp60* diversity described in this species (Abal-Fabeiro *et al.* 2013), the possibility that these failures reflect undetected variation in the priming sites cannot be excluded.

The bovine sample group was not so diverse because most animals harboured *C. parvum*. The only exception was one calf putatively infected with *C. hominis*. Nevertheless, this result has to be taken with caution, since this isolate only produced base calls at three SNVs and all attempts to sequence the *gp60* gene in this sample were unsuccessful, probably due to the lack of good-quality DNA.

Although mass spectrometry has been widely used for the genotyping of SNVs for population surveys, often with medical purposes, as far as we know this is the first time this technology was used for the genotyping of *Cryptosporidium* samples. In our view, this method has the following advantages over Sanger sequencing: (i) it allows the simultaneous analysis of a large number of markers. This is particularly interesting because it allows the scrutiny of multiple genomic regions. (ii) The multiplexing design allows an easy update of the SNVs panel to be analysed. Further studies with the same purpose as this one could be limited to the sets of most informative SNVs (Supplemental Table 2S – in Online version only), greatly improving the method's performance indicators (sensitivity and specificity) without a noticeable loss of information. In addition, other SNVs of interest, for example to identify other species or *gp60* subtypes of interest, could also be included in multiplex designs. (iii) The method is particularly suitable for the genotyping of large numbers of samples, with high automatization and limited handling. (iv) It is much faster than other sequencing techniques; the full analysis of our panel of 55 SNVs in the 671 samples can be accomplished in less than 3 weeks, as compared with the several months necessary if other methods are used. (v) We also estimated that this technology is around 40 times cheaper than Sanger sequencing, which is now commonly used in *Cryptosporidium* studies, with 0.1 € per genotype as compared with over 4.0 € per Sanger sequencing reaction. The cost benefit increases with the sample size to be analysed. (vi) The small size of the amplicons means that the method can be used even with degraded template DNA.

Finally (vii), the genotyping results are very robust, as demonstrated by the high repeatability in our replicated samples.

Overall, here we presented a method for the high-throughput genotyping of *Cryptosporidium* isolates in large sample panels. The method is based on the multiplex analysis of a collection of SNVs used as species and *gp60* subtype markers, by means of mass spectrometry. Compared to Sanger sequencing this method is practical, efficient, cheaper and less time consuming and it can be progressively adapted for the diagnosis of new species or subtypes as soon as their sequences are characterized.

SUPPLEMENTARY MATERIAL

To view supplementary material for this article, please visit <http://dx.doi.org/10.1017/S0031182013001807>.

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2.1 Material suplementario





Supplemental Table 1. Sequences retrieved from GenBank and used as a reference for the classification of *Cryptosporidium* samples

Accession No.	Locus	Species	Subtype
AF411632.1	<i>COWP1</i>	<i>C. ubiquitum</i>	
AF266270.1	<i>COWP1</i>	<i>C. suis</i>	
AF266274.1	<i>COWP1</i>	<i>C. canis</i>	
AY700394.1	<i>gp60</i>	<i>C. felis</i>	
EU161648.1	<i>gp60</i>	<i>C. hominis</i>	Ia
EU146131.1	<i>gp60</i>	<i>C. hominis</i>	Ie
EU161655.1	<i>gp60</i>	<i>C. hominis</i>	If
EF208067.1	<i>gp60</i>	<i>C. hominis</i>	Ig
AY166805.1	<i>gp60</i>	<i>C. parvum</i>	IIb
AF440636.1	<i>gp60</i>	<i>C. parvum</i>	IIc
EU140508.1	<i>gp60</i>	<i>C. parvum</i>	IIc
AY738195.1	<i>gp60</i>	<i>C. parvum</i>	IIc
AY166806.1	<i>gp60</i>	<i>C. parvum</i>	IId
AY382675.1	<i>gp60</i>	<i>C. parvum</i>	IIe
AY738188.1	<i>gp60</i>	<i>C. parvum</i>	IIf
AY873780.1	<i>gp60</i>	<i>C. parvum</i>	IIg
AY873781.1	<i>gp60</i>	<i>C. parvum</i>	IIh
AY700385.1	<i>gp60</i>	<i>C. parvum</i>	IIj

Supplemental Table 2. Most informative single nucleotide variants (SNVs) used to identify *Cryptosporidium* species and subtypes represented in our dataset of human and bovine samples.

Species	Subtype	ACOAS_235	COWPI_114	COWPI_333	GTUB_360	GTUB_735	GTUB_804	ISWIR_120	COWPI_142	COWPI_291	ACOAS_256	ACOAS_686	GP60_33_1	GP60_110	GP60_111	GP60_183	GP60_228	GP60_259	GP60_261	GP60_276	GP60_318	GP60_335	GP60_349	GTUB_85	GTUB_87	GTUB_417	GTUB_705
<i>C. parvum</i>	Ila	T	C	T	G	T	A	T																			
	IId										T	A												C		C	
	IIn										T	G			C	T			A				C	C	C	C	
<i>C. hominis</i>	Ia	T	T	T	A	C	A	C																			
	Ib										T	G	C						C					C		C	
	Id										C	G												A		T	
	Ie										T	G												C		C	
	IIIb	C	C	C			G	T																A	A	T	
<i>C. meleagridis</i>	IIIb																										
	IIIc										T	G		G				A					G		C		A
<i>C. felis</i>									C	G					C												

Grey cells indicate iPLEX results that have not yet been confirmed by Sanger sequencing.

Number of occurrences	Sample code name	Diagnostic	ACOAS_235	COWPI_111	COWPI_114	COWPI_147	COWPI_189	COWPI_255	COWPI_291	COWPI_333	COWPI_336	COWPI_399	COWPI_435	GP60_73	GTUB_96	GTUB_360	GTUB_735	GTUB_804	ISWIR_104	ISWIR_120	ISWIR_249
147		h	T	A	T		A	A		T	A	A		T		A	C	A		C	A
88		p	T	A	C		A	A		T	A	A		T		G	T	A		T	A
53		p	T		C		A	A		T				T		G	T	A		T	A
50		h	T		T		A	A		T	A	A		T		A	C	A		C	A
49		x																			
37		h	T		T			A		T				T		A	C	A		C	A
35		h	T		T		A	A		T		A		T		A	C	A		C	A
25		p	T		C		A	A		T	A	A		T		G	T	A		T	A
15		p	T		C		A	A		T		A		T		G	T	A		T	A
13		h	T	A	T		A	A		T	A	A				A	C	A		C	A
8		h	T		T			A		T		A		T		A	C	A		C	A
7		h	T		T		A	A		T	A	A				A	C	A		C	A
7		h	T		T		A	A		T		A				A	C	A		C	A
7		p	T		C			A		T		A		T		G	T	A		T	A
7		x												T							
5		h	T	A	T	T	A	A		T	A	A		T		A	C	A		C	A
5		h	T	A	T		A	A		T	A	A		T		A	C	A		C	A
5		p	T		C		A	A		T				T		G	T	A		T	A
5		p	T		C			A		T								A			
4		h		A			A				A	A		T		A	C			C	A
3		h	T	A	T		A	A		T	A	A		T	C	A	C	A		C	A
3		h	T		T		A	A		T		A				A	C	A		C	A
3		h	T		T			A		T						A	C	A		C	A
3		p	T		C		A	A		T	A			T		G	T	A		T	A
3		p	T		C		A	A		T	A	A		T		G	T	A		T	A
2		h	T	A	T		A	A		T	A	A		T		A		A		C	A
2		h	T	A			A	A		T	A	A		T		A	C	A		C	A
2		h	T		T		A	A		T	A	A		T			C	A		C	A
2		h	T		T		A	A		T	A			T		A	C	A		C	A
2		h	T		T		A	A		T				T		A	C	A		C	A
2		h	T		T			A		T	A	A		T		A	C	A		C	A
2		h	T		T			A		T				T		A	C	A		C	A
2		h	T		T			A		T				T			A				
2		h	T		T			A		T				T			A				
2		h	T		T			A		T				T			A				
2		h	T		T			A		T				T			A				
2		h	T		T			A		T				T			A				
2		h	T		T			A		T				T			A				
2		h	T		T			A		T				T			A				
2		h	T		T			A		T				T			A				
2		h	T		T			A		T				T			A				
2		h	T		T			A		T				T			A				
2		h	T		T			A		T				T			A				
2		h	T		T			A		T				T			A				
2		h	T		T			A		T				T			A				
2		h	T		T			A		T				T			A				
2		h	T		T			A		T				T			A				
2		h	T		T			A		T				T			A				
2		h	T		T			A		T				T			A				
2		h	T		T			A		T				T			A				
2		h	T		T			A		T				T			A				
2		h	T		T			A		T				T			A				
2		h	T		T			A		T				T			A				
2		h	T		T			A		T				T			A				
2		h	T		T			A		T				T			A				
2		h	T		T			A		T				T			A				
2		h	T		T			A		T				T			A				
2		h	T		T			A		T				T			A				
2		h	T		T			A		T				T			A				
2		h	T		T			A		T				T			A				
2		h	T		T			A		T				T			A				
2		h	T		T			A		T				T			A				
2		h	T		T			A		T				T			A				
2		h	T		T			A		T				T			A				
2		h	T		T			A		T				T			A				
2		h	T		T			A		T											

Supplemental Table 3. Continuation.

Number of occurrences	Sample code name	Diagnostic	ACOAS_235	COWP1_111	COWP1_114	COWP1_142	COWP1_189	COWP1_255	COWP1_291	COWP1_333	COWP1_336	COWP1_399	COWP1_435	GP60_73	GTUB_96	GTUB_360	GTUB_735	GTUB_804	ISWIR_104	ISWIR_120	ISWIR_249
1	297	<i>h</i>					A				A		T		A	C			C	A	
1	339	<i>h</i>	T		T		A	A	T	A			T		<u>G</u>	C	A		C	A	
1	423	<i>h</i>	T	A	T		A	A	T	A	A				A	C	A		C		
1	443	<i>p</i>	T	A	C		A		T	A	A				G	T	A		T	A	
1	451	<i>m</i>	C	A	C		A	A	C	A	T		T	C	A	C	G		T	G	
1	476	<i>h</i>	T	A	T		A	A	T	A	A		T		A	C			C	A	
1	494	<i>p</i>	T				A	A	T	A	A		T		G	T	A		T	A	
1	504	<i>h</i>	T				A	A	T	A	A		T		A	C	A		C	A	
1	562	<i>p</i>		A			A			A	A		T		G	T			T	A	
1	578	<i>h + p?</i>					A			A	A				G	<u>C</u>			C	A	
1	579	<i>p</i>	T		C		A		T	A					G	T	A		T	A	
1	612	<i>p</i>	T	A	C		A	A		A	A		T		G	T	A		T	A	
1	615	<i>p</i>	T		C		A	A	T	A	A		T	C	G	T	A		T	A	
1	631	<i>h</i>	T	A	T		A		T	A	A		T		A	C	A		C	A	
1	645	<i>h</i>	T	A	T		A	A	T	A			T		A	C	A		C	A	
1	655	<i>p</i>	T	A	C		A	A	T	A	A				G	T	A		T	A	
1	682	<i>h</i>	T		T		A	A	T	A	A		T		A	C	A		C	A	
1	712	<i>h</i>					A			A	A		T			C			C	A	
1	714	<i>h</i>	T		T		A	A	T		A		T			C	A		C	A	
1	744	<i>h + p?</i>	T		C/T		A		T				T		A	A	A		C	A	
1	777	<i>h</i>	T				A	A	T		A		T		A	C	A		C	A	
1	792	<i>p</i>	T		C		A	A	T	A	A		T		G	C/T	A		T	A	
1	838	<i>h</i>	T		T		A	A	T	A	A		T		A	C	A		C		
1	844	<i>h</i>	T	A	T		A	A	T		A				A	C	A		C		
1	852	<i>h</i>	T		T		A		T	A			T		A	C	A		C	A	
1	855	<i>p</i>	T		C		A	A	T	T	A	A	T		G		A	C/T	A		
1	865	<i>h</i>	T		T		A						T		A	C	A		C	A	
1	968	<i>p</i>	T		C		A	A	T						G	T	A		T	A	
1	980	<i>f</i>				C			G				T			T					
1	A144	<i>h</i>			T		A		T		A		T		A	C	A		C	A	
1	A147	<i>h</i>											T		A	C	A		C		
1	A52	<i>p</i>	T	A	C		A	A	T	A			T		G	T	A		T	A	
1	C2	<i>h</i>	T		T		A	A	T				T			C	A		C	A	
1	C23	<i>h</i>	T		T	T	A	A	T		A		T		A	C	A		C	A	
1	G20	<i>x</i>					A						T								
1	G25	<i>p</i>	T				A		T	A	A		T		G/A	T			T	A	
1	G26	<i>x</i>	T				A											A			
1	G27	<i>h</i>	T										T						C		
1	G30	<i>p</i>	T						T				T				T	A	T	A	
1	G31	<i>p</i>	T		C		A		T				T	C	G	T	A			A	
1	G36	<i>p</i>	T				A						T		G	T	A		T	A	
1	G52	<i>p</i>	T		C		A	A	T	A	A		T		G	T	A			A	
1	G54	<i>p</i>	T										T		G	T			T	A	
1	G56	<i>p</i>	T		C		A		T						G	T	A		T	A	

h = *C. hominis*; *p* = *C. parvum*; *m* = *C. meleagridis*; *f* = *C. felis* and *x* = not typed. Bovine samples are labelled with an alphanumeric code starting with a "G" (G1-G65B). Underlined results represent moderate quality of base calling. Heterozygous calls show both alternative alleles separated by a slash. Additional SNVs selected to reinforce the accuracy of base calling in a subset of 83 samples with uncertain genotypes are typed in bold.

[illegible]

Supplemental Table 4. Continuation.

[illegible]

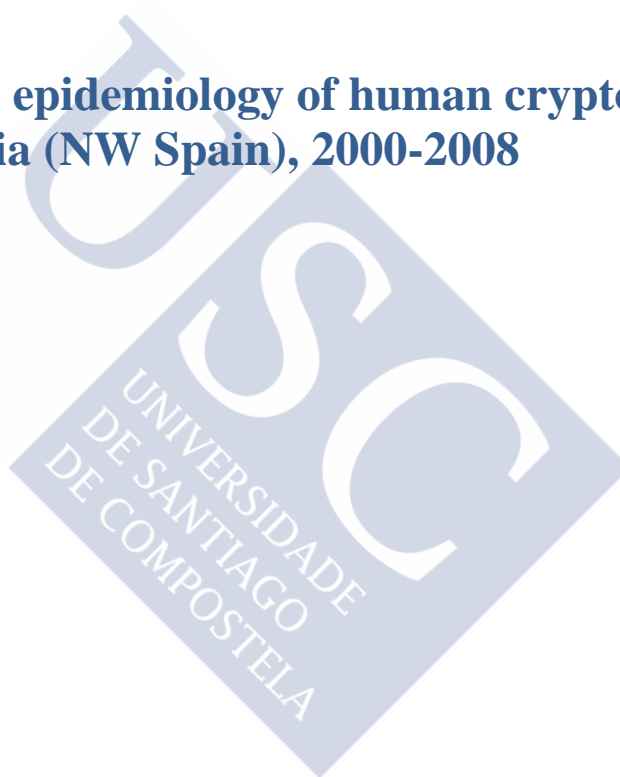
Supplemental Table 4. Continuation.

Number of occurrences	Sample code name	Diagnostic	ACCAS_256	ACCAS_686	3P60_33_1	3P60_33_2	3P60_45_1	3P60_45_2	3P60_110	3P60_111	3P60_131	3P60_144	3P60_162	3P60_171_1	3P60_171_2	3P60_181	3P60_183	3P60_215	3P60_219	3P60_228	3P60_241	3P60_243	3P60_259	3P60_260	3P60_261	3P60_270	3P60_274	3P60_276	3P60_281	3P60_305	3P60_318	3P60_335	3P60_349	3TUB_60	3TUB_85	3TUB_87	3TUB_417	3TUB_705	
1	A111	Ib	C	G	A				A									T	A	C	A	T			A	C	G	G		G			G	A	T	T			
1	A112	Ib	C	G					A									A	A	G	A	T												G	A	T	T		
1	A118	Ib	C	G	A	A			A									A	A	A	A	T				C	C	G	G		G			G	A	T	T		
1	A121	Ib	C	G					A		C/T						T	A	A	C	A	T			A	C	C	G	G		G			G	A	T	T		
1	A124	Ib	C	G					A									A	A	C	A	T												G	A	T	T		
1	A127	Ib	C	G					A									A	A										G						A	T	T		
1	A134	Ib	C	G			T		A							A			A																A	T	T		
1	A142	Ib		G			T	T																											A	T	T		
1	A144	Ib	C	G			T		A																					A	A				A	T	T		
1	A147	Ib				T	T	T																						A	A				A	T	T		
1	A148	Ib	C	G	A		T	T	A	C								T	A	C	A	T			A	C		A	G		G	T		G/C	G	A	T	T	
1	A52	Ila	T	A																															C	T	C		
1	A55	Ib	C	G			T		A									A		G		T								G				A	T	T			
1	A62	Ila	T	A		G			A																					G					C	T	C		
1	A65	Ila	T	A					A																										C	T	C		
1	A67	Id	T	G	A	A	T												A	G	T								G				C	C	G	C	T	C	
1	A79	Id	T	G					A										A										G					C	C	G	C	T	C
1	A82	Ila	T	A	A	A	T		A							G					C	A					C	G	G	G		G		G	C	T	C		
1	A95	Ib	C	G	A				A	T									A					G/A					G	G		G			G	A	T	T	
1	A98	Ib	C	G	A			G	A			T						T	A	C	A	T				A	C	A	G	G		G			T	G	A	T	T
1	C2	Ib	C	G																																A	T	T	
1	C21	Ib	T	G	A		T	T									A		A										G						G	A	T	T	
1	C23	Ib	C	G	A				A		G	T							A	C	A	T													T	G	A	T	T
1	C4	Ib	C	G	A		T	A																		A	C	A	G	G					A	T	T		
1	C47	Ib	C	G	A	A	G	T	A		G	T														A	C	C	A	G					G	A	T	T	
1	C5	Ib	C	G					T	A									A							A	A	C								A	T	T	
1	G20	x					T																														C	T	
1	G25	IId	A	A															A		T	A	T				C		A	G		G			G	C		C/T	
1	G27	h	G	A		T																																	
1	G29	Ila	T	A	A	A			A		G								A		C	A					C	C	G	G	T			G	C	T	C		
1	G30	Ila					T		A																														
1	G34	Ila	T	A			T	T																															
1	G36	Ila	T	A																																			
1	G37	Ila	T	A					A																														
1	G39	p			A	A						T																											
1	G41	Ila	T	A		T			A																			C	G	G		G			G	C	C		
1	G43	Ila	T	A																																			
1	G51	IId			A	A						T						C		T	T						C				G			C	T	G	C		
1	G54	Ila	T	A		T			A																														
1	G56	Ila	A																																				

h = *C. hominis*; p = *C. parvum*; m = *C. meleagridis*; f = *C. felis* and x = not typed. Bovine samples are labelled with an alphanumeric code starting with a 'G' (G1-G65B). Underlined results represent moderate quality of base calling. Heterozygous calls show both alternative alleles separated by a slash. Additional SNVs selected to reinforce the accuracy of base calling in a subset of 83 samples with uncertain genotypes are typed in bold. The number of samples showing identical results is indicated in the last column and the name of the sample is provided when a genotype appears just once.



3. Aetiology and epidemiology of human cryptosporidiosis cases in Galicia (NW Spain), 2000-2008





Aetiology and epidemiology of human cryptosporidiosis cases in Galicia (NW Spain), 2000–2008

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SUMMARY

Cryptosporidium infects millions of people worldwide causing acute gastroenteritis, but despite its remarkable epidemiological and economic impact, information on the epidemiological trends of human cryptosporidiosis is still scarce in most countries. Here we investigate a panel of 486 cases collected in Galicia (NW Iberian Peninsula) between 2000 and 2008, which sheds new light on the epidemiology in this region of the South Atlantic European façade. Incidence rates in Galicia are one order of magnitude higher than those reported in other regions of Spain, suggesting that this parasite remains largely underdiagnosed in this country, and are also larger than those typical of other European countries with available data. Two species dominate our dataset, *Cryptosporidium hominis* (65%) and *C. parvum* (34%). The sex ratio of patients infected by either species was 0.5, but *C. hominis* was significantly more common in younger males. *C. parvum* infections were more acute and required more specialized medical attention, which suggests a differential adaptation of each species to human hosts. The parasites display strong seasonal and geographical variation. *C. parvum* incidence peaked during summer and was mainly detected in rural areas while *C. hominis* infections were more frequent in autumn and exhibited a more even geographical distribution. Such differences probably reflect their distinct sources of infection – *C. parvum* is mainly zoonotic and *C. hominis* anthroponotic – and the effects of climatic variables, like temperature and rainfall.

Key words: *Cryptosporidium*, molecular epidemiology, seasonal variation, virulence.

INTRODUCTION

Cryptosporidium is a genus of protozoan parasites that infect many vertebrate species and cause diarrhoeal diseases [1]. They affect humans worldwide and the

increasing number of cases reported in the last decades has prompted the recognition of cryptosporidiosis as an emerging disease by the WHO [1–4]. This pathogen has a faecal–oral transmission route, and propagates through the ingestion of oocysts present in contaminated water supplies or food [2, 5–7]. Most human infections are caused by *Cryptosporidium hominis* and *C. parvum*, while other species are found only rarely [3, 8]. *C. hominis* is almost

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exclusively anthroponotic, in contrast to *C. parvum*, which is commonly detected in domestic animals such as cows and sheep [3].

Cryptosporidiosis is a matter of public health concern in many countries and has inspired a growing number of epidemiological surveys aimed at understanding its demographic and clinical features [9–14]. However, there is little data on the worldwide incidence of this parasite in humans and only a few South European countries have reported studies on its incidence and prevalence [15, 16]. Spain, in common with most countries, lacks a specific cryptosporidiosis surveillance system (notification of cases to the health authorities was not mandatory until 2009 except for outbreaks), which means that the disease is likely to be underdiagnosed and underreported. Thus, although there are some surveys involving Spanish human samples, most of them include a small number of patients and are devoted to the analysis of particular cases or isolated outbreaks [17–19].

In order to improve our understanding of cryptosporidiosis in Europe, we present the results of a large-scale epidemiological study performed on a panel of samples corresponding to all patients with gastrointestinal illness in the Santiago de Compostela health area (Galicia, NW Iberian Peninsula), over a 9-year period. All faecal samples received at the Servizo de Microbioloxía of the Complejo Hospitalario Universitario de Santiago (CHUS) were routinely checked for cryptosporidiosis and subsequently characterized at the species and subtype levels [20]. In order to ascertain relevant epidemiological patterns of cryptosporidiosis in the study region, incidence rates were combined with genetic (species and subtype), environmental (temperature, rainfall, humidity) geographical (location; rural vs. urban area), demographic (sex and age of patients) and clinical (medical care required, oocyst counts and stool consistency) data, and time-series analyses were performed. Our results can be taken as a proxy for the epidemiology of cryptosporidiosis in the NW Iberian Peninsula, and represent the largest-scale study of the whole South-Western European façade.

MATERIALS AND METHODS

Sample genotyping

Stool samples were collected from all patients suffering from diarrhoeal disease between January 2000 and December 2008 in the health district of

Santiago de Compostela (Galicia, NW Iberian Peninsula), an area with 515 700 inhabitants (2004). Diarrhoea was defined as the passage of three unformed stools in 8 h or of >3 loose stools in 24 h in addition to the presence of one or more symptoms of an enteric infection [21]. Samples were checked for enteric pathogens by routine microbiological methods at the Microbiology and Parasitology Laboratory of CHUS. The presence of viral antigens (adenovirus, astrovirus, rotavirus, norovirus) was assessed by applying a commercial immunochromatography test (CerTest Biotec faecal antigen rapid test; CerTest Biotec, Spain), bacteria (*Campylobacter*, *Escherichia*, *Salmonella*, *Shigella*, *Yersinia*) were identified using conventional coproculture techniques [22] and protozoan parasites were visualized by microscope examination after concentrating the samples with a modification of the Ritchie method [23]. Coccidian oocysts were detected by fluorescence microscopy (100–400x magnification) after staining stool smears with phenol-auramine [22, 24]. The identification was conducted on the basis of size and shape (for details see the microscopic identification module in http://water.epa.gov/lawsregs/rulesregs/sdwa/lt2/lab_home.cfm#training) by two experienced microscopists from the Microbiology and Parasitology Laboratory of CHUS.

A total of 822 cases of cryptosporidiosis were reported during the study period. Those with enough stool to perform DNA extractions (486 cases) were genotyped by means of iPLEX™ technology (Agena Bioscience, USA) to determine the *Cryptosporidium* species and subtype present in each sample [20]. This method is based on the use of single base extension of a panel of selected single nucleotide variants (SNVs) [25], used as species and subtype markers, followed by sequencing by mass spectrometry (MALDI-TOF) [26].

Demographic and clinical data

All relevant demographic (municipality, age and sex) and clinical (observed co-infections, associated symptoms and immunocompromised status) data were collected from each patient's record. Medical records were kept confidential pursuant to current legislation. Other variables, like specimen collection date, reported outbreaks, recent foreign travel and patient's close contacts affected by enteric problems, i.e. relatives and classmates, were also compiled.

The stage of the parasitization process and clinical profile of each case were assessed by means of three

parameters: (i) excretion rate, (ii) sought medical care and (iii) stool consistency. (i) The excretion rate, or parasitic load, was quantified by scoring the number of oocysts observed per microscope field in stained faecal smears using 100x magnification, and used to typify the stage of the illness [27]. Medical treatment is usually sought after the onset of the first symptoms, mainly within the first week of the infection. In this initial, or acute, stage there is an exponential growth of the parasite population, and oocysts are shed in large numbers (>50 oocysts/field). In the second stage of the infection, usually within the second and third weeks, the parasite population usually reaches a plateau and experiences a progressive reduction, along with the oocyst count (20–50 oocysts/field), and symptoms ameliorate. In the third stage, patients may still shed oocysts (5–20 oocysts/field) for a long period of time (up to 2 months after infection), prior to the final non-proliferative stage (<5 oocysts/field) (J. Llovo, unpublished data). These data were available for 482 samples (99% of the genotyped samples), which were divided into four groups (1–4) according to oocyst counts (<5, 5–20, 20–50, >50, respectively) [28]. (ii) Medical care, as defined according to the kind of medical assistance required by patients, was available for 480 samples (98% of the genotyped samples). It was classified as primary health care (PHC), emergency ward (EW) or hospital admission (HA) [29], following a criterion of increasing symptomatic seriousness. Patients treated at PHC are assumed to present less virulent infections than those who use the EW or are admitted into hospital, which obviously are the most severe cases. (iii) Stool consistency, categorized as formed, pasty, and liquid stools, was evaluated in 369 samples (76% of the genotyped samples) [30]. This parameter can be used as a proxy for the stage of the illness, since the production of loose faeces is more frequent in the acute phase of the infection [31], and it is also expected to be associated with the clinical profile, as watery diarrhoea has a stronger impact on patients (dehydration, weight loss, etc.) than the excretion of pasty or formed stools.

Environmental and climatic analyses

The health district of CHUS is distributed in 14 administrative regions called *comarcas* (analogous to shires; Fig. 1, Table 1), which include 58 lesser administrative units or parishes. A rural–urban index (RUI) was devised to represent the global environment of each *comarca* by combining four socioeconomic

factors: (i) human density (population/km²), (ii) livestock abundance (number of cattle), (iii) availability of public water supply and (iv) sewerage services (fraction of the population with access to these services). Data were obtained from the Instituto Galego de Estatística (IGE; <http://www.ige.eu/>). To categorize each *comarca*, average values for each variable were compared with their corresponding average value at Galician level. Variables above the Galician average were counted as 0 (otherwise counted as 1), except for livestock abundance, where values were assigned the other way around. Finally the index for each *comarca* was estimated as the sum of the four variables. Thus, this index ranges from 0, which defines an urban *comarca* (high population density, low presence of cattle and high percentage of population with access to public water services) to 4, a rural *comarca*.

Climatic data (i.e. monthly averages for humidity, rainfall and temperature [32]) were collected from the IGE and the Spanish National Institute of Statistics (INE; <http://www.ine.es/>) at two different weather stations located in Santiago de Compostela (Fig. 1, region 12), which were considered representative of all *comarcas*. The monthly data for each variable was calculated as the mean of the values from the two stations. For each variable, months were sorted according to the value of the variable and grouped into equal-sized bins (36 months in each bin), and the corresponding numbers of parasitized cases was obtained for each bin.

Statistical analyses

Time-series analyses were performed to characterize the seasonal component of the number of patients infected by *C. parvum* or *C. hominis*. The seasonal variation index (SVI), which quantifies the deviation of the number of cryptosporidiosis cases recorded each season relative to the overall mean, was estimated by applying the ratio-to-moving average method under a multiplicative time-series model. These calculations were performed with the aid of IBM SPSS software v. 20-0 (IBM Corp., USA).

Associations between *Cryptosporidium* species – and their corresponding subtypes – and demographical (age, sex, geographical distribution), clinical (excretion rates, medical care, stool consistency or symptoms) and environmental (seasonality, rainfall, humidity, temperature) parameters were evaluated by means of χ^2 tests of homogeneity [33]. These were

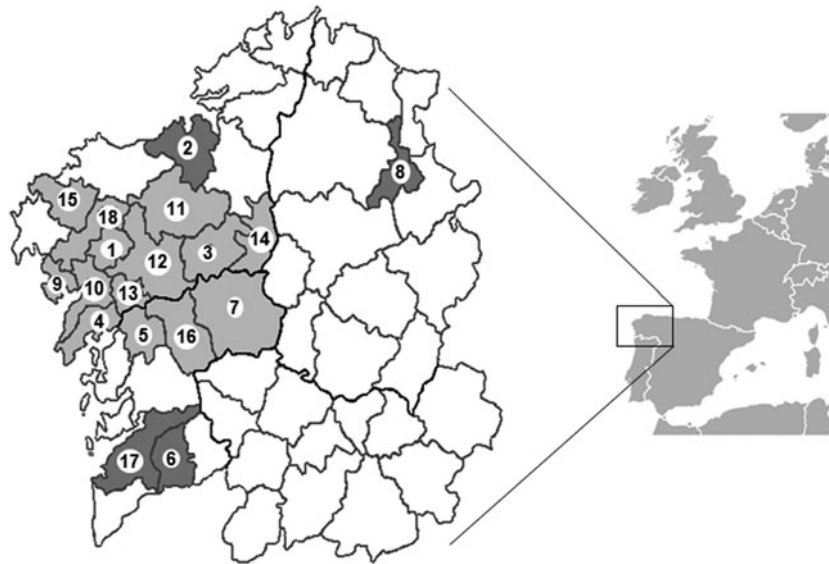


Fig. 1. Comarcas included in Santiago de Compostela health district. Several patients from *comarcas* 2, 6, 8 and 17, which are not part of this health area (depicted in dark grey), received medical assistance at CHUS and were included in the analyses. Comarcas are: 1, A Barcala; 2, A Coruña; 3, Arzúa; 4, Barbanza; 5, Caldas; 6, Condado; 7, Deza; 8, Meira; 9, Muros; 10, Noia; 11, Ordes; 12, Santiago; 13, Sar; 14, T. Melide; 15, T. Soneira; 16, Tabeirós; 17, Vigo; 18, Xallas.

also used to investigate associations between clinical parameters, such as excretion rate, medical care and stool consistency. The distribution of parasites' species in age groups was assessed by means of a Mann–Whitney *U* test [33]. To do this, patients were grouped into 10-year bins. Given the large number of children, these were further divided into two age groups (0–3 and 4–9 years, respectively [10]). Spearman's rho (ρ) correlation coefficient [33] was used to evaluate associations between *Cryptosporidium* species and the regional development index (RUI). All statistical analyses were performed using Microsoft Excel (Microsoft Corp., USA) and IBM SPSS software v. 20.0 (IBM Corp., USA). In all cases, *P* values ≤ 0.05 were considered significant.

RESULTS

The incidence rates of cryptosporidiosis in terms of number of cases per 100 000 population per year in the Santiago de Compostela health area (Galicia, NW Iberian Peninsula) were 9.44 (2000), 9.25 (2001), 17.57 (2002), 29.18 (2003), 13.96 (2004), 20.31 (2005), 27.64 (2006), 5.79 (2007) and 25.77 (2008), with an average (\pm S.E.) rate of 17.65 ± 2.88 , and 91.33 ± 7.44 cryptosporidiosis cases per year.

The epidemiological patterns were studied in a subset of these cases ($N = 486$), which were genotyped at

the species and subtype levels [20]. Of these, 318 (65.43%) samples were confirmed as *C. hominis*, 164 (33.95%) as *C. parvum*, three (0.62%) as *C. meleagridis* and one (0.21%) as *C. felis*. The *C. hominis* samples corresponded to *gp60* subtypes Ib ($n = 289$), Id ($n = 23$), Ia and Ie ($n = 3$, each). *C. parvum* samples were assigned to subtypes IIa ($n = 146$), II_n ($n = 14$), II_d ($n = 3$) and one undetermined subtype. Of the three *C. meleagridis* samples, two were of subtype III_f and one III_b. Only the main species (*C. hominis* and *C. parvum*) and subtypes (IIa, II_n, Ib, Id) were used in the analyses (Table 1).

Demographic data analysis

In our sample, *Cryptosporidium* mainly affects young children. The mean age of *C. hominis* patients (9.34 ± 17.58 years, range 1 month to 91 years, median 2 years, mode 1 year) was slightly higher than that of those infected by *C. parvum* (7.53 ± 15.07 years, range 5 months to 80 years, median 2 years, mode 1 year), although the observed difference was not statistically significant by Mann–Whitney *U* test. No significant variation of the relative frequency of the two parasite species was observed across age bins (Table 1, Fig. 2).

The sex ratio of the patients was nearly 0.5 (239 females and 247 males, including three cases of parasitization with *C. meleagridis* and one with *C. felis*)

Table 1. Demographic data

Variable	Outcome	Cryptosporidium species			C. hominis subtypes			C. parvum subtypes		
		C. hominis	C. parvum	P	Ib	Id	P	IIa	IIb	P
Comarca*	1. A Barcala (3)	2	7	<i><10⁻⁴</i>	2	—	<i>0.83</i>	7	—	<i>0.51</i>
	2. A Coruña (0)	—	1		—	—		1	—	
	3. Arzúa (4)	3	10		1	1		8	2	
	4. Barbanza (1)	22	7		17	3		3	3	
	5. Caldas (0)	6	1		6	—		1	—	
	6. Condado (1)	1	—		1	—		—	—	
	7. Deza (3)	3	10		2	1		8	1	
	8. Meira (3)	—	1		—	—		1	—	
	9. Muros (1)	5	2		4	—		2	—	
	10. Noia (2)	11	5		10	1		5	—	
	11. Ordes (4)	8	24		7	1		20	3	
	12. Santiago (1)	213	62		199	13		57	4	
	13. Sar (2)	8	3		8	—		3	—	
	14. T. Melide (4)	2	7		2	—		7	—	
	15. T. Soneira (3)	1	—		1	—		—	—	
	16. Tabeirós (3)	29	5		26	2		4	1	
	17. Vigo (0)	1	—		1	—		—	—	
	18. Xallas (4)	2	17		2	—		17	—	
Age groups† (years)	1–3	201	107	<i>0.99</i>	184	14	<i>0.98</i>	95	10	<i>0.73</i>
	4–9	54	33		46	6		31	2	
	10–19	18	7		17	1		5	—	
	20–29	13	5		12	1		4	1	
	30–39	8	3		7	—		3	—	
	40–49	5	2		5	—		2	—	
	50–59	3	1		3	—		—	1	
	60–69	5	2		5	—		2	—	
	70–79	8	3		7	1		3	—	
Sex	>80	2	1		2	—		1	—	
	Male	164	82	<i>0.74</i>	149	13	<i>0.65</i>	72	8	<i>0.58</i>
	Female	154	82		140	10		74	6	

All statistical analyses were performed using the χ^2 test of homogeneity.

P values obtained with Yates' correction are in italics.

Values in parentheses are the rural–urban index for each comarca.

* Unknown in three cases.

† Unknown in one case.

and the distribution of parasite species across patients' sex did not depart from random expectations ($P = 0.74$, χ^2 test; Table 1). But, if age groups are also considered, a differential incidence of *C. hominis* in males and females was detected (Fig. 2): there were more *C. hominis* cases in boys aged <3 years (115 cases) than in girls of the same age (84 cases), whereas this ratio was inverted in patients aged ≥ 4 years (49 in males vs. 69 in females, respectively; $P < 0.01$, χ^2 test; Fig. 2). This effect was not detected in *C. parvum* patients, with 54 and 52 cases in boys and girls, and 28 and 30 cases in older males and females, respectively ($P = 0.74$, χ^2 test). No deviation from randomness was observed in the distribution of the major

subtypes (Ib, Id, IIa, IIb) across sexes, considering their relative abundances (Table 1).

Clinical analysis

Seriousness of the infection

To check if the pathological course of the infections was in any way associated with the parasite species, we searched for associations between the abundance of the two *Cryptosporidium* species and three parameters which were used as proxies for the stage of the infection and its symptomatic seriousness: the type of medical attention required, the consistency of the stools

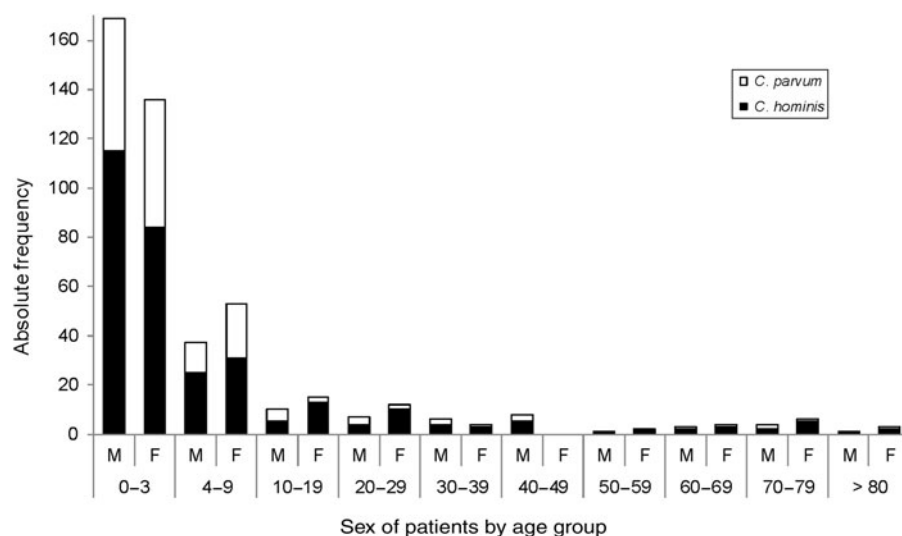


Fig. 2. Absolute frequencies of *Cryptosporidium* cases ranked by the sex and age group of patients.

and the parasitic load. Indeed, (i) *C. parvum* infections required HA in a larger proportion of cases than those caused by *C. hominis* (34.36% vs. 17.46%, respectively; $P < 0.0001$, χ^2 test; Table 2), which were more often dealt with at PHC (67.62% of the *C. hominis* cases). No significant differences in required treatment were observed in within-species subtypes. (ii) Although *Cryptosporidium* infections usually presented pasty faeces (more than 50% of cases; Table 2), *C. parvum* were more often associated with liquid stools than *C. hominis* (41.23% vs. 25.59%, respectively; $P < 0.01$, χ^2 test). This is interesting, because patients with formed or pasty stools were usually assisted at PHC, while those suffering from watery diarrhoea were mainly treated in the EW or were admitted to hospital ($P < 0.0001$, χ^2 test; Fig. 3a, Supplementary Table S1). This was also observed within *Cryptosporidium* species when they were individually assessed (*C. hominis*, $P < 0.01$ and *C. parvum*, $P < 0.05$, χ^2 test; Fig. 3a, Supplementary Table S1), which suggests that the association between stool consistency and medical care is independent of the infecting species. The same pattern was found for *C. hominis* subtype Ib ($P < 0.01$, χ^2 test, data not shown).

Patients loads (measured in terms of oocyst excretion rates) did not vary significantly across parasite species (Table 2). Patients with high loads required HA more frequently than those with lower excretion rates ($P < 0.01$, χ^2 test, Fig. 3b, Supplementary Table S2). Markedly, this effect seems to be restricted to *C. parvum* infections ($P < 0.0001$, χ^2 test), and it was not observed in *C. hominis* patients ($P = 0.23$, χ^2 test; Fig. 3b, Supplementary Table S2). The main *C. parvum* subtype (IIa) displayed a similar pattern ($P < 0.01$, χ^2 test, data not shown).

No significant association was found between oocyst excretion rates and stool consistency, neither for the whole dataset ($P = 0.24$, χ^2 test; Fig. 3c, Supplementary Table S3) nor within either species (*C. hominis*, $P = 0.32$ and *C. parvum*, $P = 0.49$, χ^2 test; Supplementary Table S3).

Associated co-infections

Enteropathogens other than *Cryptosporidium* were detected in 80 out of the 486 samples, including 11 different organisms. Eight samples had more than one concurrent pathogen. There were three cases of co-infection with either *Endolimax nana*, *Cystoisospora belli* or *Pentatrichomonas hominis*, two with noroviruses, two with *Entamoeba histolytica*, seven with rotaviruses, eight with adenoviruses, nine with *Salmonella enterica*, 13 with *Campylobacter jejuni*, 19 with *Blastocystis hominis* and 26 with *Giardia lamblia*. Some of these pathogens had previously been described as co-infective with *Cryptosporidium* [2, 34, 35]. Co-infections were more often associated with *C. hominis* (77.5% of the co-infection cases, $P < 0.05$, χ test; Table 2). No differences in subtypes were found.

Clinical symptoms, outbreaks and other factors

The classical symptoms of cryptosporidiosis are nausea, fever, abdominal pain, vomiting and mucus in the stool [36]. The latter two were more often associated with *C. parvum* infections ($P < 0.05$ and

Table 2. Clinical data

Variable	Outcome	Cryptosporidium species			C. hominis subtypes			C. parvum subtypes		
		C. hominis	C. parvum	P	Ib	Id	P	IIa	IIIn	P
Excretion rate*	1	70	44	0.34	63	5	0.93	40	3	0.58
	2	76	43		69	6		36	6	
	3	70	37		66	4		35	1	
	4	99	39		88	8		34	4	
Stool consistency†	Formed	46	13	<0.01	39	5	0.39	10	3	0.32
	Pasty	143	54		135	6		50	3	
	Liquid	65	47		59	5		41	3	
Medical care‡	PHC	213	62	<10 ⁻⁴	196	12	0.14	52	9	0.10
	EW	47	45		44	3		39	4	
	HA	55	56		46	8		54	1	
Co-infections	Yes	62	18	<0.05	55	6	0.58	16	1	1.00
	No	256	146		234	17		130	13	
Symptoms	Abdominal pain	Yes	11	0.81	11	—	0.71	4	—	0.79
		No	307		278	23		142	14	
	Fever	Yes	14	0.60	11	2	0.56	8	—	0.80
		No	304		278	21		138	14	
	Vomiting	Yes	8	<0.05	8	—	0.90	11	—	0.61
		No	310		281	23		135	14	
	Nausea	Yes	2	0.79	1	1	0.34	—	—	—
		No	316		288	22		146	14	
	Mucoid stools	Yes	5	<0.01	4	2	0.09	12	1	0.71
		No	313		285	21		134	13	
	Other symptoms§	Yes	6	0.76	5	1	0.93	4	—	0.79
		No	312		284	22		142	14	
	Immunocompromised	Yes	6	0.94	6	—	0.93	4	—	0.79
		No	312		283	23		142	14	
	Outbreaks	Yes	16	<0.01	16	—	0.50	—	—	—
		No	302		273	23		146	14	
Travel history	Yes	6	1	0.48	2	4	<10 ⁻⁴	1	—	0.14
	No	312	163		287	19		145	14	
Family and school cases	Yes	22	11	0.93	19	3	0.45	11	—	0.61
	No	296	153		270	20		135	14	

PHC, Primary health care; EW, emergency ward; HA, hospital admission.

All statistical analyses were performed by using the χ^2 test of homogeneity.

P values obtained with Yates' correction are in italics.

* Data available for 482 samples.

† Data available for 480 samples.

‡ Data available for 369 samples.

§ Weight loss, dehydration and food rejection.

$P < 0.01$, respectively, χ^2 test; Table 2). No differences were found in subtypes.

Immunocompromised patients, who required HA in 90% of the cases, did not show significant differences in the predominance of any *Cryptosporidium* species or subtype (Table 2).

Samples from a *Cryptosporidium* outbreak in the comarca of Tabeirós in autumn 2003, were genotyped as part of our survey. The outbreak was caused exclusively by *C. hominis* subtype Ib and affected hundreds of people, although only 16 cases were included in the study (Table 2).

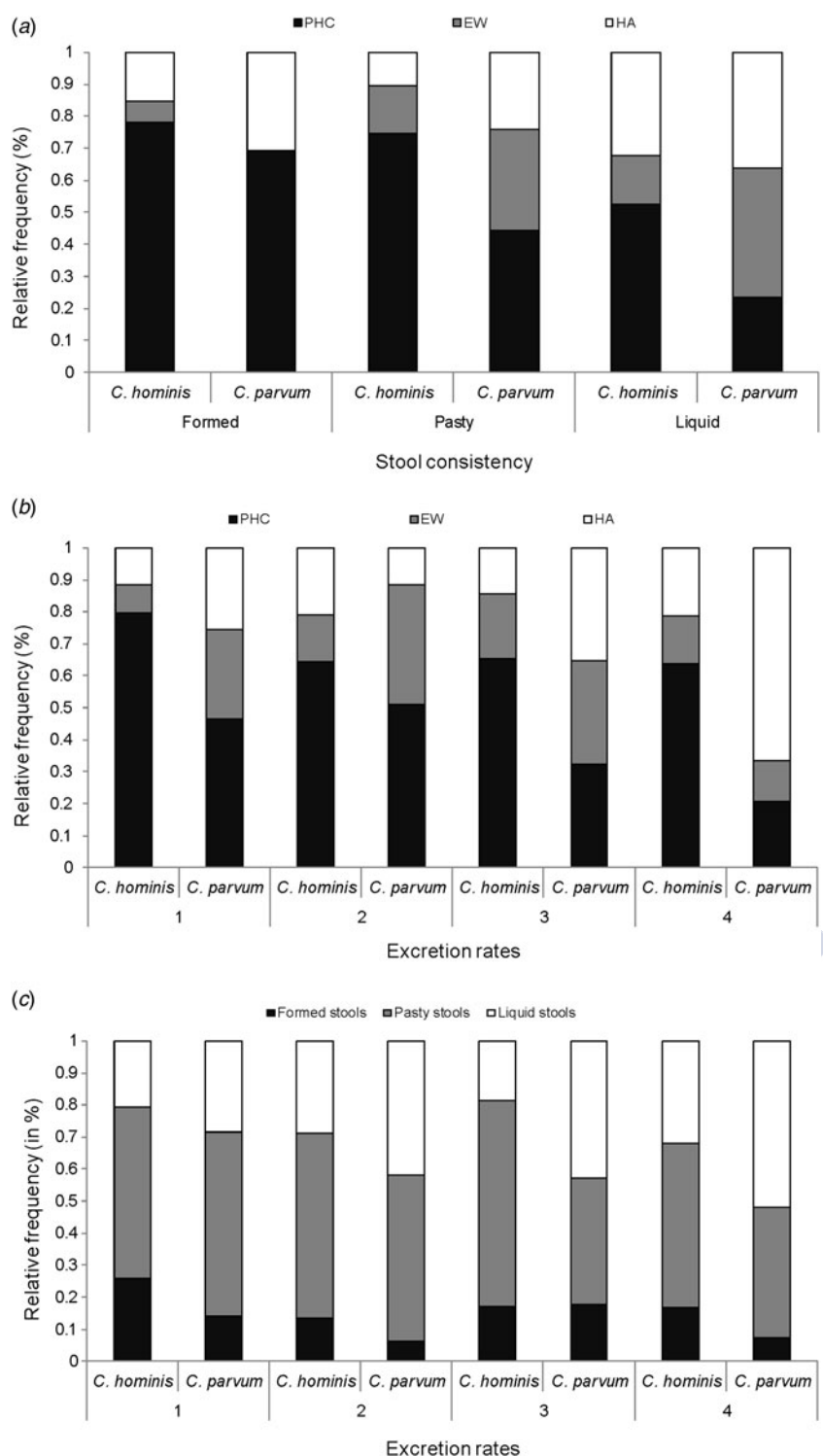


Fig. 3. Relationships in the three variables used to measure the virulence of each infection. (a) Stool consistency and type of medical assistance required by patients infected by *C. hominis* or *C. parvum*. (b) Excretion rates (parasitic load) and type of medical care required by patients infected by *C. hominis* or *C. parvum*. (c) Excretion rates (parasitic load) and stool consistency of patients infected by *C. hominis* or *C. parvum*.

The few cryptosporidiosis patients that reported a recent travel abroad or foreign origin were gathered and studied as a single group. These people came

mainly from Africa (Ethiopia) and South America (Mexico, Bolivia, Ecuador). No significant inter-specific differences were reported, although subtype

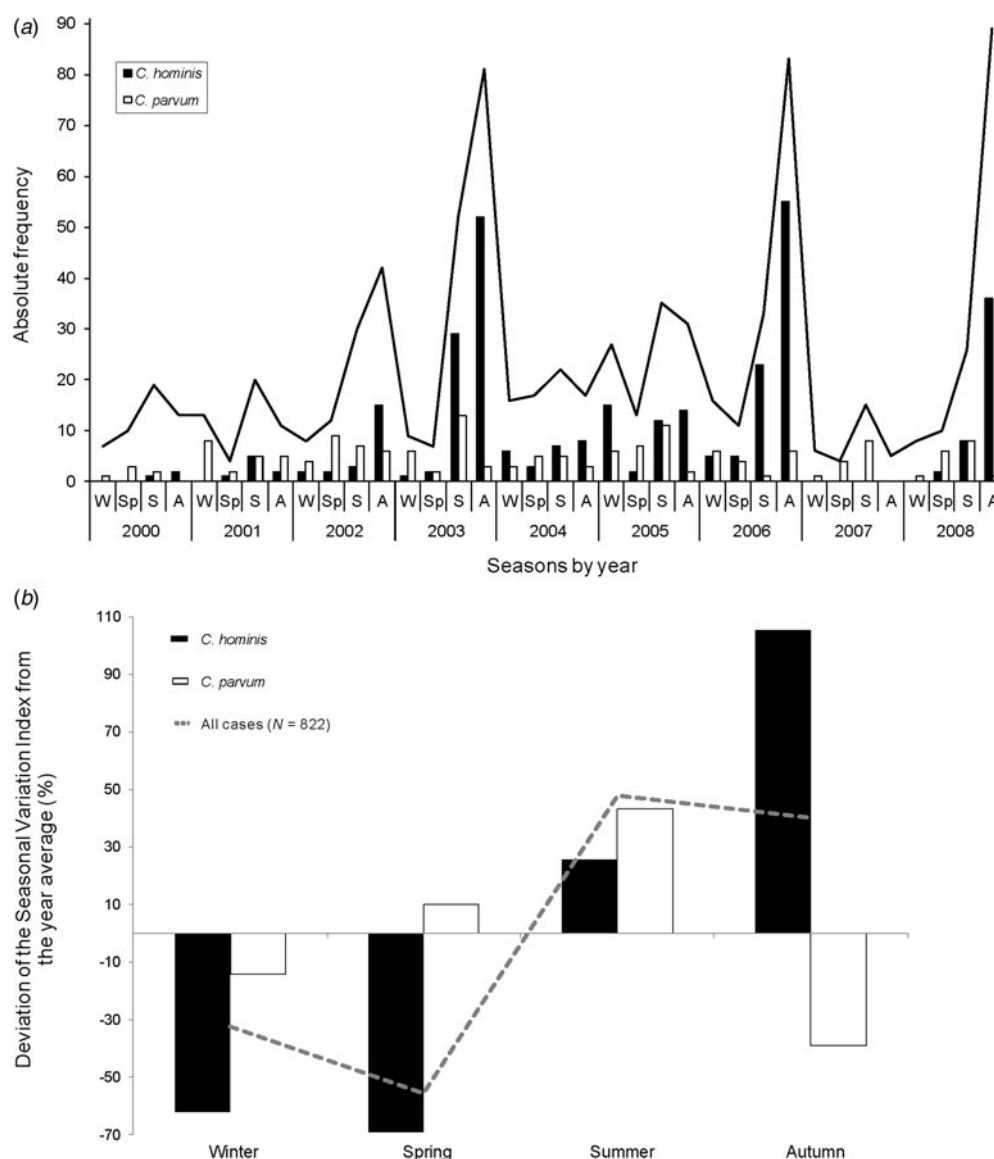


Fig. 4. Seasonality of *Cryptosporidium* incidence. (a) Absolute frequencies of cryptosporidiosis cases across the study period. The continuous line represents the total number of cryptosporidiosis cases. (b) Seasonal patterns of variation of incidence rates (W, winter; Sp, spring; S, summer; A, autumn).

Id was significantly more frequent in this group of patients ($P < 0.0001$, χ^2 test; Table 2).

Finally, we observed that patients with *C. hominis* presented twice as many members of the same household or schoolmates with a gastroenteric problem than those suffering from *C. parvum* infections, although this difference was not statistically significant (Table 2).

Geographical distribution and environmental analysis

Spatial distribution of cases was different between species ($P < 0.0001$, χ^2 test; Table 1). *C. parvum* incidence

was positively associated with the RUI (Spearman's ρ correlation $\rho_{\text{RUI-}C.\text{parvum}} = 0.552$, $P < 0.05$), which indicates a significant association of this species with rural areas, while *C. hominis* infections were not associated with any particular social environment.

Seasonality and climatic analyses

Time-series analyses of the whole dataset revealed a strong seasonal pattern of cryptosporidiosis incidence, with higher numbers in summer and particularly in autumn, as opposed to winter and spring, when incidence rates regularly fell below the yearly average

Table 3. Environmental data

Variable*	Outcome	Cryptosporidium species			C. hominis subtypes			C. parvum subtypes		
		C. hominis	C. parvum	P	Ib	Id	P	IIa	IIc	P
Season	Winter	29	36	$<10^{-4}$	28	—	0.07	29	4	0.87
	Spring	17	42		25	2		37	5	
	Summer	88	60		64	11		55	4	
	Autumn	184	26		172	10		25	1	
Humidity (%) (73.6 ± 12.9)	<74	95	51	$<10^{-4}$	95	—	<0.01	43	8	0.22
	74–79.9	74	67		59	12		61	4	
	>80	149	46		135	11		42	2	
Rainfall (mm ³) (136.5 ± 132.6)	<60	61	71	$<10^{-4}$	47	11	<0.01	61	8	0.57
	60–140	102	49		98	3		45	2	
	>140	155	44		144	9		40	4	
Temperature (°C) (13.2 ± 4.1)	<10.4	60	35	0.39	57	1	0.13	29	3	0.98
	10.4–15.8	125	54		115	9		49	5	
	>15.8	133	75		117	13		68	6	
Max. temperature (°C) (25.6 ± 6.8)	<22	62	38	<0.01	59	1	<0.05	31	4	0.92
	22–29.4	165	57		154	10		52	5	
	>29.5	91	69		76	12		63	5	
Min. temperature (°C) (3.6 ± 4.2)	<1	87	45	0.90	82	3	0.13	40	3	0.70
	1–6	97	47		90	6		40	6	
	>6	134	72		117	14		66	5	

All statistical analyses were performed by using the χ^2 test of homogeneity.

P values obtained with Yates' correction are typed in italics.

* Average monthly values ± standard error for climatic each variable are given in parentheses.

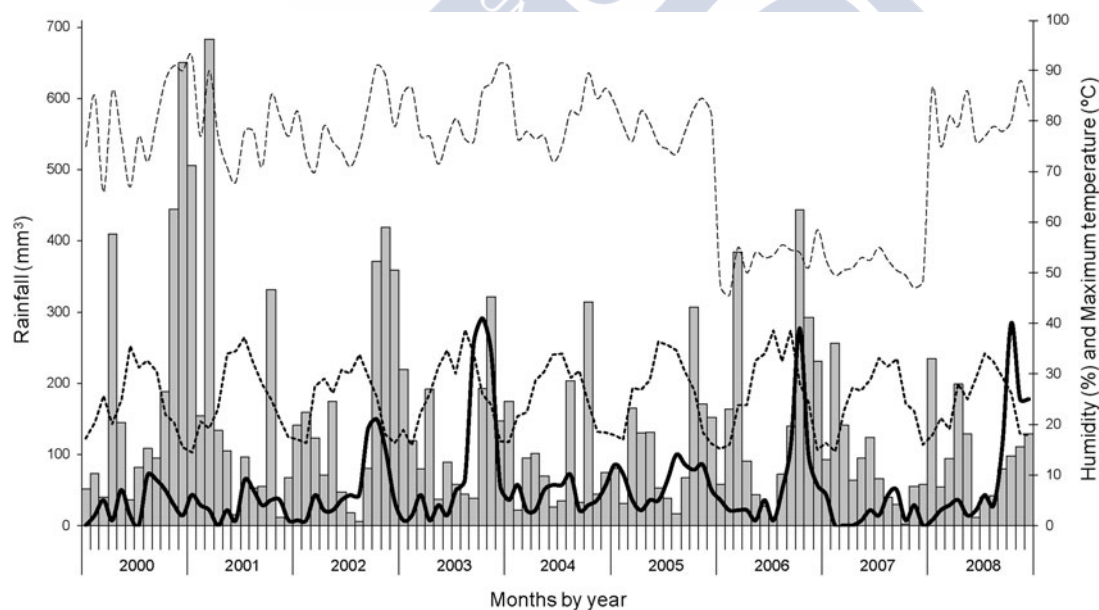


Fig. 5. Cryptosporidiosis cases reported (continuous line, $N=822$) and monthly averages of rainfall (bars), maximum temperature (dotted line) and humidity (segmented line). All lines follow the right axis.

(Fig. 4a). The two species displayed different seasonal structuration: the number of *C. parvum* cases experienced moderate seasonal variation, with a large

increase in summer (SVI = 43%, Fig. 4b) and a sharp reduction in autumn (SVI = -39%). By contrast, seasonal variation of *C. hominis* was much

greater, with a peak of cases in autumn (SVI = 105%), and below average incidences in winter and spring (−62% and −70%, respectively).

Potential associations of temporal patterns with climate parameters such as temperature, rainfall and humidity distributions were also investigated (Table 3). Monthly temperature was found not to be associated with the incidence of cryptosporidiosis at the species and subtype levels. However, since Galicia has a temperate climate, a role for monthly extreme temperatures (maximum and minimum) was also assessed [32]. Indeed, maximum monthly temperatures were associated with a higher incidence of both species ($P < 0.01$, χ^2 test; Table 3). Humidity and rainfall also covaried with the incidence rates of both species, although they showed different patterns. *C. hominis* infections increased with rainfall and/or humidity (Figs 4a, 5), and the opposite pattern was observed for *C. parvum*, which was more common after dry periods ($P < 0.0001$, χ^2 test; Table 3). In good agreement with these observations, only *C. parvum* cases were reported in 2007, which showed particularly low levels of rainfall in summer and autumn (seasons that usually display the highest number of *C. hominis* cases) and was one of the years with the lowest level of humidity reported (Figs 4a, 5). On the other hand, an increase in the number of infections caused by *C. hominis* was observed in 2003 and 2006, the warmest years of the temporal series (especially their summers and autumns) that also showed high rainfall rates (Figs 4a, 5).

DISCUSSION

This is one of the broadest epidemiological studies on cryptosporidiosis conducted in Southern Europe to date. The 822 cases reported in the 9-year period of analysis represent half of the cases reported elsewhere in Spain between 1995 and 2009 [17]. Considering that the population in the Santiago health area represents just about the 1.2% of the Spanish population, it seems clear that cryptosporidiosis cases were severely underdiagnosed in Spain during this period. In fact, the Microbiology and Parasitology Laboratory of CHUS is one of the few centres in Spain where all faecal samples from patients with enteric problems are routinely analysed for the presence of this and other pathogens. This high level of screening is reflected in the high incidence rates observed in our health area (17.65 ± 2.88 cases per 100000 population per year), that are far greater than those previously reported in

most other large-scale surveys conducted in Europe [16, 17, 37, 38] or in the USA [12, 13]. In fact, only 2007 presented a lower incidence rate than those reported in Ireland (14.17), Great Britain (6.05) – countries that share climatic characteristics with Galicia – and some USA states [13, 38].

In the current dataset there was a strong predominance of *C. hominis* and *C. parvum* infections, involving the most common subtypes in humans (Ib and IIa, respectively) [3, 15, 39] and affecting mainly young children, as described worldwide [8, 10, 11]. As previously reported [11, 40], the number of cases decreased with age and no significant differences in the incidence of both species were observed.

In good agreement with data from other European countries, parasite species were equally distributed across sexes [10, 11]. However, in contrast to *C. parvum*, the fraction of *C. hominis* cases was usually larger in adult females than in males, suggesting human-to-human transmission that can probably be attributed to their greater involvement in childcare.

The analyses of various clinical variables showed differences in virulence between species; however, by contrast with previous studies [41–43], our results revealed that infections produced by *C. parvum* were more harmful than those caused by *C. hominis*, as they were significantly associated with more severe symptoms (vomiting or presence of mucous stools) that often required HA. These disparities in virulence suggest that humans could be better adapted to *C. hominis* infections, which seemed to have a milder effect on patient's physiology. Unlike previous studies [41, 42], the subtype families detected in our health area showed no significant differences in virulence.

Cryptosporidiosis caused by *C. hominis* exhibited clinical characteristics somewhat different to those caused by *C. parvum*. For instance, more than 75% of cases with concomitant enteropathogens were *C. hominis* infections. Moreover, the fact that *C. hominis*, and particularly its subtype Ib, is responsible for the majority of the outbreaks reported in Europe [3], as the one detected in Tabeirós in 2003, confirms the high infectivity of this species and its great capability of spreading both through rural or urban regions. On the contrary, subtype Id, which was found in a much smaller proportion in our panel of samples, was significantly more frequent in patients reporting recent foreign travel, reinforcing the assumption that it is not a typical European subtype [3, 15, 41, 42].

The results of the geographical and seasonal distribution of the Galician samples are concordant with

previous studies showing that *C. hominis* displays a widespread distribution with seasonal peaks in autumn [e.g. 6, 10, 11, 40], while *C. parvum* was significantly more prevalent in rural areas [3, 11], with increments in the number of cases that coincided with lambing and calving activity peaks (usually in spring and summer). This could be the reason for the increase in oocyst concentrations observed in drinking water obtained from the river Tambre – the main water source that flows through the study area – in spring and summer of 2007 [44]. In fact, *C. parvum* subtypes identified in human samples were commonly detected in livestock stools, which further supports their zoonotic origin [20, 45].

Finally, it has been proposed that climate variables such as those analysed here modulate the incidence rate of cryptosporidiosis and, thus, are likely to play a role in the seasonal distribution of the species [32, 46, 47]. Regarding temperature, the intervals of highest incidence occurred consistently after the warmest periods [47], as described in other mid-latitude and temperate regions [46]. On the other hand, variations of rainfall and/or humidity might also contribute to the different incidence rates observed between species. A negative association between rainfall and the risk of infection by *Cryptosporidium*, such as the one observed for *C. parvum*, has been attributed to a reduction of oocyst concentration in the soil and surface water after rainy periods [48, 49]. However, this hypothesis does not seem to apply to *C. hominis*. An explanation of this might reside in the mechanisms of transmission of the two species. *C. parvum* is mainly zoonotic and is commonly found in rural areas, thus the spread of its oocysts is probably associated with rain levels in contrast to person-to-person transmission of *C. hominis*, which is expected to be more affected by seasonal changes in human activity, such as use of recreational water and consumption of fresh vegetables in warmer seasons, or initiation of nursery-primary school attendance of children in late summer.

SUPPLEMENTARY MATERIAL

For supplementary material accompanying this paper visit <http://dx.doi.org/10.1017/S0950268815000163>.

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DECLARATION OF INTEREST

None.

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3.1 Material suplementario





Supplementary Table S1. Stool consistency and type of medical assistance required by patients infected by *C. hominis* or *C. parvum* (absolute frequencies).

Species	Stool consistency	Medical Assistance Required		
		Primary Health Care	Emergency Wards	Hospital Admissions
<i>C. hominis</i>	Formed	36	3	7
	Pasty	106	21	15
	Liquid	34	10	21
<i>C. parvum</i>	Formed	9	0	4
	Pasty	24	17	13
	Liquid	11	19	17
All	Formed	45	3	11
	Pasty	130	38	28
	Liquid	45	29	38

Supplementary Table S2. Excretion rates (parasitic load) and type of medical care required by patients infected by *C. hominis* or *C. parvum* (absolute frequencies).

Species	Excretion rate	Stool consistency		
		Formed	Pasty	Liquid
<i>C. hominis</i>	1	15	31	12
	2	8	34	17
	3	10	38	11
	4	13	40	25
<i>C. parvum</i>	1	4	16	8
	2	2	16	13
	3	5	11	12
	4	2	11	14
All	1	19	47	20
	2	10	50	30
	3	15	49	23
	4	15	51	39

Supplementary Table S3. Excretion rates (parasitic load) and stool consistency of patients infected by *C. hominis* or *C. parvum* (absolute frequencies).

Species	Excretion rate	Medical Assistance Required		
		Primary Health Care	Emergency Wards	Hospital Admissions
<i>C. hominis</i>	1	55	6	8
	2	49	11	16
	3	45	14	10
	4	63	15	21
<i>C. parvum</i>	1	20	12	11
	2	22	16	5
	3	12	12	13
	4	8	5	26
All	1	75	18	19
	2	71	27	21
	3	57	26	23
	4	71	20	47





DISCUSIÓN



1. Análise da variabilidade xenética en dez *loci* codificadores de copia única

Neste traballo analizouse a variación xenética das principais especies de *Cryptosporidium* que parasitan a humanos –*C. parvum*, *C. hominis*, *C. meleagridis* e *C. felis*–. Para elo estudouse a diversidade nucleotídica de dous dos xenes máis amplamente empregados na caracterización a nivel de especie (*COWPI*) e de subtipo (*gp60*) dos criptosporidios (AMAR *et al.* 2004; RYAN *et al.* 2014), así como doutros oito *loci* (*ACoAs*, *dyn4*, *eIF4a*, *gtub*, *gtRNAI*, *ISWIr*, *KhRNAb* e *RNaseLi*), escollidos aleatoriamente ó longo do seu xenoma. Desbotouse a idea de empregar-la secuencia da *SSU rRNA* xa que nestes parasitos é codificado por un número variable de copias parálogas espalladas polo seu xenoma (LE BLANCQ *et al.* 1997; SPANO e CRISANTI 2000; ABRAHAMSEN *et al.* 2004; XU *et al.* 2004), circunstancia que podería ocasionar unha sobreestima dos niveis de variación no caso de existiren diferenzas entre elas.

Nove dos dez *loci* analizados amosaron uns niveis de diversidade neutra inferiores ó 1% e unhas estimas de diverxencia próximas ao 6% entre *C. hominis* e *C. parvum*, sendo estas cifras tres veces superiores cando se compararon as citadas especies con *C. meleagridis*. Estas observacións coinciden cos resultados descritos noutros traballos recentes levados a cabo con *loci* diferentes ós aquí estudados (BOUZID *et al.* 2010) e son semellantes ós obtidos na comparación dos xenomas completos de *C. hominis* e *C. parvum*; ditos estudos amosaron que a estrutura e organización dos xenomas destas especies estaban altamente conservadas, que existía unha grande similitude entre as súas secuencias e que presentaban uns niveis de diverxencia media arredor do 5% (XU *et al.* 2004; GE *et al.* 2008; MAZURIE *et al.* 2013).

Sen embargo, o *locus gp60* exhibiu uns padróns de diversidade moi diferentes, caracterizados por (i) elevada diversidade intraespecífica, (ii) exceso de polimorfismos compartidos entre especies e (iii) niveis moi superiores de diverxencia interespecífica (*e.g.* $K_S = 31\%$ entre *C. hominis* e *C. parvum*). En contra da interpretación comunmente aceptada de que *gp60* evoluciona baixo o efecto da selección positiva (WIDMER 2009; WIDMER e SULLIVAN 2012), nós reunimos evidencias suficientes que indican que a evolución de dito *locus* se axusta de forma máis precisa a un modelo de selección balanceadora, e máis concretamente a un réxime de selección dependente das frecuencias. Este modelo selectivo favorece o mantemento de múltiples alelos na poboación a frecuencias maiores ás esperadas por deriva ou mutación e durante longos períodos de tempo (CHARLESWORTH 2006; FIJARCZYK e BABIK 2015). Partindo da base de que *gp60* codifica dúas glicoproteínas (GP40

e GP15) e asumindo que xogan un papel importante nos procesos de recoñecemento e invasión dos enterocitos (CEVALLOS *et al.* 2000; STRONG *et al.* 2000; LENDNER e DAUGSCHIES 2014), resulta sinxelo postular un escenario de interacción hópede-parásito no que a aparición de variantes de maior virulencia no segundo son sucesivamente contrarrestadas por alelos de resistencia no primeiro. Deste xeito, unha variante nova de *gp60* que confire maior virulencia ó parasito terá unha vantaxe selectiva e aumentará a súa frecuencia na poboación, e ó cabo do tempo será a forma predominante. Neste punto, as formas do hópede resistentes ás outras formas do parasito carecerán de valor adaptativo e a súa frecuencia diminuírá de xeito progresivo na poboación nas sucesivas xeracións. Mais, no momento en que xurda unha nova forma de resistencia no hópede eficaz contra a nova variante virulenta do parasito, esta terá un grande valor adaptativo e será seleccionada de forma positiva ata que a maior parte dos hóspedes sexan resistentes contra o alelo agora maioritario de *gp60*. Entón as formas máis comúns do parasito perderán eficacia na infección dos hóspedes e calquera variante pouco frecuente de *gp60* que persista na poboación de parasitos, para a cal o hópede perdeu a capacidade de resistencia, resultará exitosa, iniciando un novo o ciclo selectivo (BROWN 2003; AGUILETA *et al.* 2009; BROWN e TELLIER 2011) (**Figura 1**). Escenarios semellantes a este foron propostos con anterioridade para explicar niveis elevados de variación en *loci* de resistencia noutros sistemas parasito-hópede, como os detectados en *Neisseria*, *Campylobacter* ou *Plasmodium* (URWIN *et al.* 2004; DINGLE *et al.* 2005; AGUILETA *et al.* 2009; AMAMBUA-NGWA *et al.* 2012).

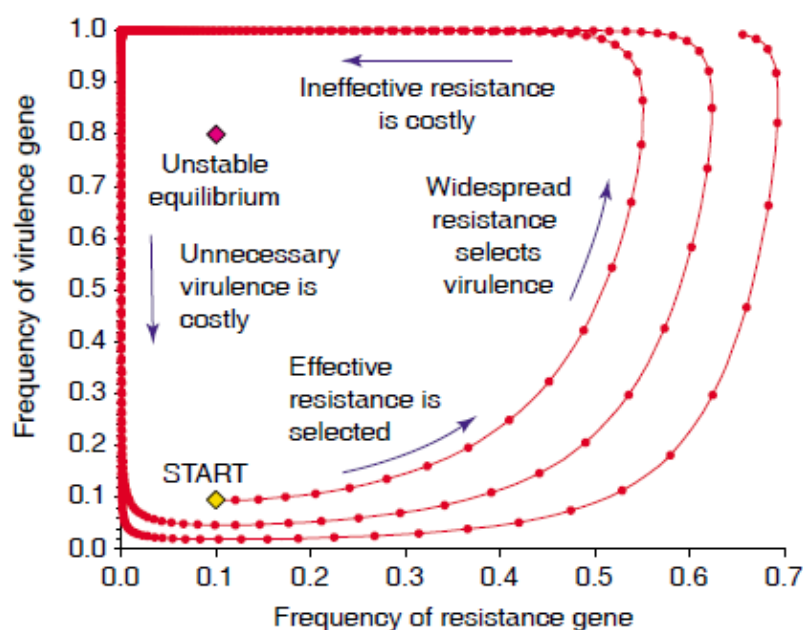


Figura 1. Dinámicas das frecuencias alélicas nun modelo simple de interacción xene-xene entre un parasito e o seu hópede (BROWN 2003).

A busca de xenes potencialmente sometidos a selección balanceadora, como podería se-lo caso dos diversos receptores de membrana que participan no proceso de introdución do parasito na célula hóspede (LENDNER e DAUGSCHIES 2014), é extremadamente interesante dende o punto de vista terapéutico (MU *et al.* 2007) xa que pode axudar a identificar dianas para desenrolar medicamentos ou sustancias específicas que impidan o inicio do proceso patolóxico.

O estudo das secuencias dos dez *loci* analizados neste traballo permitiu tamén constatar que os eventos de recombinación nestes organismos son pouco frecuentes –ata o presente hai poucos traballos onde se describan procesos naturais ou experimentais de recombinación en criptosporidios (FENG *et al.* 2002; TANRIVERDI *et al.* 2007; TANRIVERDI *et al.* 2008) –. Do mesmo xeito, e en relación con esta baixa taxa de recombinación, observamos que os padróns de variación xenética noutros *loci* estaban estruturados de acordo ós distintos haplotipos (ou familias de subtipos) de *gp60*. Ese é o caso da variante fixada dentro do *locus* ACoA na súa posición 686 (Táboa 2 do artigo 2) a cal, xunto cun cambio dunha Guanina por unha Adenina na posición 278 da secuencia do xene de *gp60* serve para identifica-lo subtipo IIn de *C. parvum*; ou para os diversos haplotipos descritos para o *locus* *gtub*, os cales aparecen intimamente asociados a unha única variante alélica do xene *gp60* e non se comparten entre haplotipos distintos deste último *locus* (Táboa 4 do artigo 1). Este descubrimento suxire a posibilidade de diferenciación xenética a escala xenómica entre subtipos, o que xustificaría afondar na investigación da diversidade funcional e patoxénica que puidera existir entre eles e tería grande relevancia no eido terapéutico e da saúde pública.

En calquera caso é importante sinalar que aínda que *gp60* e *COWPI* están no mesmo grupo de ligamento (cdg6, Táboa S1 do artigo número 1), os seus padróns de diversidade son moi distintos: *gp60* amosa evidencia de selección balanceadora e *COWPI* non. Isto é síntoma inequívoco de que no longo prazo os dous *loci* evolucionaron de forma independente o que implica que a recombinación meiótica pode ter xogado un papel importante na distribución da variación xenética ó longo dese e posiblemente doutros cromosomas nalgún momento do pasado. Neste contexto, a ausencia de evidencias de recombinación nos datos de polimorfismo existentes suxire que esta debe ter lugar a taxas demasiado baixas para ser detectables, e que a diversidade observada na actualidade nas especies de *Cryptosporidium* ten unha orixe moi recente. Esta hipótese reconciliase cos baixos niveis de diversidade neutra media nas especies estudadas e suxire que a relación entre a especie humana e as variedades de *Cryptosporidium* que a parasitan na actualidade pode non ser moi antiga. Isto debuxa un

escenario dinámico entre os xenotipos de *Cryptosporidium* e as especies ás cales parasitan, o que coincide co seu probado carácter zoonótico.

Análises xenético-poboacionais como a aquí descrita permiten tamén a identificación de variantes moleculares (ou SNVs) específicos de especie e/ou subtipo de *Cryptosporidium* (BOUZID *et al.* 2010), as cales poden ser potencialmente empregadas como ferramentas diagnósticas para o xenotipado e identificación molecular dos mesmos, poñendo en valor as achegas que a ciencia básica pode ofrecer ó desenvolvemento da ciencia aplicada.

2. Desenvolvemento dun novo método diagnóstico da criptosporidiose de alto rendemento

A criptosporidiose é unha enfermidade emerxente e de declaración obrigatoria nos países occidentais (SEMENZA e NICHOLS 2007; YODER *et al.* 2012). Para realizar traballos epidemiolóxicos e filoxeográficos rigorosos sobre dita enfermidade faise necesario describi-la diversidade xenética das poboacións naturais destes parasitos, sendo os criterios moleculares os máis precisos para levar a cabo unha clasificación precisa da variación dos criptosporidios. Sen embargo, a pesares da importancia sanitaria global desta enfermidade e dos requirimentos moleculares marcados polo ICZN para a correcta asignación das especies de *Cryptosporidium*, a maioría dos traballos poboacionais e epidemiolóxicos realizados para aborda-lo estudo destes parasitos céntranse na análise dun número moi limitado de marcadores, xa sexan estas secuencias que permitan a identificación da especie presente nas mostras analizadas –como é o caso da SSU rRNA, *COWPI* ou a actina (SULAIMAN *et al.* 2002; TROTZ-WILLIAMS *et al.* 2006)– ou ben secuencias que permitan facer subclasificacións dentro das especies –como determinados microsátélites, minisátélites ou a secuencia do *locus gp60* (SPANO *et al.* 1998; STRONG *et al.* 2000; MALLON *et al.* 2003). Ademais destas limitacións, as achegas destes traballos vense tamén comprometidas polo escaso volume de mostras que son capaces de amplificar e procesar tanto por cuestións económicas como por dificultades técnicas dado que, ademais de ser un proceso laborioso, é complexo deseñar cebadores xenéricos que permitan amplifica-lo mesmo *locus* en especies diferentes (FAYER e XIAO 2008).

Ante estas limitacións, nos últimos anos na comunidade científica véñase propoñendo a necesidade de seleccionar un conxunto estruturado de marcadores suficientemente informativos que permitan a identificación de tódalas especies, xenotipos e subtipos de *Cryptosporidium* (FAYER e XIAO 2008). A finalidade última desta selección debería se-lo

desenrolo dun método de diagnóstico multilocus de uso universal que, empregando un número óptimo de marcadores moleculares (WIDMER e SULLIVAN 2012), fíxese posible a análise rigorosa das poboacións naturais deste parasito empregando un método de tipado de alto rendemento preciso, rápido e económico. En concordancia con estes requirimentos, e utilizando información sobre os padróns de diversidade molecular descritos no apartado anterior, desenvolvemos un protocolo de xenotipado baseado na tecnoloxía MassARRAY (Agena Bioscience, antes Sequenom) que permite identifica-la especie e o subtipo presente en grandes coleccións de mostras.

Esta metodoloxía presenta multitude de vantaxes fronte ás técnicas empregadas tradicionalmente para o estudo da criptosporidiose. Entre elas destacan: (i) a posibilidade de empregar múltiples marcadores situados en distintos *loci*, en comparación coa análise dun ou dous xenes que se utiliza na maioría dos traballos (DÍAZ *et al.* 2010; WALDRON *et al.* 2011a; WALDRON *et al.* 2011b; RAMO *et al.* 2014); (ii) a capacidade de analizar un grande número de mostras de xeito simultáneo e automatizado, o que garante a súa rapidez con respecto a outros métodos; (iii) ser moito máis barata que a secuenciación Sanger (0,1 € por reacción de xenotipado fronte os 4 € que custa a reacción de secuenciación dun amplicón); (iv) o seu mellor rendemento con respecto á secuenciación Sanger no caso de mostras con baixas concentracións de ADN ou nas que este se atopa parcialmente degradado (MENDISCO *et al.* 2011); e (v) a plasticidade para adapta-la análise ás necesidades de cada experimento, xa que o panel de SNVs pode ser modificado e optimizado segundo as especies ou subtipos que se queiran identificar nas mostras. Ademais, métodos semellantes a este foron empregados recentemente para o xenotipado doutros organismos patóxenos como *Mycobacterium tuberculosis* (BOUAKAZE *et al.* 2011) e *Neisseria gonorrhoeae* (TREMBIZKI *et al.* 2014), o cal avala a posibilidade de implementa-lo mesmo procedemento con éxito noutro grupo de organismos con características semellantes.

No caso que nos ocupa, a utilización como control de 82 mostras previamente tipadas por secuenciación Sanger no Center for Disease Control and Prevention (CDC, Atlanta, USA) posibilitou a avaliación da *sensibilidade* (definida como a capacidade de identificar verdadeiros positivos) e da *especificidade* (definida como a capacidade de identificar verdadeiros negativos) do método desenvolvido. Ambos parámetros viñeron a certifica-la robustez da técnica ó acadar uns valores do 87,3 e do 98%, respectivamente, que poderían ser incluso maiores se unicamente se tiveran en conta os SNVs que amosaron un mellor rendemento (94,5 e 99,8%, respectivamente).

3. Epidemioloxía da criptosporidiose na área sanitaria de Santiago de Compostela

Procedeuse a analizar un panel de 671 mostras previamente identificadas como positivas para *Cryptosporidium* spp. mediante técnicas clásicas –tintura con auramina-fenol e inmunocromatografía–, das cales 608 procedían de feces de doentes da área sanitaria de Santiago de Compostela e as 63 restantes de gando vacún. A precisión e o bo rendemento amosados pola ferramenta diagnóstica anteriormente descrita fixo posible determina-la especie infectante de *Cryptosporidium* nun total de 610 destas mostras, e a familia de subtipos de *gp60* en 605, tendo así unha porcentaxe de éxito de máis do 90% en ambos casos. Nelas púidose identifica-la presenza de *C. hominis* (e da súa familia de subtipos Ia, Ib, Id e Ie) en 370 mostras, a de *C. parvum* (subtipos IIa, IId e IIIn) en 176, a de *C. meleagridis* (subtipos IIIb e IIIf) en tres, e a de *C. felis* nunha única mostra.

A partires destes datos, e unha vez descartadas aquelas mostras que estaban duplicadas, foi posible levar a cabo a primeira análise epidemiolóxica a grande escala sobre a criptosporidiose nunha área sanitaria galega. O presente traballo é especialmente novidoso, xa que se analizaron unha ampla gama de variables demográficas, clínicas e medioambientais sobre un total de 486 mostras recollidas en doentes humanos durante case unha década. Isto constitúe o maior estudo realizado ata o presente sobre distintos aspectos epidemiolóxicos deste grupo de parasitos en doentes humanos en España (NAVARRO-I-MARTÍNEZ *et al.* 2011; ARTIEDA *et al.* 2012; DE LUCIO *et al.* 2015; SEGURA *et al.* 2015). As análises epidemiolóxicas efectuáronse considerando tanto a especie como o subtipo infectante, en contraste coa maioría dos estudos epidemiolóxicos publicados que se limitan a avaliar a presenza de ooquistes de *Cryptosporidium* nas feces dos doentes ou en mostras medioambientais (CASTRO-HERMIDA *et al.* 2006; GALLAS-LINDEMANN *et al.* 2013; KOTLOFF *et al.* 2013; GHOLAMI *et al.* 2014) ou, como moito, caracterizan os illados a nivel de especie (CHALMERS *et al.* 2009; THE ANOFEL *CRYPTOSPORIDIUM* NATIONAL NETWORK 2010; ELWIN *et al.* 2012), sendo excepcionais aqueles onde se analiza a clínica que amosan os distintos subtipos (CAMA *et al.* 2007; CAMA *et al.* 2008).

A nivel demográfico, observouse que os casos de criptosporidiose detectados na área sanitaria de Santiago de Compostela teñen unha maior taxa de incidencia nas etapas infantís que na idade adulta, afectando de maneira semellante a ambos sexos en consonancia cos padróns descritos noutros traballos en Estados Unidos e Europa (SEMENZA e NICHOLS 2007; CHALMERS *et al.* 2009; CHALMERS *et al.* 2011; YODER *et al.* 2012). O resultado máis

salientable desta análise demográfica foi observa-la distinta distribución de *C. hominis* nos doentes cando se tiñan en conta de xeito simultáneo a idade e o sexo dos doentes, xa que se ben dita especie parasita de maneira significativa a máis nenos que nenas durante a infancia, esta relación invertese conforme aumentan as franxas de idade. Dita observación xa fora descrita con anterioridade (CHALMERS *et al.* 2009; CHALMERS *et al.* 2011) e suxire que as mulleres poderían presentar unha maior susceptibilidade a padecer criptosporidiose antroponótica debido á súa maior implicación no coidado dos cativos.

No eido clínico, o estudo epidemiolóxico revelou grandes diferenzas na sintomatoloxía dos doentes segundo a especie infectante. Deste xeito, os infectados por *C. parvum* sufriron de síntomas máis severos e presentaban maiores taxas de ingreso hospitalario que os afectados por *C. hominis*. Sen embargo, cabe destacar que a sintomatoloxía diferencial observada para ambas especies no noso estudo contrasta co descrito na escasa literatura dispoñible sobre o tema e na que son os doentes infectados por *C. hominis* os que tenden a amosar unha clínica máis grave (CAMA *et al.* 2008; XIAO 2010). Nestes traballos a infección de *C. hominis* se atopa significativamente vinculada con procesos diarreicos que levan aparellados episodios de náusea, vómito e dor abdominal na poboación xeral. Nembargante, noutros estudos observouse que as infeccións causadas por *C. parvum* parecen cursar dun xeito máis virulento nos doentes inmunocomprometidos, podendo causarlles diarreas crónicas potencialmente letais (CAMA *et al.* 2007). Esta falla de concordancia na bibliografía sobre a patoxenicidade das dúas principais especies de criptosporidios pon de manifesto a necesidade de realizar análises epidemiolóxicas máis profundas nas que non se analicen e avalíen unicamente a gravidade daqueles síntomas clásicos que mostran os doentes afectados pola criptosporidiose, senón que semella recomendable incluír ademais outras variables clínicas que permitan unha avaliación integral do cadro sintomático de dita doenza, como serían o tipo de atención médica que necesitaron os doentes, a consistencia das súas feces ou as súas taxas de excreción de ooquistes.

No referente á súa distribución, *C. hominis* amosou ser unha especie máis ubicua – apareceu tanto en doentes que vivían en ambientes rurais como urbanos aínda que, como se ven de constatar noutras zonas de España, parece claro que dita especie se atopa con maior frecuencia en ambientes máis urbanizados (DE LUCIO *et al.* 2015; SEGURA *et al.* 2015)– con picos de incidencia nos outonos, mentres que as infeccións por *C. parvum* foron máis frecuentes nos ambientes rurais e acadaron maior incidencia durante as primaveras e os veráns. Ditos padróns estacionais e de distribución xeográfica están en sintonía cos descritos anteriormente noutras rexións de Europa (SEMENZA e NICHOLS 2007; CHALMERS *et al.* 2009).

A análise medioambiental permitiu describi-lo papel que xogan algunhas variables climáticas na incidencia de *Cryptosporidium*. Así, por exemplo, o aumento da temperatura está asociado positivamente ás taxas de infección, independentemente da especie – característica xa descrita con anterioridade noutros traballos (NAUMOVA *et al.* 2007)–, mentres que os niveis de humidade e de precipitación influíron de xeito diferente segundo se tratase de *C. hominis* ou *C. parvum*: a primeira especie foi máis común durante os períodos húmidos mentres que a segunda o foi durante os períodos máis secos. A menor abundancia de casos debidos a *C. parvum* durante os períodos con maiores taxas de precipitacións pode deberse a que un grande número de ooquistes viables no medio ambiente son arrastrados coas chuvias e as augas de escorrentía, producíndose un lavado das terras que contiñan ditas formas de resistencia do parasito (TATE *et al.* 2004; SCHIJVEN *et al.* 2013).

Outras achegas salientables deste traballo foron constata-la irrelevancia clínica dos subtipos e descubri-las altas taxas de incidencia da criptosporidiose na área sanitaria estudada. No primeiro caso, aínda que hai evidencias previas da especial virulencia que amosa a familia de subtipos Ib de *C. hominis* –e particularmente o seu sub-subtipo IbA10G2 (XIAO 2010; LI *et al.* 2013)–, no presente estudo non se detectaron variacións significativas de severidade en ningún dos catro subtipos analizados. Isto pódese deber a que a virulencia dos distintos subtipos de *Cryptosporidium* varíe segundo a rexión do planeta onde nos atopemos –debido a unha maior patoxenicidade do parasito ou a unha maior susceptibilidade do hóspede humano, ligada polo xeral ó seu nivel socioeconómico (XIAO e RYAN 2004; CAMA *et al.* 2007)– ou a que no noso estudo contabamos con poucos representantes das familias de subtipos non maioritarios (23 mostras da familia de subtipos Id e 14 da familia IIn, fronte ás 289 mostras da familia de subtipos Ib e ás 146 da familia IIA), o que podería ter nesgado os resultados. Deste xeito, e ó igual que o indicado anteriormente para o caso das especies, faise preciso elaborar novos estudos epidemiolóxicos que permitan determinar con maior precisión se existen diferenzas na virulencia das distintas familias de subtipos de *Cryptosporidium*.

No segundo caso, constatouse que a incidencia media da criptosporidiose na área sanitaria de Santiago de Compostela para o período 2000-2008 era de 17,65 casos por 100.000 habitantes e ano. Este dato é moi superior aos datos rexistrados no Centro Nacional de Epidemioloxía para o conxunto de España (incidencia media duns 0,30 casos por 100.000 habitantes e ano para o mesmo período) e no Centro Europeo para o Control e Prevención de Enfermidades (ECDC, nas siglas en inglés) para o conxunto de Europa (incidencia media que non chega a superar os 3 casos por 100.000 habitantes e ano) (CENTRO NACIONAL DE EPIDEMIOLOGÍA 2003; EUROPEAN CENTRE FOR DISEASE PREVENTION AND CONTROL 2007;

EUROPEAN CENTRE FOR DISEASE PREVENTION AND CONTROL 2013). Esta enorme disparidade entre os valores obtidos no noso estudo e os ofrecidos polos organismos oficiais de Saúde Pública, xunto coa constatación de que os datos de incidencia observados na área sanitaria de Santiago son similares ós descritos en rexións que contan con programas específicos para o diagnóstico da criptosporidiose e que levan xa varios lustros estudándoa, como é o caso de Irlanda e o Reino Unido (EUROPEAN CENTRE FOR DISEASE PREVENTION AND CONTROL 2007), indican que a criptosporidiose en España é unha enfermidade altamente infradiagnosticada. Afortunadamente, a recente inclusión deste parasito na lista de patóxenos de declaración obrigatoria, tanto a nivel estatal (RED NACIONAL DE VIGILANCIA EPIDEMIOLÓGICA 2013) como autonómico (CONSELLARÍA DE SANIDADE 2013), permitirá obter a partir de agora unha panorámica máis realista sobre a situación deste parasito, o cal probablemente dará orixe a novos estudos que permitan mellora-lo control epidemiolóxico das infeccións causadas por *Cryptosporidium* no noso entorno.





CONCLUSIÓN



1. Os padróns de variación intra e interespecíficos son semellantes en nove dos dez *loci* estudados en diferentes especies do xénero *Cryptosporidium* (*ACoAs*, *COWP1*, *dyn4*, *eIF4a*, *gtRNAI*, *gtub*, *ISWIr*, *KhRNAb* e *RNaseLi*), polo que semella razoable utilizalos como referencia para comprende-los procesos moleculares que modelan a composición do xenoma destes parasitos. Estes *loci* presentan unha diversidade neutra promedio moderada (inferior ó 1%) e evolucionan baixo o efecto predominante da selección purificadora.
2. Os padróns de variación intra e interespecíficos descritos para o *locus gp60* son significativamente superiores ós observados no resto de *loci* estudados e suxiren que evoluciona baixo o efecto de selección balanceadora. Este réxime selectivo pode ser indicativo de que a función das glicoproteínas codificadas para o recoñecemento e a ancoraxe do parasito ás células hóspedes teñen un enorme valor funcional, o que as sinala como potenciais candidatas para seren empregadas como dianas terapéuticas.
3. A división artificial das diversas especies de *Cryptosporidium* en familias de subtipos segundo a secuencia do *locus gp60* reflicte unha realidade biolóxica, xa que as variantes haplotípicas atopadas noutros xenes tamén se estruturan de acordo a este patrón.
4. A estrutura haplotípica das secuencias obtidas non aporta evidencias de recombinación. Sen embargo, dados os particulares padróns de diversidade que se observan para o *locus gp60* pero non noutros *loci* –algún dos cales se atopa no mesmo grupo de ligamento que o do xene *gp60*– suxire a existencia de episodios de recombinación na historia evolutiva do parasito.
5. O método para o xenotipado masivo de illados de *Cryptosporidium* baseado na tecnoloxía MassARRAY demostrou excelentes propiedades funcionais –medidos en termos de sensibilidade (87,3%) e especificidade (98%)– para a determinación da especie e familia de subtipos para os que foi deseñado.
6. A criptosporidiose é unha enfermidade infradiagnosticada. A taxa de incidencia media obtida só para a área sanitaria de Santiago durante a pasada década ($17,65 \pm 2,88$ casos por 100.000 habitantes) superou en dúas orde de magnitude a ofrecida polas

autoridades sanitarias españolas durante o mesmo período para o conxunto do Estado. As especies con maior presenza nesta área foron *C. hominis* e *C. parvum*.

7. A criptosporidiose afecta principalmente á poboación infantil, independentemente do seu sexo e da especie infectante. *Cryptosporidium hominis* parasita máis frecuentemente a nenos durante as etapas infantís mentres que tende a afectar dun xeito máis significativo ás mulleres durante as etapas adultas, o cal suxire que as mulleres poderían presentar unha maior susceptibilidade a padecer criptosporidiose antroponótica debido á súa maior implicación no coidado dos cativos.
8. *Cryptosporidium hominis* mostra unha distribución ubicua –aparece tanto en ambientes rurais como urbanos– e *C. parvum* é máis común en zonas rurais.
9. Os doentes afectados por *C. parvum* amosaron unha clínica máis severa, requirindo seren ingresados en maior medida e presentando unha sintomatoloxía máis grave (xa que a infección soe vir acompañada en maior medida de vómitos e de moco nas deposicións) que aqueles afectados por *C. hominis*. Non se detectaron diferenzas significativas entre as diferentes familias de subtipos estudados no referente ó seu nivel de virulencia.
10. A incidencia da criptosporidiose presenta unha grande estacionalidade, con maior incidencia durante os meses do verán e do outono. Esta variación reflíctese tamén nas incidencias das dúas especies, aínda que de xeito diferente. *Cryptosporidium parvum* amosa unha variación estacional moderada, cun máximo no verán e un mínimo no outono. A estacionalidade de *C. hominis* é máis acentuada, presentando o seu máximo nos outonos.
11. As variables climáticas xogan un importante papel na incidencia desta enfermidade. Así, as temperaturas elevadas parecen aumenta-los casos de criptosporidiose causados por ambas especies. Do mesmo xeito, durante os períodos húmidos (alta humidade ambiental e alta taxa de precipitación) danse máis casos de criptosporidiose provocados pola infección de *C. hominis*, mentres que nos períodos secos aumentan os casos debidos a *C. parvum*.



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